

List of Course Outcomes:

Course Code and Course Name	Course Outcomes
<p style="text-align: center;">MME 602 Electronic, Magnetic and Dielectric Materials</p>	CO1: An understanding about the nature of electrical conduction in metals and semiconductors.
	CO2: Insight about the modern techniques for fabrication / synthesis of semiconductor materials.
	CO3: Understanding about the various important semiconductor devices such metal oxide semiconductors, pn junctions, transistors like BJT's and FET'S.
	CO4: Understanding of magnetic properties of materials and their application.
	CO5: Knowledge about the latest dielectric materials and their application.
	CO6: Insight about the new developments / trends in electronic, magnetic and dielectric materials used in various fields particularly Energy sector and Biomedical applications.


Condensed Matter Physics
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Lecture - 9
The Free Electron Theory of Metals

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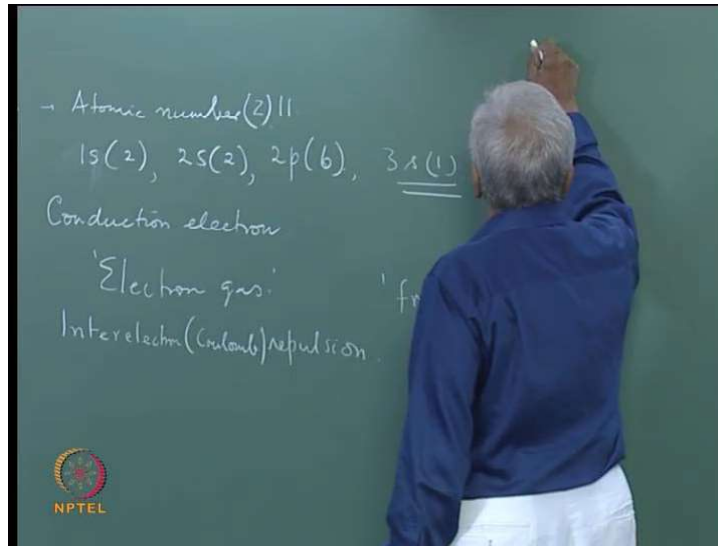
Introduction

There is one class of solids in which the lattice structure does not affect the physical properties appreciably and they can be understood on the assumption that each atom donates an electron to the metal in a monatomic solid containing monovalent atoms. This electron, the outermost one in the metal atom, is known as the conduction electron and is free to wander around inside the metal like the atoms in an ideal gas.

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We saw in the last session how the physical properties of solids depend rather strongly on their symmetric properties; however there is 1 class of solids in which the details in the lattice structure does not play a very big role on the physical properties, these are metals such as gold silver copper and so on. These metals their behavior can be understood on the assumption that each atom donates in the case of monovalent metal one-electron to the metal, and this electron is usually the outermost 1 in the metal atom.

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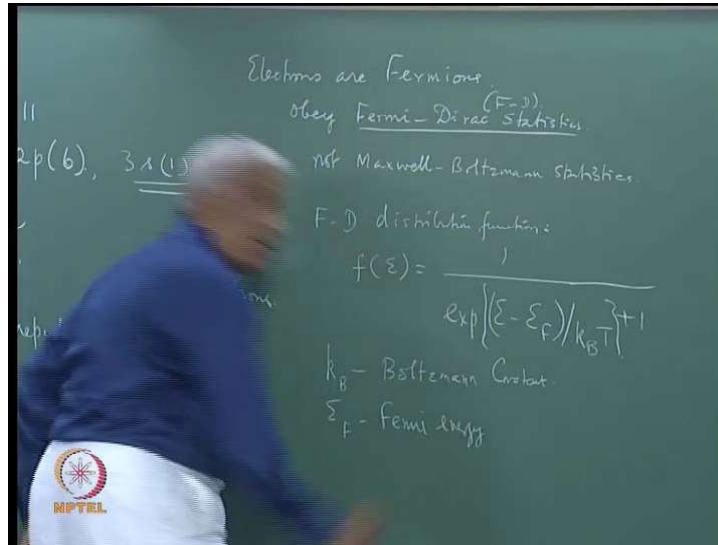
For example, in the case of sodium which has an atomic number atomic number is the number of electrons of leaven z is the usual symbol. There are leaven electrons in the sodium atom of which 10 of them go into this so-called 1 s 2 electrons go into 1 s shell and then 2 electrons go into the 2 s shell and then six electrons go into the 2 p sell. So, this is the so-called close shell structure out of these leaven.

Electrons ten of them go into the close shells leaving only one-electron in the outermost three s shell. So, this the electron which is the outermost in the metal atom this is just an example similarly 1 can look at any metal atom and then analysis the outermost electron is available for conduction, because it is rather weekly bound to the parent atom and therefore, can be ionized rather readily. And this becomes this outermost electron is known as the conduction electron because this gets ionized and this electron becomes available and is free to wander around inside the metal. So, it is the very much like the atoms and molecules in an ideal guess.

So, one speaks of an electron gas in this case. So, this means that even though the metal is the conduction this system a condensed matter a solid, but the electrons inside are behaving very much like the atoms. And molecules in an ideal gas be one made ask what happens to the coulomb repulsion between the 2 electrons pars of electronics this intel electron repulsion is rather week in comparison to the attraction between the electrons and the positive ions which are left behind after the ionization. So, 1 has 1 neglects the

first approximation inter electron are coulomb repulsion. If overlooked ignore to start with. So, that one can think of free electrons which are not strongly interacting free electron for free in the sense that the free-for conduction to carry electricity insight, that is why metals are such good conductors of electricity only thing is these electrons the ideal gas molecules are atoms are classical particles.

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Whereas electrons are quantum particles which obey electrons are known as fermions fermions means they obey so-called for me the dirac statistics rather than not Maxwell Boltzmann statistics. So, there collective behavior is not describe by classical Maxwell Boltzmann the statistics which are which is obey it by ideal gas molecules and atoms, but in then we discuss the properties of this electron gas we have to take count of the fact that they obey fermi dirac statistics. So, we have to use fermi dirac statistics in order to describe.

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The Fermi - Dirac Distribution function

The distribution function has the form:

$$f(\epsilon) = \frac{1}{e^{(\epsilon - \epsilon_F) / k_B T} + 1} \quad (9.1)$$

where ϵ_F is the Fermi energy.

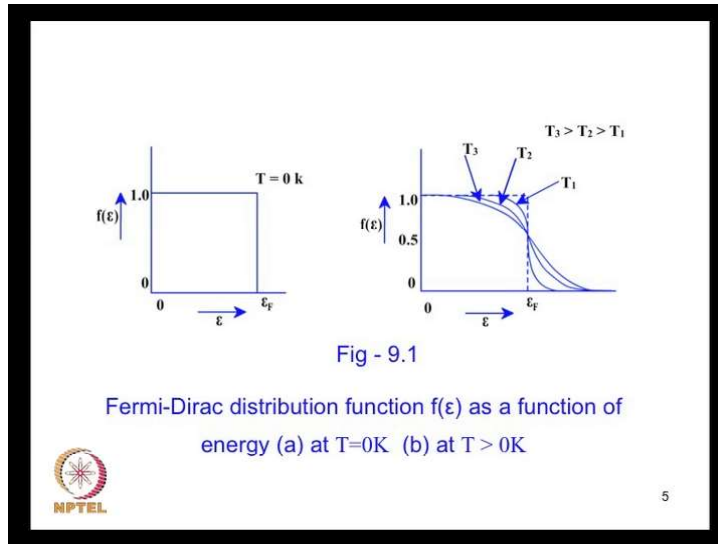
This function is plotted in Fig.9.1 as a function of energy for $T=0K$ and for $T > 0$.



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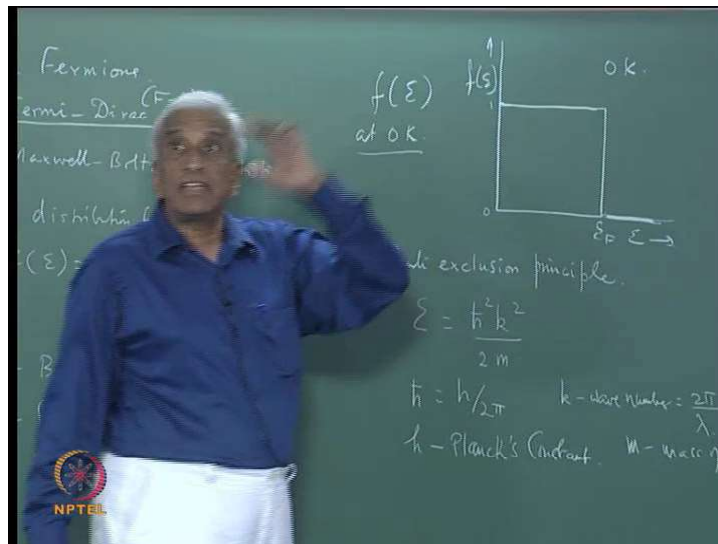
They are collective behavior this is are other important factor up the fermi direct distribution function I will write it as a $f(\epsilon)$ for short the fermi direct distribution function as the following form $f(\epsilon)$ of ϵ that is the distribution function which describes how the electrons are distributed into the various energy states. So, this system of electrons has different energy levels the electron energies are different. And therefore, the electrons occupy these energies and the way we are distributed energy is given by this function $f(\epsilon) = \frac{1}{e^{(\epsilon - \epsilon_F) / k_B T} + 1}$, where k_B is the Boltzmann constant and the ϵ_F is known as the fermi energy k_B is the universal constant as you know. And the fermi energy is a characteristic of the metal now everything will depend the collective behavior will depend on the statistical distribution how the electrons are distributed an energy.

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Therefore let us look at how this function looks, this function is plotted in this figure.

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Let us look at the figure on the left side, which gives the value this function plotted at absolute 0 lets discussed the behavior of the metal at absolute 0 and then we can go to finite temperatures this simpler. So, you can see that this function looks like this. So, if I as the fermi energy here than this is 0 this is one. So, that is the behavior of this function at absolute 0. So, this is at 0 k what is this there the physical meaning of this picture is that if you look at all state's all energies which are less than the fermi energy. If you look

to the left that this ϵ_f in the graph on the energy axis all these states are occupied with a probability of unity. In the sense that this means that they are fully occupied the states are all completely occupied by the electrons none of the states is empty all the states below ϵ_f are all occupied by electrons this. Whereas all the states above ϵ_f the Fermi energy are completely empty they have 0 probability the f of ϵ is 0.

So, the probability of occupation of the state about the Fermi energy at absolute 0 is 0 that means that they are completely occupied. This is because the electrons obey what is known as Pauli's crucial principle that is why they are fermions this means that if 1 state or 1 energy level is occupied by an electron then another electron cannot be found in the same state it is excluded from occupying the same state. So, each state is occupied by an electron, and you have all the states below ϵ_f occupied well this picture is slightly modified at finite temperature, but we will come to that a little later the it is this is enough for as...

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At $T = 0\text{K}$ it is seen that $f(\epsilon) = 1$ for $\epsilon < \epsilon_F$ and zero for $\epsilon > \epsilon_F$.

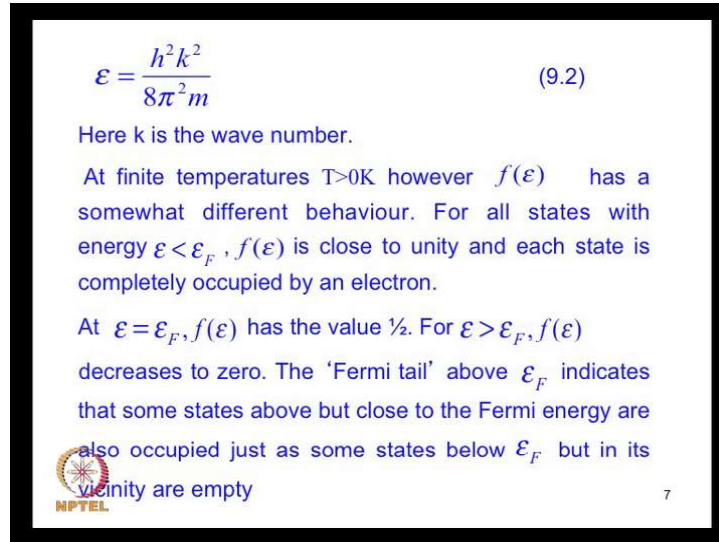
- This means that all states up to the Fermi energy are completely filled by conduction electrons and all states above the Fermi energy are completely unoccupied by electrons.
- In other words at 0K the Fermi level is the highest occupied state. The Pauli exclusion principle allows only one electron per energy level. However a given state can be occupied by two electrons with opposite spins since both spin states have the same energy

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Now, So, if this is. So, at 0 kelvin the Fermi level ϵ_f is the highest occupied state now of course, then I say that each state is occupied by one-electron according to the Pauli principle what I mean is that we do not consider this spin of electron if you consider the spin of the electron. Then we know that electron can have a spin of half and. So, can be occupied 2 states with parallel or anti parallel spin depending on the direction in space therefore, these 2 electrons with opposite spins both have the same energy. And so each of the

states can be occupied by through electrons with opposite spins without violating only principle.

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$$\epsilon = \frac{h^2 k^2}{8\pi^2 m} \quad (9.2)$$

Here k is the wave number.

At finite temperatures $T > 0K$ however $f(\epsilon)$ has a somewhat different behaviour. For all states with energy $\epsilon < \epsilon_F$, $f(\epsilon)$ is close to unity and each state is completely occupied by an electron.

At $\epsilon = \epsilon_F$, $f(\epsilon)$ has the value $1/2$. For $\epsilon > \epsilon_F$, $f(\epsilon)$ decreases to zero. The 'Fermi tail' above ϵ_F indicates that some states above but close to the Fermi energy are also occupied just as some states below ϵ_F but in its vicinity are empty



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You will remember this, but this spatial energy of these electron is simply given by the kinetic energy, which is $h^2 k^2 / 2m$ there h cross is h by 2π h is the planck's k is the wave number which is equal to 2π by λ we already talked about λ broglie wavelength. And m is the mass the electron. So, the energies of the electron in the state is given by the wave vector k , and this is just the kinetic energy $h^2 k^2 / 2m$.

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The density of states

The other factor governing the distribution of conduction electrons is the density of states which, as in the case of phonons, describes how many states are available in a differential energy interval adjacent to a given energy \mathcal{E} . It is defined as:

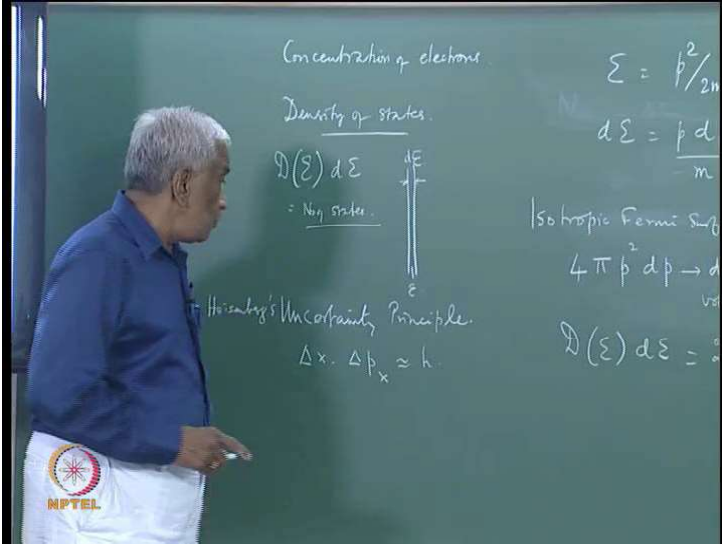
$D(\mathcal{E}) d\mathcal{E}$ = the number of electron states in the energy range \mathcal{E} to $\mathcal{E} + d(\mathcal{E})$



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Now, if we why do we need this we need this information united calculate for example, the number of electrons conduction electrons inside a metal the metal is now somewhat like a box with in which this electron gases free to wonder around, but the electron is not a allow to escape out of the better. So, that is a the only constraint on the electrons. So, within the metal they are free to wander around very much like the atoms and molecules of a gas.

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Concentration of electrons $\Sigma = \frac{p^2}{2m}$


Density of states $d\Sigma = \frac{p dp}{m}$

$D(\mathcal{E}) d\mathcal{E}$ = No. states.

Heisenberg's Uncertainty Principle $\Delta x \cdot \Delta p_x \approx h$

Isotropic Fermi Sea $4\pi p^2 dp \rightarrow d$

$D(\mathcal{E}) d\mathcal{E} =$



So, next we should to calculate the concentration of electrons which is the number of electrons for unit volume can I say electron I only mean the conduction electrons in order to find this t we have to also consider in addition to the distribution function. We have to consider what is known as the density of states this is because the distribution function tells us how the electrons are distributed in the energy, but as you can see from this equalization connecting the energy and the wave vector are the wave number it is the wave number which decides that the electrons state.


So, we want to also know how the hold me a conduction electrons are distributed indifferent states corresponding to a given energy. So, this is given by what is known as the density of states. So, it tells us how many states are available in a differentia energy interval agents to a given energy. So, if I look at a particular energy. So, I would loot look at an infinite this month d e around a given energy. So, if I take this infinity dismal energy interval we d f e is the density of a state function which described the d f e d e gives the the number of steps in this energy interval.

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We can find $D(\epsilon)$ starting from the energy momentum (dispersion) relation. Since

$$d\epsilon = \frac{p dp}{m}$$

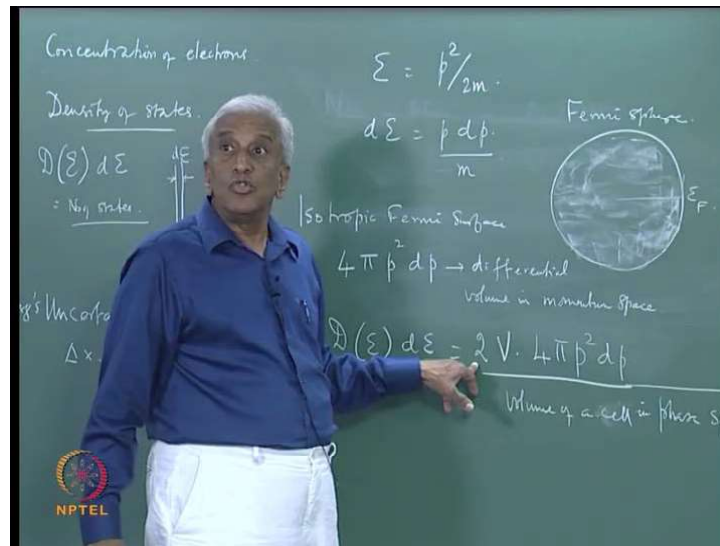
and for an isotropic Fermi surface, $4\pi p^2 dp$ is the differential volume in momentum space corresponding to an energy interval $d\epsilon$, the number of states in this interval is given by:

$$D(\epsilon)d\epsilon = \frac{2V4\pi p^2 dp}{h^3} \tag{9.3}$$


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So, we wish to find out this density of states together the distribution function ff e and the density of the state function d f e d e together will determine the average this statistical properties are this electron gas how do you find this density of states function we will just discuss this next.

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So, we have we know that the energy is just p -square by $2m$ because the electron have only kinetic energy. So, dE is $p dp$ by m now if I look at the momentum space and if I regard the fermi energy as corresponding to a value which corresponds to an isotropic fermi surface which means it is fermi surface. So, it is the sphere with radius E_F in energy space. So, that is the fermi sphere and all the inside state inside this or occupy by electron gas. So, we know that radius the volume of this will differential volume is $4\pi p^2 dp$.

This is the differential volume in momentum space position and momentum together define a state in statically physics. So, this is the differential volume in momentum space if we take the number. Now, we count the number or state in this interval by writing dE equals v the actual volume in real physical space the position space multiplied by the differential volume in momentum space times 2 the factor 2. In order to take it account this spin and divide this by the volume of V cell in phase space cell means state each cell each of the cell correspond to 1 state of the electron as we discussed already according to the pauli principle.

Now, this volume of a cell in phase space is given by the so-called uncertainty principle in quantum mechanics as I already told you the electrons are quantum particles. So, we have discussed as statistical behavior according to the quantum statistics and fermi dirac statistics is a quantum statistics. Now the main feature of quantum behavior comes from

the so-called uncertainty principle due to Heisenberg this principle states that the product in uncertainties of position and momentum is of the order of the Planck constant and therefore, this is in 1 by mention therefore, we can write the volume of a cell in phase space as the product of the uncertainty you cannot look at a particle beyond this accuracy in quantum mechanics. So, this is the minimum uncertainties.

So, this is the spatial and momentum, extent the extension in space and a real space and momentum is given is order of the Planck constant. So, if we use the same argument for all the three dimensions and in real space and all the three components of linear momentum then they get this volume is h^3 this is h for each dimensions. So, there are three dimensional. So, each time it is multiplied. So, get h^3 and that is the uncertainty to which you can locate a given state in phase space in quantum mechanics therefore, that corresponds. So, this space the phase space is quantum mechanics is coarse grain and this is the volume occupied by a state in phase space. So, you derive the total volume available physical volume v times the differential volume in momentum space times the factor 2 due to spins state and divide the whole thing by h^3 .


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Where V is the volume of the metal and h^3 is the volume of a cell in phase space which is coarse grained unlike in classical statistics because of the uncertainty principle. We thus get:

$$D(\epsilon)d\epsilon = 8\pi V(2m\epsilon)^{\frac{1}{2}} \frac{m d\epsilon}{(2m\epsilon)^{\frac{3}{2}} h^3}$$

which can be simplified to

$$D(\epsilon)d\epsilon = \frac{4\pi V(2m)^{\frac{3}{2}}}{h^3} \epsilon^{\frac{1}{2}} d\epsilon \quad (9.4)$$

 $D(\epsilon)$ as a function of ϵ

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That will give the number of states which is given by dN . So, this gives as the way to calculate density of state function therefore, we just substitute p^2 is just $2m\epsilon$.

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$$p^2 = 2mE$$

$$p = (2mE)^{1/2}$$

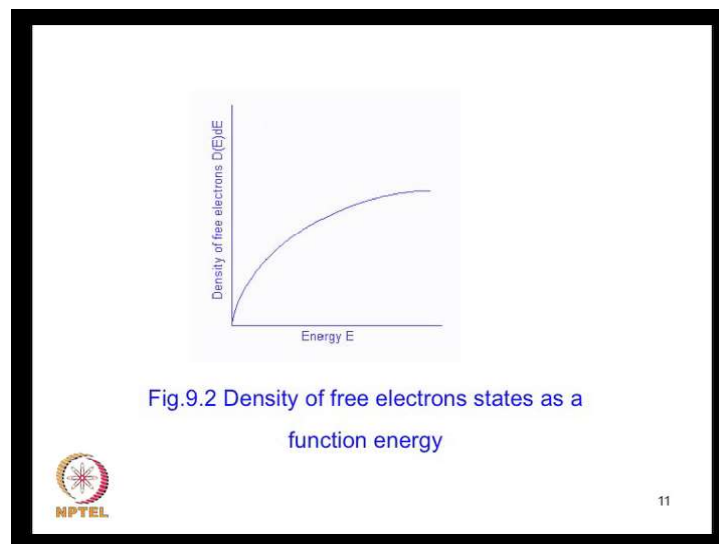
$$p \cdot dp = (2mE)^{1/2} m dE$$

$$D(E) dE = \frac{2V \cdot 4\pi (2mE)^{1/2} m dE}{h^3}$$

$$= \frac{4\pi V (2m)^{3/2}}{h^3} E^{1/2} dE$$

So, 4 pi. So, p is 2 m e to the power half. So, here I have p square d p which I can write as p times p d p p d p already I have as m d e. So, 2 m e to the power half times m p e therefore, substituting here d f e d e 2 v into four pi into p square d p which is here to m e to the power half into m d e pi h cube. So, this some simplification gives you the density of space function as four pi v.

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So, you can see that this is the density of state function which is plotted these together. So, you can see the density of state goes as e power half.


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Electron concentration and Fermi energy at 0K

We are now in a position to determine the electron concentration, n , in a metal at 0K. This is given by:

$$n = \frac{N}{V} = \frac{1}{V} \int_0^{\epsilon_{F(0)}} D(\epsilon) f(\epsilon) d\epsilon \quad (9.5)$$


Substituting from equations (9.4)

$$n = \frac{1}{V} \int_0^{\epsilon_{F(0)}} \frac{4\pi V (2m)^{3/2}}{h^3} \epsilon^{1/2} f(\epsilon) d\epsilon \quad (9.6)$$


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Now, we have all the things that we need to evaluate the electron concentration at 0-kelvin let us do this now.

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$$\begin{aligned}
 n &= \frac{N}{V} \sum_{\epsilon \leq \epsilon_F(0)} 1 \\
 &= \frac{1}{V} \int_0^{\epsilon_F(0)} D(\epsilon) f(\epsilon) d\epsilon \\
 &= \frac{1}{V} \int_0^{\epsilon_F(0)} \frac{4\pi V (2m)^{3/2}}{h^3} \epsilon^{1/2} d\epsilon \\
 &= \frac{4\pi (2m)^{3/2}}{h^3} \left[\frac{2}{3} \epsilon^{3/2} \right]_0^{\epsilon_F(0)}
 \end{aligned}$$


So, the number of electrons in unit volume is the total number divided by volume and that will be the total is what is given by this. So, I have 1 by integral of $f(\epsilon) d\epsilon$ comes from 0 to ϵ_F this is because of $f(\epsilon)$ gives you how the electrons are distributed in energy. And $D(\epsilon) d\epsilon$ gives you use you how the energies are distributed at in states and therefore, the product of these 2 integrated over an energy interval from 0 to fermi energy up to the all

states are completely occupied rest of them are completely empty. So, it is enough if I integrated over all the energies from 0 to ϵ_f let me write ϵ_f at 0 in order to remind ourselves of the fact, that we have calculating it at 0 kelvin. So, if I substituted the this 1 by ϵ_f to ϵ_f , then I have this four pi v into 2 m to the power three by 2 by h cube e power half d e and I have removed f of e because this is going to be 1 for all the states. So, it is going to have a value 1 at absolute 0.


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since at 0K
 $f(\epsilon) = 1$ for $\epsilon \leq \epsilon_f(0)$ and $f(\epsilon) = 0$ for $\epsilon > \epsilon_f(0)$,
 we can write

$$n = \frac{1}{V} \int_0^{\epsilon_f(0)} \frac{4\pi V (2m)^{3/2}}{h^3} \epsilon^{1/2} d\epsilon$$

which gives

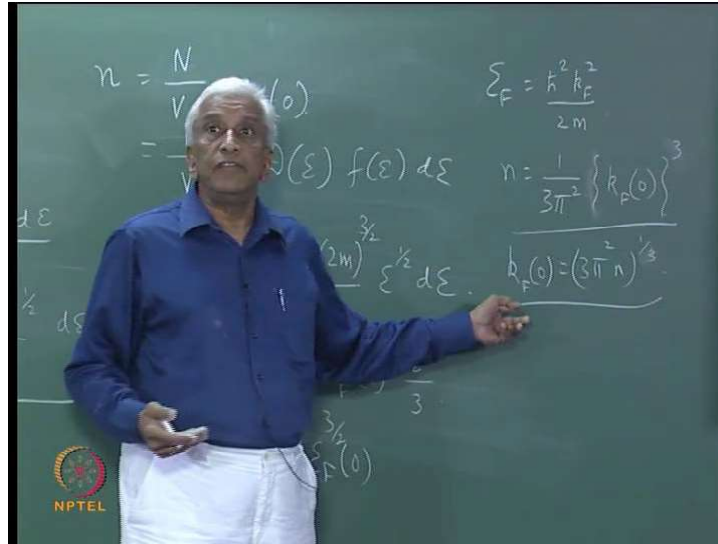
$$n = \frac{4\pi (2m)^{3/2}}{h^3} \int_0^{\epsilon_f(0)} \epsilon^{1/2} d\epsilon$$

$$n = \frac{8\pi}{3h^3} (2m\epsilon_f(0))^{3/2} \quad (9.7)$$


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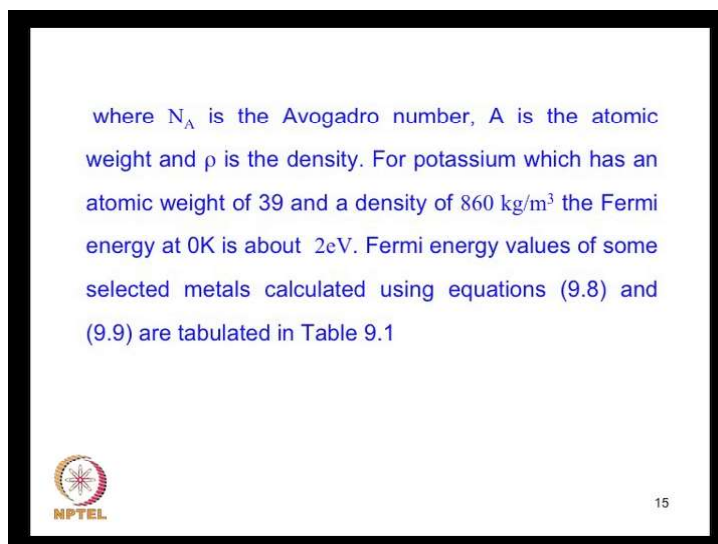
So, we can write all these constants can be back out v cancels for 4 pi 2 m to the power 3 by 2 by h cube e f 0 to the power 3 by 2 into 2 by 3 as a result of integration.

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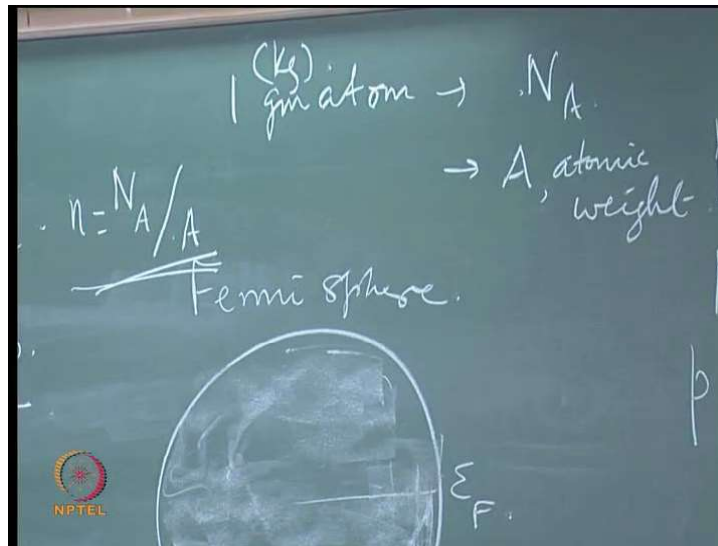
So, that gives me final result as using this and using ϵf as we already saw ϵf is nothing, but the kinetic energy up to the wave vector at Fermi energy $\hbar^2 k^2$ by $2m$ therefore, we get n . So, that gives you rather compact relationship between the Fermi wave vector at absolute 0 and the electron concentration n now we assume that because for example, in a metal like sodium each atom donates 1 electron to the conduction band. So, if we have a molar gram atom of this solid then this will contain as is well known and number of atoms. So, that correspond to the number of electrons donate at a conduction electron and do not donate.

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So, if you take number and divide by the atomic weight.

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So, that gives me in 1 gram atom are kilogram atom correspond to n a number of atoms and I have each atom gives you 1 electron in a monatomic solid. So, this is the number of electrons. So, this correspond to your weight of a where this is atomic weight therefore, the number of electron is just in 1 mole is n a by a times.

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Using $\epsilon_{F(0)} = \frac{\hbar^2 k_F(0)^2}{8\pi^2 m}$, where $k_F(0)$ is the Fermi wave vector at 0K, we obtain n in terms of $k_F(0)$ as

This gives for $k_F(0)$

$$k_F(0) = (3\pi^2 n)^{1/3}$$

Assuming that each atom in a monatomic metal containing monovalent atoms, donates one electron to the conduction band we have

$$n = \frac{1}{3\pi^2} \{k_F(0)\}^3 \tag{9.8}$$

$$n = N_A \cdot \frac{\rho}{A} \tag{9.9}$$

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They have to we are forgetting the fact that we have also row because it this gives you the number half electrons per unit mass, and then we have to multiply this by the density.

So, we can calculate n and therefore, calculate the fermi wave vector and inurn the fermi energy. So, we have way of calculating the fermi energy from this formula.

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Table 9.1 Fermi energy of some selected metals at 0K

Metal	Fermi Energy at 0 K in eV
Aluminum	11.6
Copper	7.1
Gold	5.5
Potassium	2.1
Silver	5.5
Sodium	3.2



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So, the fermi energy values calculated in this way are also shown in the table. So, the fermi energy at 0 kelvin the values are given in an electron volt which is a convenient unit in a the case of atomic physics. This gives you the energy of an electron when is accelerated through a potential of 1 volte, now the various metals aluminum copper gold potassium silver sodium.


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Electronic specific heat:

We are now in a position to calculate the mean energy of conduction electrons at 0K and hence the electronic specific heat. The average energy is given by:

$$\langle \epsilon \rangle = \frac{\int_0^{\epsilon_{F(0)}} \epsilon D(\epsilon) f(\epsilon) d\epsilon}{\int_0^{\epsilon_{F(0)}} D(\epsilon) f(\epsilon) d\epsilon}$$

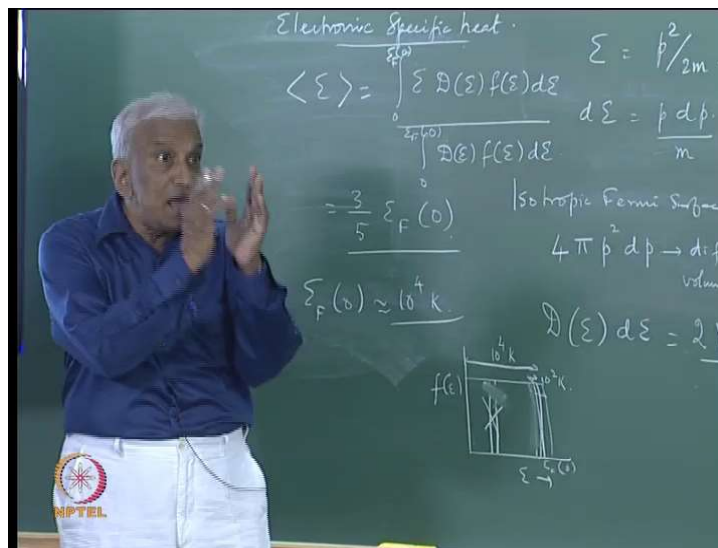
and a similar evaluation gives:

$$\langle \epsilon \rangle = 3/5 \epsilon_F(0) \tag{9.10}$$


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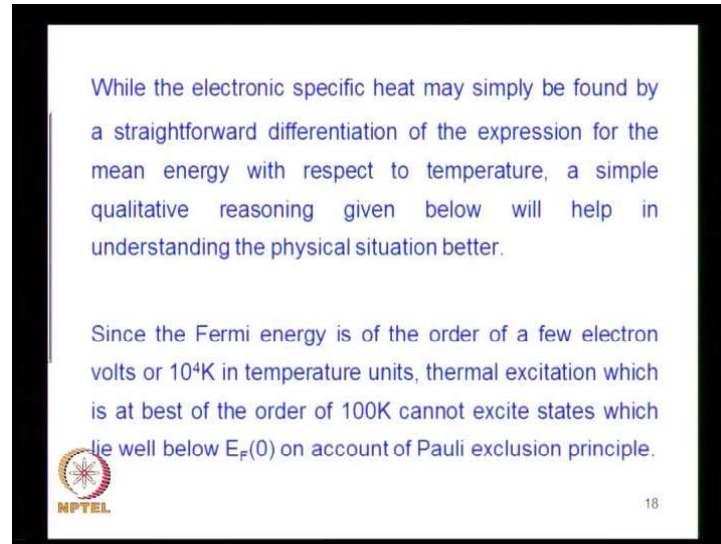
You can see the fermi energy the various from something like eleven points six electron volts to the three-point to electron volts in any case all of the order of electron volts. So, this is a very important idea because if you converted by using Boltzmann constant to equal intemperate this will be a the order of ten to the power of four kelvin. So, a very high temperature. So, the energies the fermi energy corresponds to be a very high temperature the corresponding temperature in temperature units. Now we will use these concepts to calculate an important thermal property namely be electronic specific heat this means that this electron gas, if you inject some heat and energy into it they electron absorbs this energy. And that temperature goes up though these this specific heat is defined as the rate of change of the mean internally energy of the conduction electron at 0 k with respect to temperature.

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So, the electronic specificate can be calculated that by calculating the average energy of this electron gas which is simply done by again at absolute 0. This is done by integrating from 0 to $\epsilon_F(0)$ of $\epsilon D(\epsilon) f(\epsilon) d\epsilon$ by 0 to $\epsilon_F(0)$ of $D(\epsilon) f(\epsilon) d\epsilon$. So, this gives going by the same procedure they arrive at the result this is three fifth of $\epsilon_F(0)$.

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While the electronic specific heat may simply be found by a straightforward differentiation of the expression for the mean energy with respect to temperature, a simple qualitative reasoning given below will help in understanding the physical situation better.

Since the Fermi energy is of the order of a few electron volts or 10^4K in temperature units, thermal excitation which is at best of the order of 100K cannot excite states which lie well below $E_F(0)$ on account of Pauli exclusion principle.



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The average energy is three fifth of fermi energy at absolute 0 now the electronics specific heat having got the average energy, we can simply differentiate this expression fermi energy with respect to temperature that gives you the electronic specific heat. Now we will first qualitatively see what kind results that we are going to get or this calculation the electronic specific heat, since we said that the $e f 0$ is the order of ten to the power four kelvin in temperature units.

So, if you thermal excited usually thermal excitation is at best of the order of hundred kelvin. So, it is a very small if you go back to the energy distribution this is $e f 0$ if you go back to this this is of the order of this in temperature e units is that the the order of ten thousand kelvin, but our energy excitations thermal energy excitation is only at the order of a hundred kelvin. So, it is small temperature window. So, the exhibition is going to shift states from this to this, but the state's are all remember that they are all completely occupied and are subject to the electron for subject pauli is crucial that mean if state is completely occupying already you can put another electron.

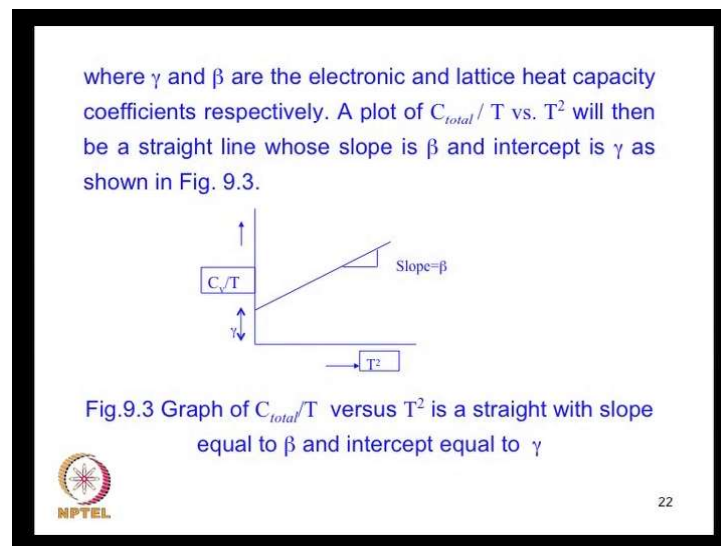
So, this exhibition from this one occupy state to another occupy state in this whole range is not going to be possible even though you give with excitation, the electrons cannot be excited fermi occupied state here into another occupied state which is not empty. So, this is only pass only at this edge this is not possible and the thermal exhibition is one only

possible here here. So, this is of the order of ten to the power four Kelvin, and this is order of ten square. So, this is only possible across this fermi energy if it bring it here.

So, it can excite across in to 1 of the empty state here. So, only the a fraction of the electron which occupy a stated within this ten hundred kelvin in the neighborhood of the fermi energy only they will be able to get excited. So, what is this fraction they are $k_B T$ by $k_B T_F$ corresponds to all the states the electrons not the energy scale and response $k_B T$ corresponds to the energy of the thermal excitation.

So, this is the fraction which is $k_B T / k_B T_F$ that is the fraction of electrons excited thermally and each of them has an exhibition of order of $k_B T$ therefore, the excitation energy is as the order of $k_B T$ square by $k_B T_F$, so $k_B T^2 / T_F$ of this use this specific. So, these are the order of $k_B T$. So, this tells me that the electronic specific is the order of $k_B T$ it is proportional to the temperature T . So, the electronic heat capacity C_{el} electronic specific heat is plus equal to the constant times the temperature. So, that is the basic results that we get for the electronic specific heat of the conduction electronic gas, so you get this is the value at absolute 0.

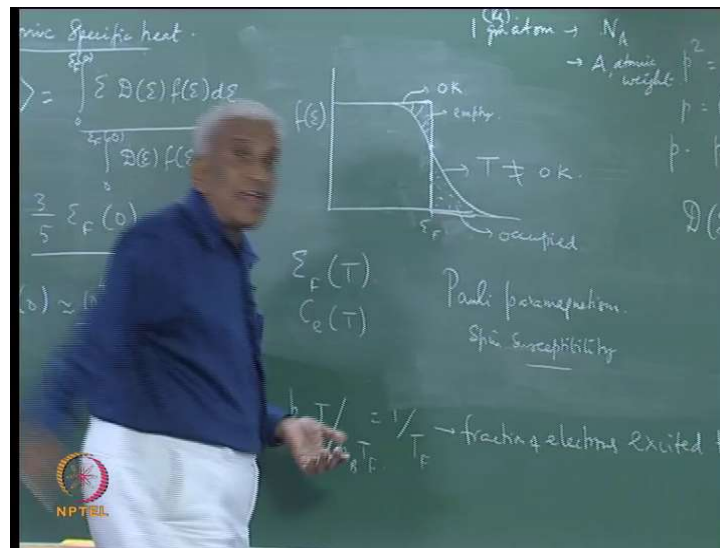
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So, this is shown graphically. So, in figure of course, you will never be able to measure the electronic heat capacitance alone it will be also the specific with includes the contribution from the electron. And also from the lattice of ions are the atoms in the solid and that as we will see later is given by the may be theory specificate and that

temperature depend is a T^3 dependence. So, the overall behavior is given off by a relation of this time C total and therefore, if you brought C by T versus T^2 that would be a straight line that is what is shown in figure. So, from the intercept of this we can get the heat capacity question γ , we have discussed everything at absolute 0, but the question arises w what happens? Then you have an electron at a finite temperature the this the bit more difficult to calculate, we will not go through the details at this calculation here, but I will just roughly.

(Refer Slide Time: 39:19)



It what happens by looking at the distribution function. So, at a finite temperature the fermi dirac distribution function this at a finite temperature which is not 0. So, the distribution function, now deviate from the behavior at absolute 0, this is at 0 kelvin as we have already seen, and at finite temperature, this reduces to something like this. That is the behavior here at the not equal to 0 at any finite temperature it the $f f e$ decreases from the value 1 to something like of fermi energy. And then it goes on beyond it is non-zero even beyond the ϵ_f this means that some of this state or empty even before even below the fermi energy, and some on the state or above the fermi energy are occupy and these the number occupied states goes on increasing.

So, this is the behavior and this will modify the fermi energy the fermi energy will be a function of temperature. Now and the heat capacity equation which is still found to be a linear function of temperature, but but the constant the linear heat capacity question is

slightly different. We will not calculate this this is the overall behavior now electron gas also processes many other interesting properties the electron have a magnetic moment because that that is been and this contributes to magnet. So, this is known as Pauli paramagnets or this is a spin susceptibility this is the magnetic susceptibility which arises from the fact that the electron spin's, and therefore a magnetic moment. So, this is another important characteristic of the conduction electron gas in metals in addition the metals most important characteristic is that metal is a very good conductor to have electricity. Now we would like to have an expression for the electrical conductivity of a metal.

And how it depends on for example, temperature and not only the electrical conductivity the a metal is also a good conductor of heat. So, we would like to know how the thermal conductivity is determined by the behavior electron gas, we also know that there is such a thing called thermo electric power the phenomenon the thermo electricity in which metal junction used in order to produce the thermo electricity and e m f. So, we would also like to know how the thermoelectric power of a good conductor is determined by the behavior the electron gas these are things we will discuss in next lecture.


Condensed Matter Physics
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Lecture - 09
The Free Electron Theory of Metals-Worked Examples

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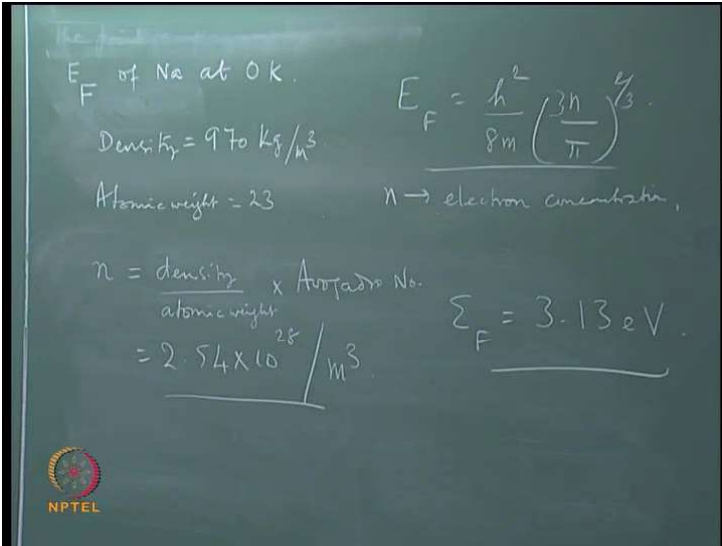
Problem 23

Calculate the Fermi energy of sodium at 0 K given the density of sodium is 970 kg/m^3 and its atomic weight is 23.

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Now, we will move on to some questions relating to electrons in solids, the free electrons in metals in particular.

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The following handwritten notes show the calculation of the Fermi energy of sodium at 0 K:

E_F of Na at 0 K.

Density = 970 kg/m^3

Atomic weight = 23


$E_F = \frac{h^2}{8m} \left(\frac{3n}{\pi} \right)^{2/3}$

$n \rightarrow$ electron concentration,

$n = \frac{\text{density}}{\text{atomic weight}} \times \text{Avogadro's No.}$

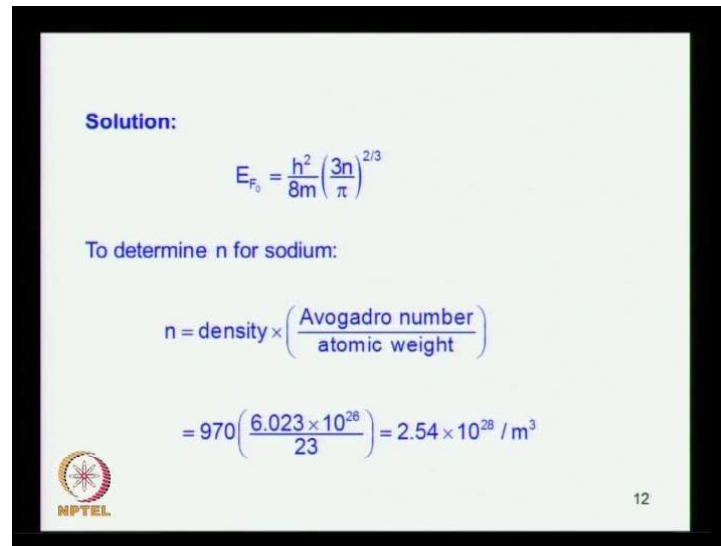
$= 2.54 \times 10^{28} / \text{m}^3$

$E_F = 3.13 \text{ eV}$



The problem that we will discuss is we are asked to calculate the Fermi energy of sodium at 0 K, where given the density of sodium is 970 kilograms per meter cube, and the atomic weight is 23 as we know. We know that the basic expression for the Fermi energy is $E_{F_0} = \frac{h^2}{8m} \left(\frac{3n}{\pi} \right)^{2/3}$, where n is the electron concentration, $3n$ by π .

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Solution:

$$E_{F_0} = \frac{h^2}{8m} \left(\frac{3n}{\pi} \right)^{2/3}$$


To determine n for sodium:

$$n = \text{density} \times \left(\frac{\text{Avogadro number}}{\text{atomic weight}} \right)$$
$$= 970 \left(\frac{6.023 \times 10^{26}}{23} \right) = 2.54 \times 10^{28} / \text{m}^3$$

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So, we are required to find n for which we take the density and divide by the atomic weight and multiplied by Avogadro number. And that the density and the atomic weight are given here, Avogadro number is known the result of this calculation is 2.54×10^{28} electron per meter cube. We are assuming that in sodium is monovalent that this is really the number of atoms per unit volume and assuming that each atom donates one conduction electron, we get the number of electrons per unit volume as this.

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$$\begin{aligned} E_{F_0} &= \frac{(6.63 \times 10^{-34})^2}{8 \times 9.1 \times 10^{-31}} \left(\frac{3}{\pi}\right)^{2/3} n^{2/3} \\ &= 5.802 \times 10^{-38} \times (n)^{2/3} \\ &= 5.802 \times 10^{-38} \times (2.54 \times 10^{28})^{2/3} \\ &= 5.01369 \times 10^{-19} \text{ J} \\ &= 3.13 \text{ eV} \end{aligned}$$


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And therefore, substituting this value of n, we get the Fermi energy as 3.13 electron volts this is a just a question of substituting this expression is. So, that is the Fermi energy of sodium at zero Kelvin.

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Problem 24

Find the energy level in sodium for which the probability of occupation at 300 K is (i) 0.5 (ii) 0.75 (iii) 0.25.

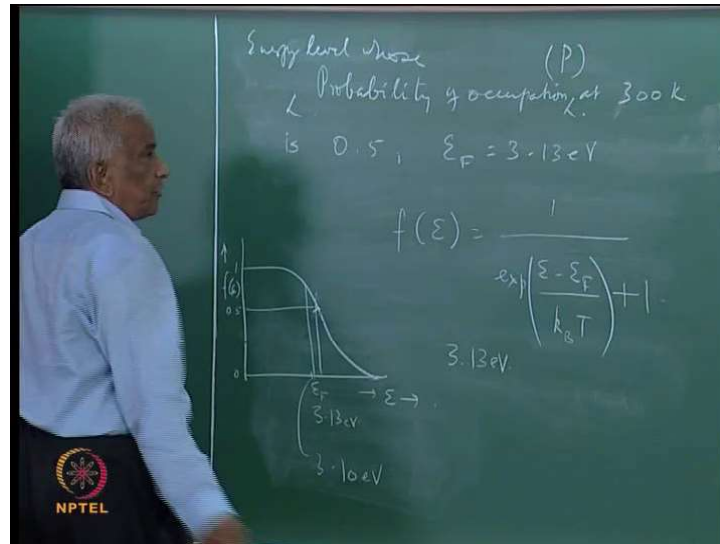
The Fermi energy of sodium is 3.13 eV.



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In the next problem, we again deal with sodium we are asked to find the energy level in sodium at absolute zero, no, not at absolute zero.

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But probability of occupation of this energy level at a temperature of 300 Kelvin is 0.5. Energy level whose probability of occupation since here from given the result of the previous problem that E_f the Fermi energy at zero k is at 3.13 electron volts. For this we go back to the Fermi Dirac distribution function which finite temperatures as they form like this, we have discussed all these already. So, that is the shape of Fermi Dirac distribution function and therefore, we know that the probability of occupation at 300 k becomes half exactly at the Fermi level.

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(i)
$$0.5 = \frac{1}{e^{(E-E_F)/k_B T} + 1}$$
$$e^{(E-E_F)/k_B T} = (1/0.5) - 1$$
$$= 2 - 1 = 1$$
$$(E - E_F) = 0$$
$$E = E_F$$

The energy at which the probability of occupation is 0.5 is the Fermi energy $E_F = 3.13$ eV. This is true at any temperature, for all metals

So, we can find this we can readily see that this has to be at an energy of 3.13 electron volts.

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(ii)

$$0.75 = \frac{1}{e^{(E-E_F)/k_B T} + 1}$$

$$e^{(E-E_F)/k_B T} = (1/0.75) - 1$$

$$= 0.3333$$

$$E - E_F = \ln 0.3333 \times k_B T$$

$$E/E_F = (\ln 0.3333 \times k_B T / E_F) + 1$$

NPTEL 17

This is true in general of all metals. The value of half for the probability of half occupation occurs at the Fermi energy. In the same way, we can find the values energy at which the probability of occupation becomes for example, 0.75.

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(P) 75% occupation at 300K
 $E_F = 3.13 \text{ eV}$
 $f(E) = \frac{1}{\exp\left(\frac{E}{k_B T}\right) + 1}$
 3.13 eV

(ii) $P = 0.75$
 $0.75 = \frac{1}{\exp\left(\frac{E - 3.13}{k_B \cdot 300}\right) + 1}$
 $E \rightarrow 3.10 \text{ eV}$
 $P = 0.25$ $E \rightarrow 3.16 \text{ eV}$

NPTEL

So, that is the second question we have to find. So, substituting 0.75 equal to 1 by exponential e minus 3.13 by k B into 300 plus 1 substituting in this we can readily see that the E happens to be something like 3.10 electron volts.

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At 300 K, $k_B T / E_F = 0.026 / 3.31 = 0.007855$

$$E / E_F = (-1.0987 \times 0.007855) + 1$$

$$E = 0.9914 \times E_F = 0.9914 \times 3.13$$

$$= 3.10 \text{ eV}$$

The probability of occupation of the energy level 3.10 eV ($E < E_F$) is 0.75

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And that would be this is 3.13, and this will be somewhere here 3.10 electron volts in which we have a probability of occupation of 0.75. The next question concerns the same value for energy level for which the probability is 0.25.

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(iii) $0.25 = \frac{1}{e^{(E-E_F)/k_B T} + 1}$

$$e^{(E-E_F)/k_B T} = (1/0.25) - 1 = 3$$

$$E - E_F = \ln 3 \times k_B T$$


$$E / E_F = (\ln 3 \times k_B T / E_F) + 1$$

$$E / E_F = (1.0986 \times 0.007855) + 1$$

$$= 1.008629$$

$$E = 1.008629 \times 3.13 = 3.16 \text{ eV}$$

The probability of occupation of energy level 3.16 eV ($E > E_F$) is 0.25.


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And for following same procedure, we find the corresponding energy is 3.16 electron volts. In other words, we have the Fermi tail here and it is slightly above the Fermi level this is 3.16 electron volts and that is where the probability reduces further from 0.5 to 0.25, but still it is non-zero. So, states here are occupied with a probability of one-fourth.

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Problem 25

In two dimensions show that the chemical potential at any temperature is equal to the Fermi energy at $T = 0\text{K}$. (Hint: Start from the Sommerfeld expansion for the electron concentration at any temperature T above 0K .)



The next problem is about the chemical potential in two dimensions are at any temperature for the electron gas.


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Chemical potential at any temp. in 2 dimensions for the electron gas

$$\mu(T) = E_F(0)$$

Sommerfeld expansion for electron concentration, n , at $T > 0\text{K}$

$d\omega / (k_B T)^{d/2}$



And we are required to prove this is the standard symbol, for this is μ and this is we are required to prove that this is equal to E_f zero at this is the Fermi energy at T equal to zero k this is μ of t μ at any temperature. So, in order to prove this, we have to start from the slope called Sommerfeld expansion for the electron concentration in at any temperature T .

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The Sommerfeld Expansion


The Sommerfeld expansion is applied to integrals of the form

$$\int_{-\infty}^{\infty} d\varepsilon H(\varepsilon) f(\varepsilon), \quad f(\varepsilon) = \frac{1}{e^{(\varepsilon - \mu)/k_B T} + 1}, \quad \text{(Prob 25.1)}$$

where $H(\varepsilon)$ vanishes as $\varepsilon \rightarrow -\infty$ and diverges no more rapidly than some power of ε as $\varepsilon \rightarrow +\infty$. If one defines

$$K(\varepsilon) = \int_{-\infty}^{\varepsilon} H(\varepsilon') d\varepsilon', \quad \text{(Prob 25.2)}$$

so that

$$H(\varepsilon) = \frac{dK(\varepsilon)}{d\varepsilon}, \quad \text{(Prob 25.3)}$$


So, what is the Sommerfeld expansion?


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Sommerfeld expansion: \rightarrow *series of a homogeneous integral*

$\int_{-\infty}^{\infty} f(\varepsilon) d\varepsilon, \quad f(\varepsilon) = \frac{1}{e^{(\varepsilon - \varepsilon_F)/k_B T} + 1}$

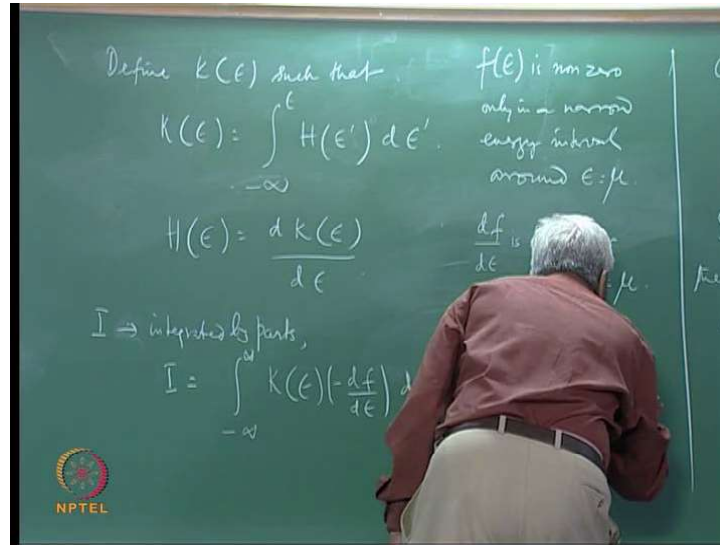
$H(\varepsilon) \rightarrow 0$ as $\varepsilon \rightarrow -\infty$.

diverges no more rapidly than some power of ε as $\varepsilon \rightarrow \infty$.



Let us consider this before answering the question. So, let us discuss the Sommerfeld expansion. In order to use this the concerned integrals of the form $\int_{-\infty}^{\infty} H(\epsilon) f(\epsilon) d\epsilon$ from minus infinity to plus infinity, where $f(\epsilon)$ is the Fermi derived distribution function and the function $H(\epsilon)$ tends to zero or vanishes as ϵ tends to minus infinity, and diverges no more rapidly than some power of ϵ as ϵ tends to infinity.

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So, if it is so then let us define another function k of function ϵ define function k of ϵ such that $k(\epsilon) = \int_{-\infty}^{\epsilon} H(\epsilon') d\epsilon'$. In other words, $H(\epsilon)$ is just $dk(\epsilon)/d\epsilon$. With this definition, now let us go back to let us call this integral I , then this integral maybe integrated by parts, and get we get $I = \int_{-\infty}^{\infty} k(\epsilon) \left(-\frac{df}{d\epsilon}\right) d\epsilon$. So, we will have integral minus infinity to plus infinity $k(\epsilon) \left(-\frac{df}{d\epsilon}\right) d\epsilon$. Therefore, the $df/d\epsilon$ is large only around $\epsilon = \mu$.

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then one can integrate by parts in (Prob 25.1) to get

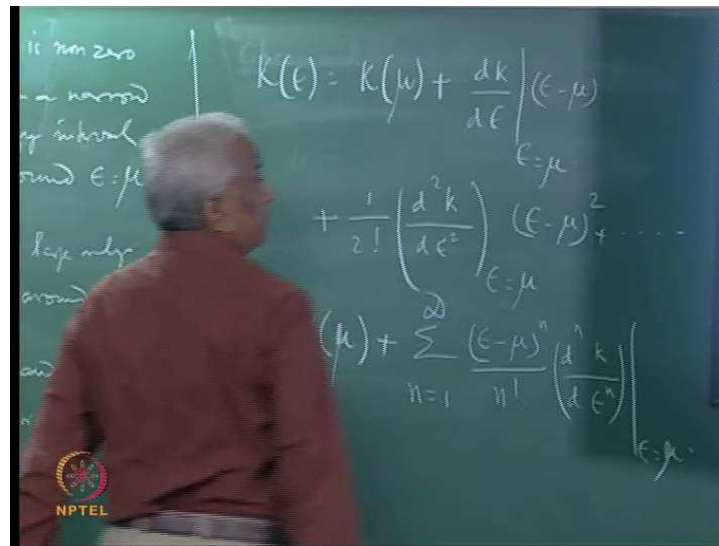
$$\int_{-\infty}^{\infty} H(\epsilon) f(\epsilon) d\epsilon = \int_{-\infty}^{\infty} K(\epsilon) \left(-\frac{\partial f}{\partial \epsilon} \right) d\epsilon. \quad (\text{Prob 25.4})$$

Since f is indistinguishable from zero when ϵ is more than a few $k_B T$ greater than μ , and indistinguishable from unity when ϵ is more than a few $k_B T$ less than μ , its ϵ -derivative will be appreciable only within a few $k_B T$ of μ . Provided that H is nonsingular and not too rapidly varying in the neighborhood of $\epsilon = \mu$, it is very reasonable to evaluate (Prob 25.4) by expanding $K(\epsilon)$ in a Taylor series about $\epsilon = \mu$, with the expectation that only the first few term will be importance:

$$K(\epsilon) = K(\mu) + \sum_{n=1}^{\infty} \left[\frac{(\epsilon - \mu)^n}{n!} \right] \left[\frac{d^n K(\epsilon)}{d\epsilon^n} \right]_{\epsilon=\mu} \quad (\text{Prob 25.5})$$

Therefore what do we do, we expand therefore, expand k of ϵ as in a Taylor series at ϵ equal to μ in this integral I .

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So if we do this, we get things like K of ϵ equals K of μ plus $d K$ by $d \epsilon$ ϵ equal to μ times ϵ minus μ plus 1 by 2 factorial $d^2 k$ like $d \epsilon$ square at ϵ equal to μ times ϵ minus μ hole square plus terms like this. So, in general, we can write this as k of μ plus the sum from over n equal to one to infinity of

epsilon mu minus mu to the power l by n factorial into d n k by d epsilon n evaluated at epsilon equal to mu.

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When we substitute (Prob 25.5) in (Prob 25.4), the leading term gives just $K(\mu)$, since

$$\int_{-\infty}^{\infty} \left(-\frac{\partial f}{\partial \epsilon} \right) d\epsilon = 1.$$

Furthermore, since $\partial f / \partial \epsilon$ is an even function of $\epsilon - \mu$, only terms with even n in (Prob 25.5) contribute to (Prob 25.4), and if we reexpress K in terms of the original function H through (Prob 25.2), we find that:

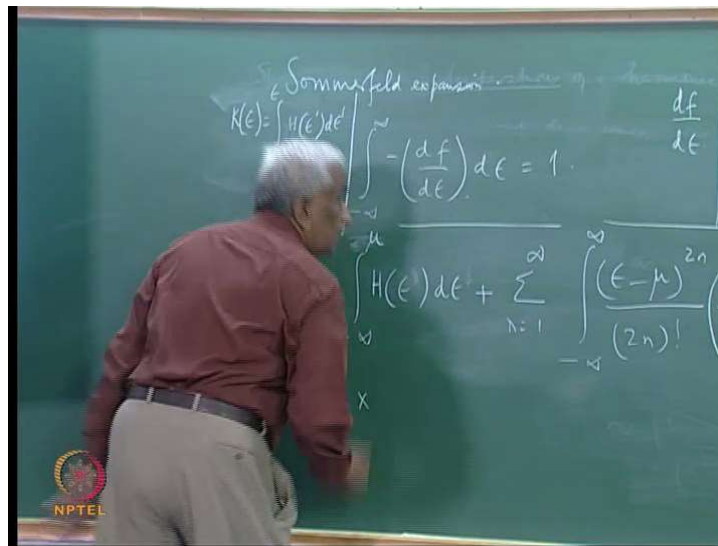
$$\int_{-\infty}^{\infty} d\epsilon H(\epsilon) f(\epsilon) = \int_{-\infty}^{\mu} H(\epsilon) d\epsilon + \sum_{n=1}^{\infty} \int_{-\infty}^{\infty} \frac{(\epsilon - \mu)^{2n}}{(2n)!} \left(-\frac{\partial f}{\partial \epsilon} \right) d\epsilon \frac{d^{2n-1}}{d\epsilon^{2n-1}} H(\epsilon) \Big|_{\epsilon=\mu}.$$

(Prob 25.6)



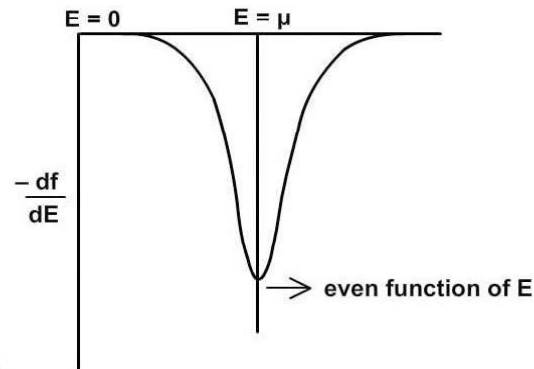
So, this is what we are going to substitute here.

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In integral has we also take into account, in fact, this is the delta function with a value one from minus infinity to plus infinity, this is an even function.

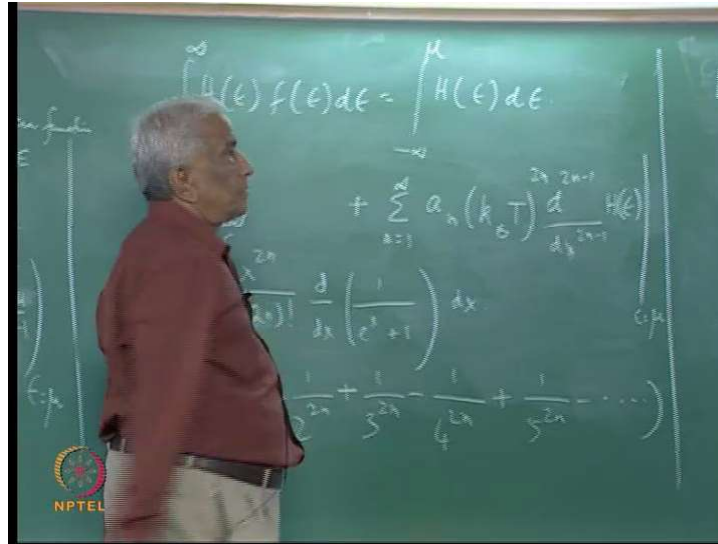
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So it is look like this, so that would be df by strictly it becomes in the related becomes delta function. So, we use this property therefore, it is a even function of epsilon. Therefore, in this integration over E , we have only left with terms, which are even n . So, taking only those terms we can write the integral required integral as we have the definition that k of E is integral using that. So, the first term will be k of μ . So, this will be a minus infinity to μ that will be the first term plus sigma n equal to 1 to infinity of the integral minus infinity to plus infinity ϵ minus μ , we considered only even terms. So, with the power $2n$ and $2n$ factorial here into minus df by dE into d^{2n-1} by $d\epsilon$ $2n-1$ of k evaluated at ϵ equal to μ , times and this is H because I have written $2n-1$ here. So, this is the final result which we can now integrate.

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So, we finally, make the substitution epsilon minus mu by k b t as x because that is what is occurring in the derivative of the Fermi Dirac function.

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Finally making the substitution $(\epsilon - \mu)/k_B T = x$, we find that

$$\int_{-\infty}^{\infty} H(\epsilon) f(\epsilon) d\epsilon = \int_{-\infty}^{\mu} H(\epsilon) d\epsilon + \sum_{n=1}^{\infty} a_n (k_B T)^{2n} \frac{d^{2n-1}}{d\epsilon^{2n-1}} H(\epsilon) \Big|_{\epsilon=\mu} \quad (\text{Prob 25.7})$$

where the a_n are dimensionless numbers given by

$$a_n = \int_{-\infty}^{\infty} \frac{x^{2n}}{(2n)!} \left(-\frac{d}{dx} \frac{1}{e^x + 1} \right) dx. \quad (\text{Prob 25.8})$$

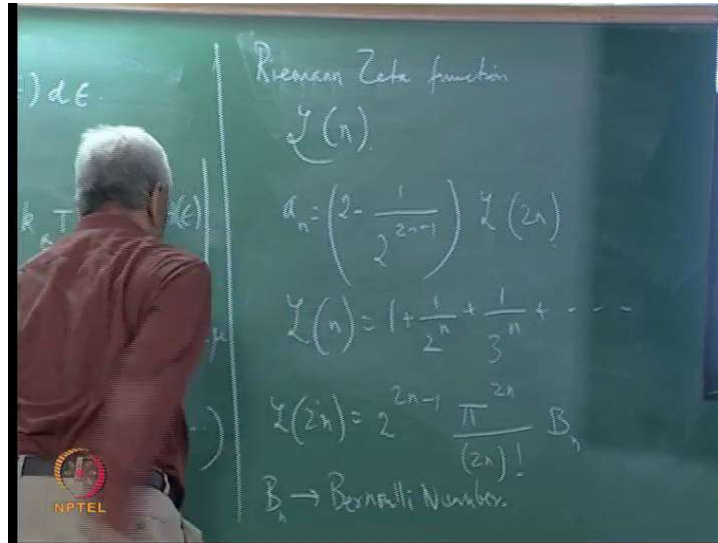
By elementary manipulations one can show that

$$a_n = 2 \left(1 - \frac{1}{2^{2n}} + \frac{1}{3^{2n}} - \frac{1}{4^{2n}} + \frac{1}{5^{2n}} - \dots \right). \quad (\text{Prob 25.9})$$

Therefore, we get the integral finally as integral minus infinity H of e F of e d e equals plus sigma n equal to 1 to infinity of a n times k B T to the power 2 n into d to the power 2 n minus 1 by d x to the power 2 n minus 1 of H of e epsilon evaluated at epsilon equal to mu. Where a n as the integral of the form x to the power 2 n by 2 n factorial into d by d x of 1 by e to the power x plus 1 d x . So, one can show that this this integral can be

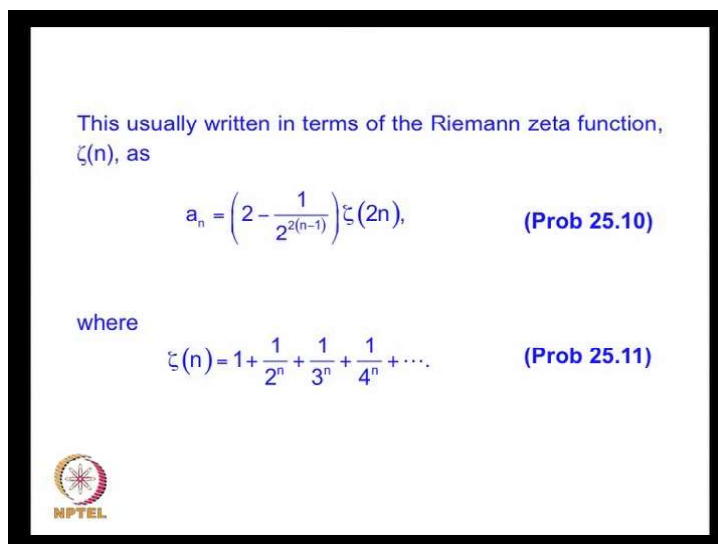
evaluated and we arrive at $2^{-1} \cdot 2^{-n} \cdot 2^{-n+1} \cdot 3^{-1} \cdot 3^{-2n} \cdot 3^{-2n+1} \cdot 4^{-1} \cdot 4^{-2n} \cdot 4^{-2n+1} \cdot 5^{-1} \cdot 5^{-2n} \cdot 5^{-2n+1} \cdot 6^{-1} \cdot 6^{-2n} \cdot 6^{-2n+1} \cdot 7^{-1} \cdot 7^{-2n} \cdot 7^{-2n+1} \cdot 8^{-1} \cdot 8^{-2n} \cdot 8^{-2n+1} \cdot 9^{-1} \cdot 9^{-2n} \cdot 9^{-2n+1} \cdot 10^{-1} \cdot 10^{-2n} \cdot 10^{-2n+1} \cdot \dots$ and so on. This is a standard result, which we will assume here.

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So, this is written usually in terms Riemann zeta function.

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Zeta of n , so we write a n as $2^{-1} \cdot 2^{-n} \cdot 2^{-n+1}$ into zeta of $2n$. Where zeta n is $1 + 1/2^n + 1/3^n + 1/4^n + \dots$

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For the first few n , $\zeta(2n)$ has the values

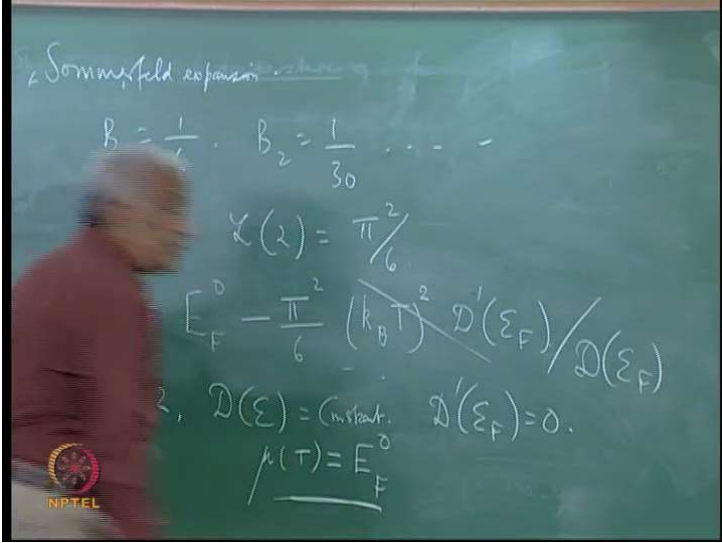
$$\zeta(2n) = 2^{2n-1} \frac{\pi^{2n}}{(2n)!} B_n \quad (\text{Prob 25.12})$$

where the B_n are known as Bernoulli numbers, and

$$B_1 = \frac{1}{6}, B_2 = \frac{1}{30}, B_3 = \frac{1}{42}, B_4 = \frac{1}{30}, B_5 = \frac{1}{66}. \quad (\text{Prob 25.13})$$


So, this can be evaluated, so zeta $2n$ in general as the form 2 to the power $2n$ minus 2 times π to the power $2n$ by $2n$ factorial into B_n , where B_n is known as the Bernoulli number.

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Sommerfeld expansion

$$B_1 = \frac{1}{6}, B_2 = \frac{1}{30}, \dots$$

$$\zeta(2) = \frac{\pi^2}{6}$$

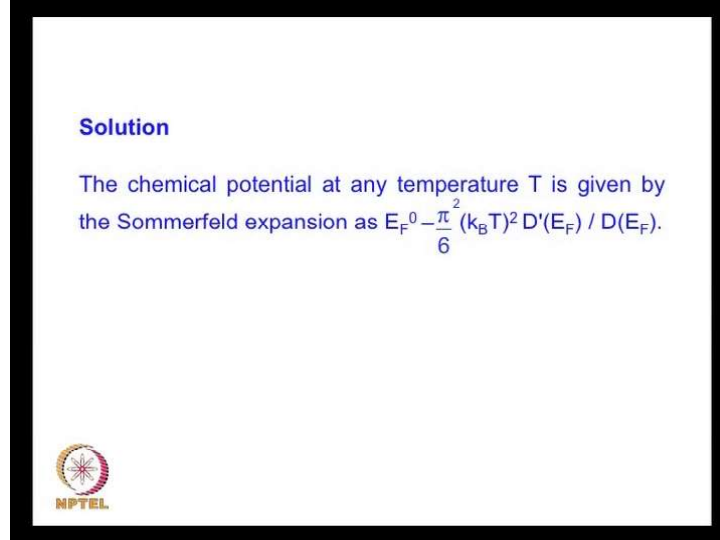
$$E_F^0 = \frac{\pi^2}{6} (k_B T)^2 \frac{D'(E_F)}{D(E_F)}$$

$D(E) = \text{constant}, D'(E_F) = 0.$

$$\mu(T) = E_F^0$$



So, this Bernoulli number as the following values B_1 for n equal to 1 is just $1/6$; B_2 is $1/30$ and so on. So, these are known standard results. So, in most practical calculations in metal physics, we need to know rarely more than zeta two zeta 2 the Riemann's zeta function is just π^2 by 6 .

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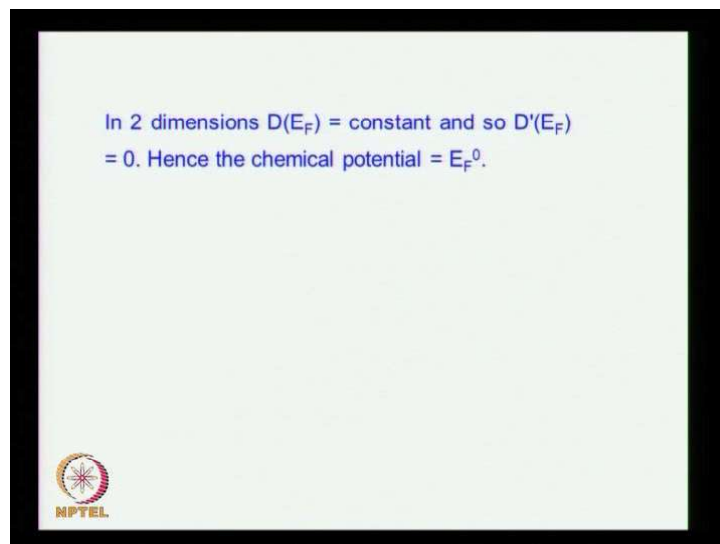
Solution

The chemical potential at any temperature T is given by the Sommerfeld expansion as $E_F^0 - \frac{\pi^2}{6} (k_B T)^2 D'(E_F) / D(E_F)$.




So, using this result we get the chemical potential μ at any temperature T as E_F^0 , the chemical potential or the Fermi energy and absolute zero minus using the expansion Sommerfeld expansion and truncating it in the first term π^2 by $6 k_B T$ whole square into D of E_F where D of E_F is the density of states D dash by D E_F . Where D dash D E_F is derivative with respect to the energy.

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In 2 dimensions $D(E_F) = \text{constant}$ and so $D'(E_F) = 0$. Hence the chemical potential $= E_F^0$.



So, we arrive at this result for the chemical potential in two dimensions, the question was about chemical potential in two dimensions, for D equal to 2, we know that the density


of states d of e is constant this is the reason which we have considered already. Therefore, D dash E F is zero. Therefore, μ of t the chemical potential at any temperature T above zero k is just the Fermi energy at T equal to zero k , because this term vanishes, so that is the result that we are required to prove.

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Problem 26

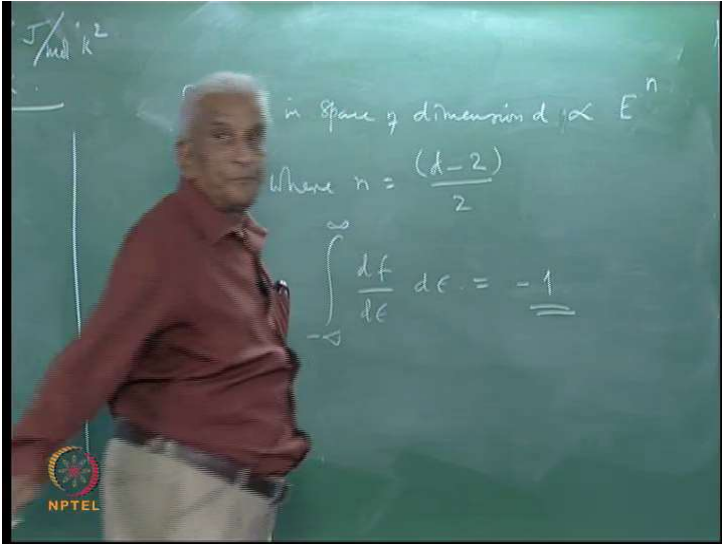
Fill in the blanks in the following:

- i. The density of states $D(E)$ for free electrons in a space of dimension ' d ' is proportional to E^n where $n = \text{-----}$.
- ii. If $f(E)$ is the Fermi-Dirac distribution function,


$$\int_{-\infty}^{\infty} \frac{df}{dE} dE = \text{-----}$$


The next question is given in the form of a fill in the blanks, fill in the blanks are straightforward.

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in space of dimension $d \propto E^n$
 where $n = \frac{(d-2)}{2}$
 $\int_{-\infty}^{\infty} \frac{df}{dc} dc = \underline{\underline{-1}}$

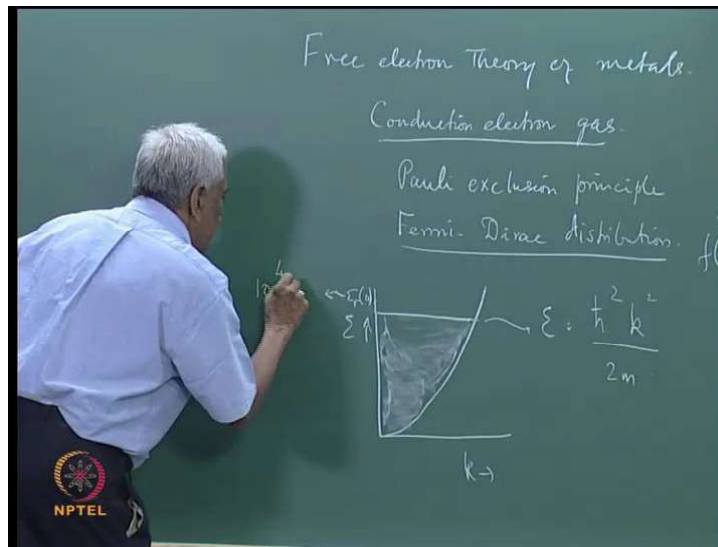


The density of states $D(\epsilon)$ for free electrons in the space of dimension d in space of dimension d is proportional to the energy to the power n where n is the answer; obviously, we have considered this already the answer is; obviously, $d - 2$ by 2. And the next question is about Fermi Dirac distribution function, if the $f(\epsilon)$ is the Fermi Dirac distribution function $\int_{-\infty}^{\infty} D(\epsilon) f(\epsilon) d\epsilon$ is the answer obviously, minus 1.

Condensed Matter Physics
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Lecture - 10
The Free Electron Theory of Metals - Electrical Conductivity

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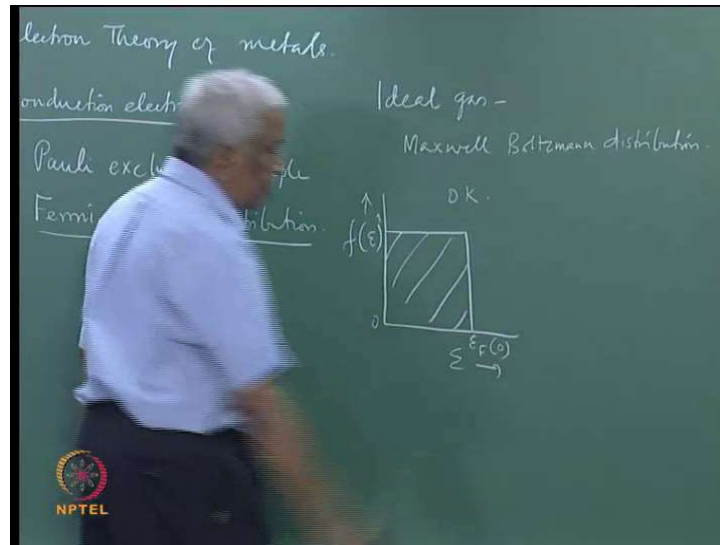


In the last lecture we discussed the free electron theory of metals in this connection we noted that metals constitute a particularly simple kind of solids in which most of the conduction properties and other related thermal behaviour all these are determined by the. So, called conduction electrons which behave very much like an ideal gas atoms or molecules except that the electron gas obeys in subjected to Pauli exclusion principle and therefore, satisfy fermi dirac distribution.

So, even though the metal is a solid crystalline solid it is mainly the electron gas which decides these physical properties like electrical transport heat transport of heat specific heat all these properties are determined by of course, there is a role from the ions the conduction electrons are formed by ionisation of the atoms of the metal. So, that you have positive ions. And then into which there is a free electron gas which is free to wander around as long as it is within the metal it is confined to the metal as a whole the metallic bond is something that binds the electron gas to the metal it is not able to escape it and become completely free.

So, except that they are free to wander around inside the metal under the influence of applied electric or magnetic fields applied heat thermal gradients and so on. So, it is this behaviour of this electron gas which is profoundly different from.

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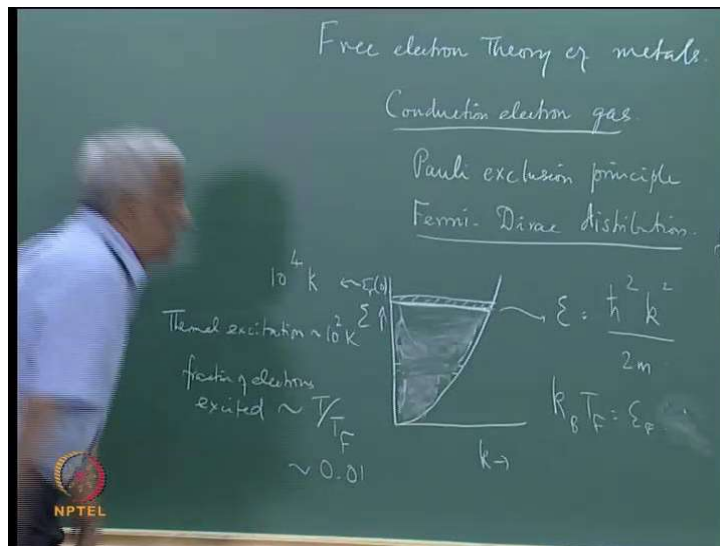
That of an ideal gas atom or molecule because the molecules are atoms of an ideal gas a classical ideal gas or satisfied the or governed by the Maxwell Boltzmann distribution this is familiar already to all of us, but the electron gas is subjected to the fermi dirac distribution. This is because the electrons are quantum mechanical particles and fermi dirac distribution is different from the Maxwell Boltzmann distribution because of the quantum behaviour of the electrons which are determined by the pauli exclusion principle the essence of the pauli exclusion principle is that. If there is an energies level and an electron occupies this energy level then no other electrons can come and occupy the same energy level. So, that is why it is called the exclusion principle and this profoundly affects the way the electrons are distributed in energy and we saw the precise form of the fermi dirac distribution which at absolute zero the distribution function goes like this as a value one here to zero and it is like this and this is known as the fermi energy of this is f zero kelvin.

So, all these states within for energies less than the fermi energy the states are completely occupied each state being occupied by a given single electron and all these states above the fermi level are completely empty. So, the fermi energy at absolute zero

is the highest energy level which is occupied in the case of a metal. And therefore, this will modify the way there are electrons are distributed in energy and this is again given by the dispersion curve of the electron, which is the e versus k curve and this is governed by the kinetic energy of the electrons which is $\frac{h^2 k^2}{2m}$. And therefore, this will be a parabolic curve which will look like this. So, that is a and states up to the fermi energy are filled these are all these states are completely filled.

So, what happens is that we discussed last time the behaviour of the contribution of these electrons to the specific heat, because when there is a thermal excitation the electrons are going to observe this heat. And therefore, there's going to be specific heat contribution due to this electron gas. Now this contribution we saw is like the hound of baskervilles is the dog that did not bark at night. So, the electronic in specific heat does not appear, it is not a dominant contribution that is the overall result of this, that is because this fermi energy is at the order of 10 to the power four Kelvin. Whereas normal thermal excitations are of the order of thermal excitation is at the order of ten to the power two kelvin.

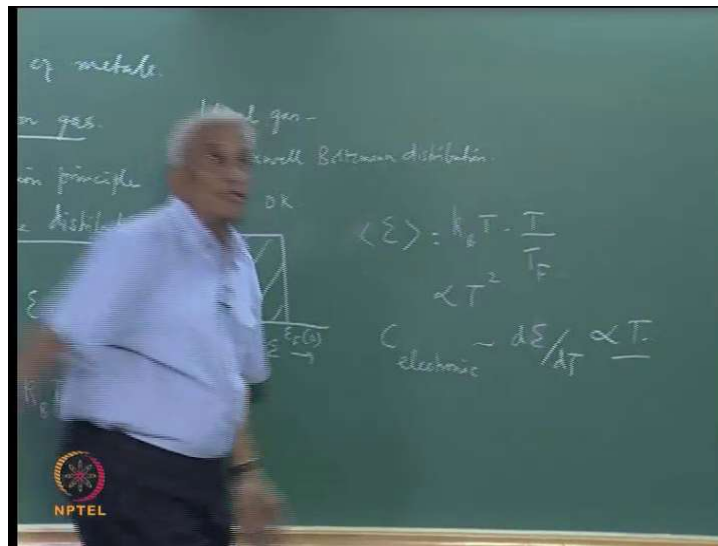
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So, it is a very small quantity in comparison to this. So, if you have a small temperature window here which say this is the energy initial energy, and the thermal excitation suppose it takes the electron to this now this is the initial. And final states are all already occupied and therefore, the electron cannot go into this state. So, even though you excite

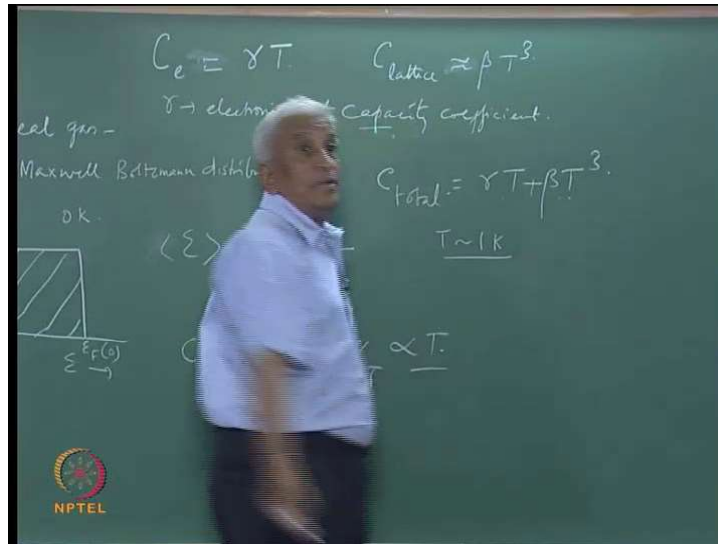
it these electrons which are deep within the energy scheme the occupied energy level they are unable to participate in the thermal excitation it is only the electrons which are the fringe which are here in a small skin layer around the fermi energy these are the fraction of electrons which will be able to contribute to the specific heat by being thermally excited. So, it is this fraction and this fraction as you can see is about a hundredth this ratio of this temperatures is one in hundredth. So, it is only a fraction of point zero one or one in hundred of total of number of electron, which can get excited and therefore, contribute to this specific heat it is for this reason. So, the fraction of electrons excited is of the order of T/T_f , where T_f is given by $k_B T_f = e_f$ or e_f/k_B . So, this fraction is only at the order of 0.0.

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One and this fraction of electron each electron will be excited by an amount $k_B T$ by Boltzmann's equipartition theorem therefore, the total contribution is T^2 , that is the mean energy of these electrons, which are excited since this goes as T^2 . So, the specific heat $C_{\text{electronic}}$ the specific heat which is the $d\epsilon/dT$ is proportional to the absolute temperature.

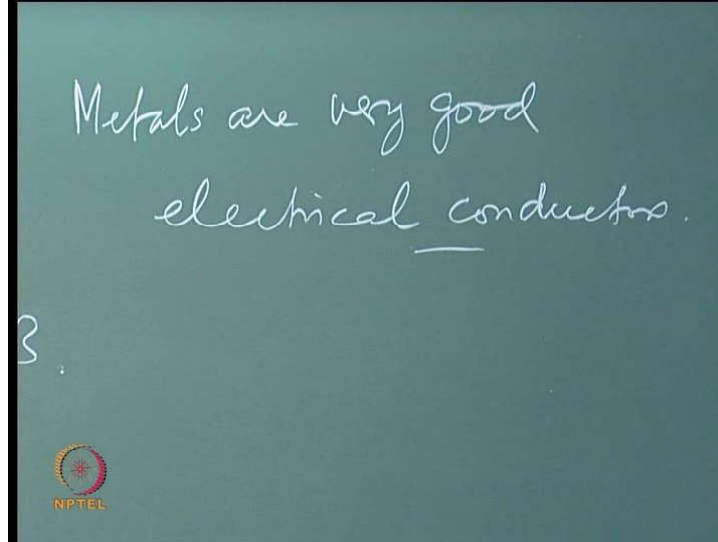
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So, that is what we write as c_e equals γT where γ is the electronic heat capacity coefficient. So, it is the Pauli exclusion principle and the Fermi-Dirac distribution which profoundly modify the behaviour of the electronic system, and prevent it from absorbing thermal excitation energy to a large extent and confine only a small fraction γ/T of the total number of electrons to be thermally excited. And therefore, contribute only a term of the order of γT as we will see later the lattice the crystal lattice of ions in a metal will have a contribution which goes as βT^3 the cube of the absolute temperature and therefore, the total specific heat will be of the form $\gamma T + \beta T^3$.

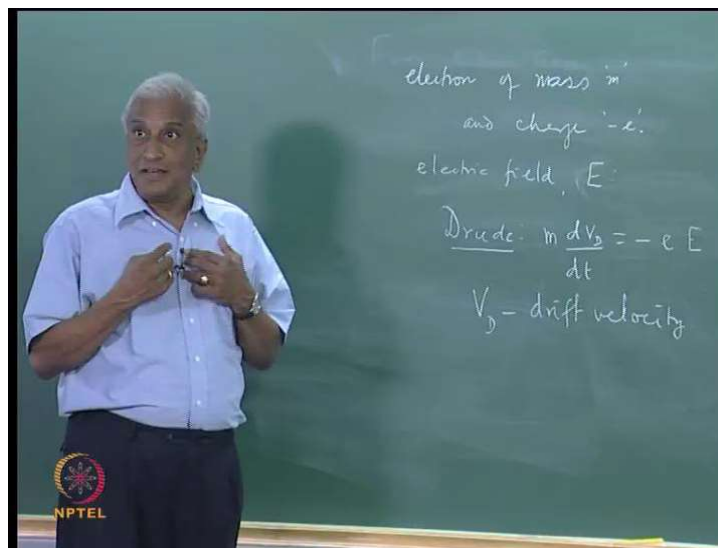
So, at high temperatures it is this term which will dominate therefore, this will be negligible, and you cannot even detect it it is only when you go to temperatures as the order of one Kelvin, which is an extremely low temperature it is only at such low temperature. These two terms will become comparable and then you can detect the electronic contribution. So, this is the important concept that we developed last time.

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Now, we move on to discuss how this picture of conduction electron gas in a metal is going to lead to the very well-known behaviour of metals namely that they are very good electrical conductors. So, we would like to know how and why a metal like silver or gold or copper they are very good conductors of electricity this is a very important characteristic of a metal which we would like to understand in the frame work of the free electron gas picture. So, this is our next aim. So, what do we do we just take this conduction electron gas consider it and then apply an electric field a dc electric field.

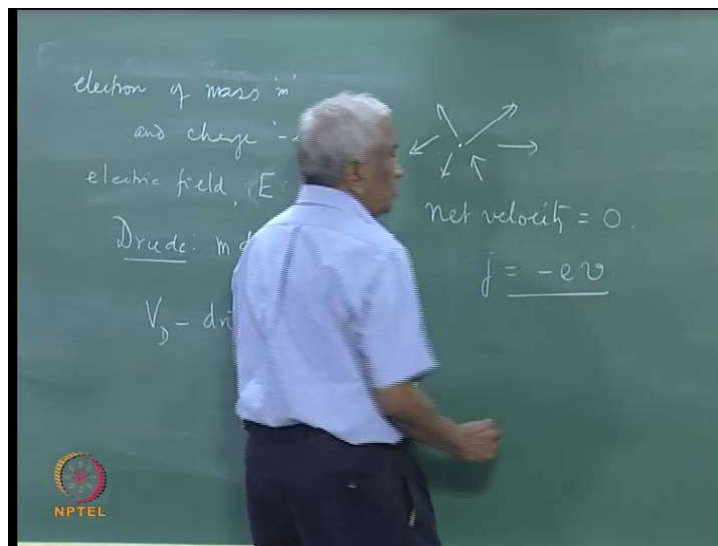
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So, let us first start looking by looking at the behaviour of a single electron of electronic charge electron of mass m and charge minus e . So, let us look at what happens to these electron when we apply a dc electrical field of strength E . So, we know that we can this is a very simple situation. And we will to start with use a classical picture which was due to which was first proposed by a person named Drude. So, this is known as the Drude theory of electrical conductivity this is an extremely simple picture where I have a particle of mass m , but a charged particle carrying the charge minus e and therefore, in an electric field the force on it will be minus eE and that will be equal to this is the force.

So, Newton's law of motion tells us that this should be because this v_d , because v_d is known as the drift velocity of the electron why do we call it drift velocity. This is because normally if you do not have an applied electric field what happens to these electrons, they are still moving around they they are very much like as we said they are very much like the atoms on a ideal gas. So, they are not keeping quite. So, they are free to move around. And therefore, they do move does it mean that they there will be a conductivity there will be electrical conduction whenever an electron moves somewhere there should be a current and therefore, there should be a conduction, but this question is answered because in the classical picture these electrons are free to move around.

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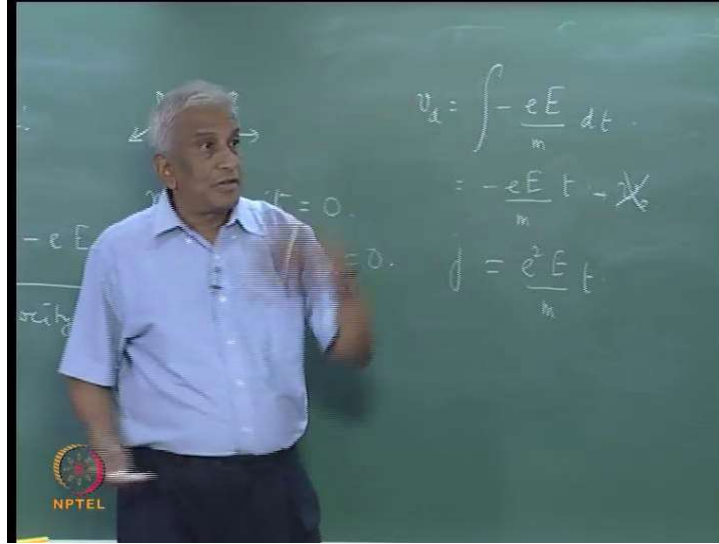


But they more around in perfectly a random fashion very much like what is said in the kinetic theory of gases. So, they are moving around a given electron is moving around in

all possible directions randomly with equal probability. Therefore, this electron is very much like a drunkard what does a drunkard do a drunkard stands here he is under the influence of liquor. So, you watch him he is moving a few steps in this way and then talks to himself and comes, and moves a few steps this way and then this way. So, what happens even after a few hours, if you watch him he if he is standing in a place is moving this moving this way moving this way moving everywhere all the time, but the where is the net displacement he is where is was a few hours ago. So, it is a drunkard who walks all the time, but with no net displacement there is no net displacement. So, in the same way the electrons when they are simply diffusing like the atoms of a gas then the net velocity in any given direction when there is no field vanishes identically it is zero and therefore, when there is these current density is just given by minus $e v$. So, this velocity is zero.

So, it vanishes. So, there is no conduction even though the electrons are moving around they are bumping around in all possible directions, but nothing happens, if you are cannot focusing on a particular direction and trying to measure the conduction conductivity in that direction. So, it vanishes in the absence of an applied electric field, but when you put an applied electric field in then this electric field forces the electron to move in a direction opposite to the applied electric field. Therefore, there is a net drift in a given direction that is why this is called a drift velocity, and this gives you the rate at which this distribute this this drift velocity changes with time and gets accelerated by the applied electric field. So, that is the equation of motion well if this is all there is to head.

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Let us see what happens therefore, integrating this we will see that v_d is integral minus e by m $d t$. Therefore, this is by t plus a constant v_0 the initial speed which is zero to start with there was no velocity when there was no electric field. So, if we start from rest this is the net, and the j the current density will go as e square e by m into t from this equation so; that means, there will be a current build up. And as time passes on the current will go on increasing monotonically, and it will eventually if you wait long enough it can even blow up and become infinitely large, but we all know that this does not happen in any conductor there is a finite current. If you apply a certain voltage producing a certain electric field it produces a certain amount of current which is given by ohm's law, this is the observation that we are all familiar with, but this model does not explain that instead it predicts a current density which goes on increasing monotonically with time.

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The electron-phonon scattering may be described in terms of a relaxation time, τ , which provides a measure of the time in which the drifting electrons relax to a limiting velocity, We thus have:

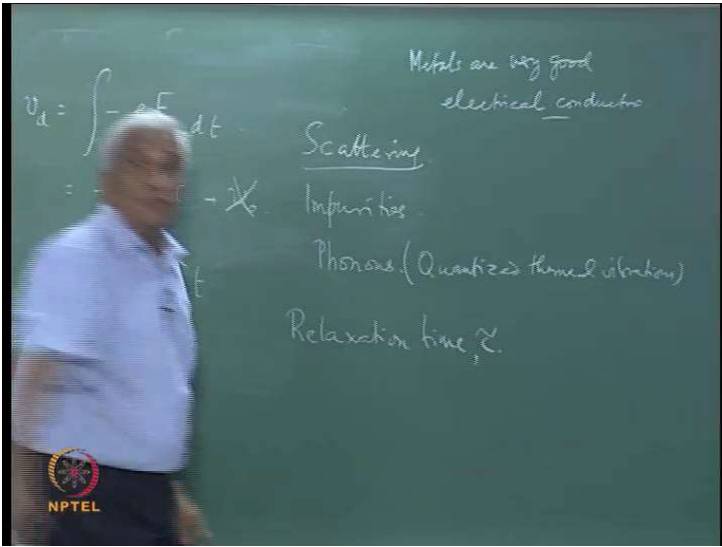
$$\frac{dv_D}{dt} = -\frac{v_0}{\tau} \quad (10.1)$$

We may now combine the effect of the dc electric field (eqn. 9.14) and that of phonon (eqn.10.1) scattering and write:

$$\frac{dv_D}{dt} = -\frac{eE}{m} - \frac{v_0}{\tau} \quad (10.2)$$


If you wait long enough you can get an infinite current from a finite electric field which is up surd, this is because there is something that we ignored you are not taken into account these electrons this is the behaviour of one electron. And even if you have ten thousand or ten to the power 24 electrons the behaviour can be described by a simple addition or super position of these current contributions.

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Metals are very good electrical conductors

Scattering

Impurities

Phonons (Quantized thermal vibrations)

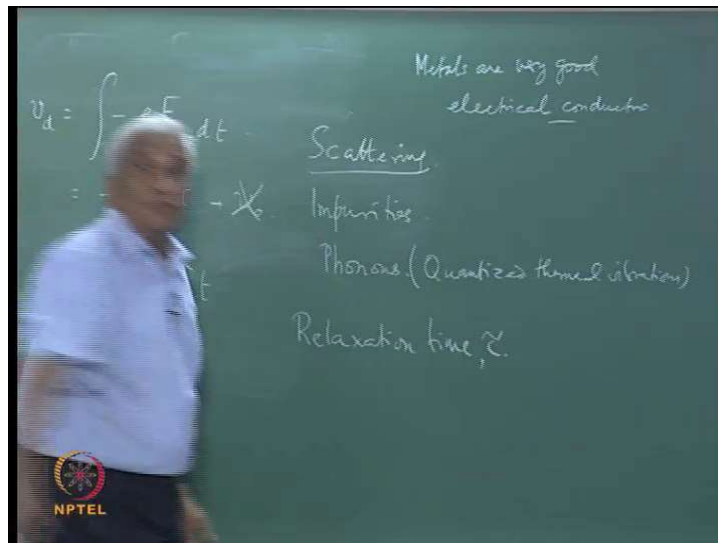
Relaxation time, τ .

$$v_d = \int -eE dt$$


But this gas is being when it is moving when it is drifting under the influence of an electric field, there are other things that are happening on the path these electrons gets

scattered by various obstacles on their way. For example, in a in a metallic lattice there are many impurities impurity atoms, there are also the positive ions and then there are defects of various kinds like dislocations stacking walls grain boundaries and. So, on all these act as scattering centres. So, this scattering can arise from impurities also these atoms are ions in a crystalline solid are not at rest there are vibrating all the time there are thermal vibrations at any finite temperatures and these thermal vibrations increase as temperature increases.

So, it is a even if you think that these vibrations are simple harmonic there will be an effect due to these vibrations vibrating atoms and therefore, they can act as scattering centres the vibrating ions in the crystal lattice in the metallic crystal lattice. So, these thermal vibrations when they are quantise, they are called phonons we will discuss them a little later for our present discussion, it is enough to know that these are quantised thermal vibrations of the solid.



So, there can be scattering due to phonons, which will increase with temperature unlike the impurities the phonon scattering will depend on the temperature. So, these scattering events have to be considered in order to decide what will be the drift velocity of a given electron the way this scattering is taken into account is by its thinking that suppose there is no scattering of a given electron is scattered at a particular instant of time. Then the entire distribution is affected the distribution of the electrons momentarily, but then this distribution if you leave this like this. And look at only the scattering even immediately after the scattering the entire distribution will relax back to its original value there is an

equilibrium distribution. And then that is momentarily disturbed by the scattering of the electrons and then after a little time this disturbed distribution will relax back to the original equilibrium distribution function. So, this is model which is called the relaxation time model.

So, if this takes as an amount of time τ is known as the relaxation time the characteristic time in which the drifting electron relax back to an equilibrium configuration, when there will be a limiting velocity not a unlimited velocity like that. Then this is described mathematically by any equation of this form these are simple first order differential equation which as you all know will produce a solution which gives you a velocity which decays exponentially with a characteristic time. So, this will a drift velocity which goes as. So, that is why this τ is known as the characteristic time of relaxation through which describes this exponential relaxation process. So, this can now be combined. So, there are two processes one the applied electric field accelerates the electron, and then the electrons which gets scattered by the various scattering centres in the solid they produce a relaxation at the distribution function towards an equilibrium or limiting value.

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which has the steady state solution:

$$(v_D)_{steady\ state} = \frac{-e\bar{E}\tau}{m} \quad (10.3)$$

Using the above equation in equation (10.1) leads to a steady state current density:

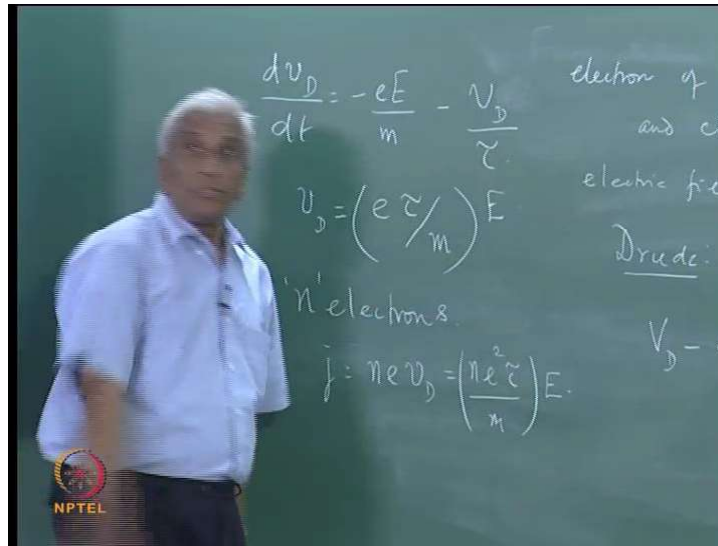
$$(j)_{steady\ state} = \frac{ne^2\tau}{m}\bar{E} \quad (10.4)$$

In other words, the Drude theory predicts an electrical conductivity, $\sigma (=j/E)$ which is given by:

$$\sigma = \frac{ne^2\tau}{m} \quad (10.5)$$


And therefore, we have to consider both of these equations together to describe the rate of change in time of the drift velocity.

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So, when you do this you get an equation a combined equation which is of this form eE by m like this plus an additional term. So, that equation is that is the equation will describe the time rate of change, and when you solve this first order differential equation this will give you a steady state solution which will give you something like. And therefore, if there are if there is a number n electrons if n is the electron concentration then j is $n e v_d$, and this will be $n e$ square τ by m times e and since by ohm's law this is equal to σe where σ is the conductivity.

So, we get the electrical conductivity as $n e$ square τ by m ; that is the drude expression for the electrical conductivity of a metal having a concentration n of conduction electrons each carrying a charge e . And a mass having a mass m , which are drifting under the influence of an electric field getting scattered by the various scattering centres inside the metal. And relax with a characteristic in time τ towards an equilibrium value. So, for such a situation the drude theory, which is a purely classical theory which does not take into account the quantum nature as electrons this is a very old theory, but which gives a remarkably accurate expression for the electrical conductivity. If you we already saw how we can calculate the electron concentrations using fermi dirac distribution, and if you plug in the value one finds a very nice way to describe the electrical resistivity or conductivity behaviour of simple metals well.

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It is convenient to distinguish between the influence of 'fields' and the influence of collisions on the distribution function of the electron gas. By fields we mean either electric and magnetic fields or a temperature gradient etc. Thus we write:

$$df/dt = (df/dt)_{\text{fields}} + (df/dt)_{\text{collisions}}$$

Here $f = f_0 - \frac{\partial f_0}{\partial k_x} \left(\frac{eE_x}{m\hbar} \right) dt$ where $\hbar = \frac{h}{2\pi}$
 and h is the Planck's constant.

$$= f_0 - \left(\frac{\partial f_0}{\partial \epsilon} \right) \hbar v_x \left(\frac{eE_x}{m\hbar} \right) dt$$

and $f_0 = 1 / [\exp\{ (\epsilon - \epsilon_F) / k_B T \} + 1]$




This is all very well, but the question is can we use classical behaviour a classical description the answer is no as we already saw in the connection with the electronic heat capacity. So, we have to require that the electrons obey fermi dirac statistics. So, we have to write the equilibrium distribution function in the presence of scattering, and in the presence of an applied electric field in order to do this we make use of a formalism which was again developed by Boltzmann.

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Boltzmann Transport equation.

$f_0(\epsilon) \rightarrow$ Fermi Dirac distribution $f_0 =$

$$= \frac{1}{\exp\left(\frac{\epsilon - \epsilon_F(\epsilon)}{k_B T}\right) + 1}$$

$$\frac{df}{dt} = \left(\frac{df}{dt}\right)_{\text{fields}} + \left(\frac{df}{dt}\right)_{\text{collisions}}$$


This is known as the Boltzmann transport equation the Boltzmann transport equation says tells us what happens to the distribution function in the presence of an applied electric field, and also in the presence of scattering mechanisms. So, we talk about again the distribution function f of e , which is the fermi dirac standard fermi dirac distribution function but we will call it f_0 when it is when there are no applied electric fields, and there are now scattering mechanism. We will call it f_0 , that is the equilibrium distribution function, which has we know has the form one by we saw this last time.

So, this is a standard equilibrium distribution function in the absence of applied electric fields and scattering mechanisms, but now the Boltzmann's transport equation tells us how to write the distribution function in the presence of fields and collisions due to scattering. So, the distribution function changes the f of e changes with time. And now we have to it is convenient to distinguish between the influence of fields fields can be electric fields it can be magnetic fields it can be even temperature gradient. So, depending, if it is an electric field the transfer to the electrons is determined by the electrical conduction mechanism, if it is a thermal gradient then this is determined by the thermal conduction.

So, you can have via this formalism we can at the same time describe electrical as well as thermal conduction and many other processes as you see which come under the general category of transport processes, that is why this equation is known as the transport equation. So, the change in the distribution function with time has two contributions one due to fields and another due to collisions. So, we will evaluate them separately.

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$$f = f_0 - \left(\frac{\partial f_0}{\partial k_x} \right) \cdot \left(\frac{e E_x}{\hbar} \right) dt$$

$$= f_0 - \left(\frac{df_0}{d\xi} \right) \left(\frac{\hbar v_x}{\hbar} \right) \left(\frac{e E_x}{\hbar} \right) dt$$

$$= \frac{\hbar^2 k_x^2}{2m} \left(\frac{df}{dt} \right)_{\text{field}} = - \left(\frac{df_0}{d\xi} \right) e E_x v_x$$

$$\left(\frac{df}{dt} \right)_{\text{collisions}} = \frac{(f_0 - f)}{\tau}$$

$p_x = \hbar k_x$
 $\hbar = \frac{h}{2\pi}$
 $\hbar \rightarrow$ Planck's Constant

$\left(\frac{df}{dt} \right)_{\text{field}}$
 $\left(\frac{df}{dt} \right)_{\text{collisions}}$

So, how do we do this. So, this will be implying this f nought minus I can write this as in terms of the energy using the energy momentum relationship. Therefore, I can write de by dk_x here, which will give me $\hbar v_x$, you can check this up times $e E_x$ by \hbar cross dt where v_x is the corresponding speed. So, this is k_x^2 by $2m$. So, this simplifying this we will find now differentiating this df by dt field.

And now f nought is the equilibrium distribution function in the absence of the fields, and therefore that will not change the fields do not affect the equilibrium configuration the value the way they are distributed under equilibrium in steady state. So, the change is coming only from this and that is given as please note that I am writing the x component of the applied electric field in terms of e in this form, and the energy is written by represented by ξ in this form. So, please distinguish these two let us keep these two separately not mix them up. So, this gives you this term and the df by dt due to collisions you have already seen how it goes by the velocity and therefore, this is a similar form very much similar to what happens in the case of the drift velocity.

So, the distribution from this describe this equation describes the exponential relaxation at the distribution function to the equilibrium value f nought with the characteristic time τ . So, these two have to be combined in order to get the total rate of change. So, that will give me f as taking f in this, and combining these two equations the results here, we arrive at the net distribution function in the presence of the applied field into...

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The electrical conductivity is now given by:


$$j_x = (e/4\pi^3) \int f v_x dk_x dk_y dk_z$$

where $f = f_0 - (df/d\varepsilon) v_x e E_x \tau$

The part of the above integral involving f_0 vanishes and so

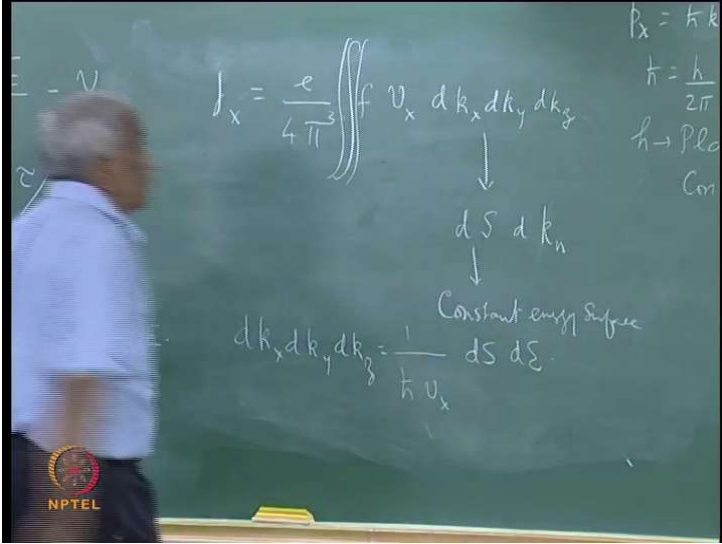
$$j_x = (e/4\pi^3) \int v_x - (df/d\varepsilon) v_x e E_x \tau dk_x dk_y dk_z$$

The volume element in k space can be rewritten as $dS dk_n$ where dS is an element of area of constant energy surface and dk_n is an element of length in k space normal to dS



So, we have to now use this distribution function the new distribution function to describe the average behaviour of various quantities such as the current density.

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$$j_x = \frac{e}{4\pi^3} \iiint f v_x dk_x dk_y dk_z$$

$$p_x = \hbar k$$

$$\hbar = \frac{h}{2\pi}$$


$$\hbar \rightarrow p l_0$$

$$\text{Cor}$$

$$dS dk_n$$

Constant energy surface

$$dk_x dk_y dk_z = \frac{1}{\hbar v_x} dS dE$$



So, the evaluation of the current density proceeds in the same way as before j_x equals e by four pi cube $f v_x dk_x dk_y dk_z$ integral a triple integral in k 's place, where f is what we have on the other side. Now this has two contribution from f naught and $d f$ naught by $d\varepsilon$ now this contribution due to the part involving f naught vanishes, because it is the equilibrium configuration. And it is a as we have already seen under steady state

equilibrium in the absence of applied fields this contribution to the current density vanishes because the electron has a random motion. So, it is only the other term which contributes to this in order to evaluate this integral the usual procedure is to consider this volume element in k 's place which can be written rewritten. We rewrite this part as $d s$ times $d k_n$, where $d s$ is an element of area of constant energy surface and $d k_n$ is a length element in the direction normal to this constant energy. So, we evaluate this integral using this relationship.

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The image shows a chalkboard with the following handwritten equations:

$$j_x = \frac{e^2 E_x}{4\pi^3 \hbar} \tau \int \frac{v_x^2}{v} \left(\frac{df}{d\varepsilon} \right) d s d \varepsilon$$

Below this, it says:

$$j_y \quad E_x = E_y = E_z = E$$

And then:

$$j_z \quad \text{Cubic} \quad j_x = j_y = j_z = j$$

In the bottom left corner of the chalkboard, there is a small circular logo with the text "NPTEL" below it.

So, that I can write $d k_x d k_y d k_z$ as one by \hbar cross $v_x d s d \varepsilon$, so replacing this and calculating this we arrive at the final result j_x equals evaluating all this $e^2 E_x$ by four pi cube \hbar cross tau integral v_x^2 by $v d s d \varepsilon$ into $d f$ by $d \varepsilon$. Now we left E_x we would like to not only calculate j_x , but we will also like to calculate it along with three principle directions xyz . So, we would like to evaluate j_y and j_z .

Under the influence of electric fields directed along the y and z directions setting E_x to be equal to E_y to be equal to E_z , that is we apply the same electric field and we assume that this metal is a cubic metal having cubic symmetry. So, that j_x equal to j_y equal to j_z equal to j in other words we for the moment we ignore the anisotropic of a solid and consider the metal as an isotropic conductor, which has the same behaviour in all the three directions. If we do this and simplify this integral we get the relation connecting j

to e , and using ohm's law j equal to σe we can write the conductivity as e square by 12π cube h cross to τ integral v square by v .

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$$n = \left\{ \frac{4\pi}{3} k_F^3 \right\}$$

$$\sigma = \frac{n e^2 \tau}{m}$$

$4\pi^3$

NPTEL

So, which is $v d s$ and evaluating this and using the relation n equal to 4π by 3 k_f cube divided by 4π cube, that is the electron concentration. We get back we find that simplifying we find again the same relation the old drude formula for the electrical conductivity this means that the application of the fermi dirac distribution does not change the form in the drude's formula. And we get this this expression gives you a very nice way to determine the a calculate the electrical conductivity of a metal. We will continue in the next lecture to see how we can describe other transport process like thermal conduction using the same formulation.

Free electron model

is article needs additional citations for verification.

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In solid-state physics, the **free electron model** is a simple model for the behaviour of charge carriers in a metallic solid. It was developed in 1927,^[1] principally by Arnold Sommerfeld who combined the classical Drude model with quantum mechanical Fermi–Dirac statistics and hence it is also known as the **Drude–Sommerfeld model**.

Given its simplicity, it is surprisingly successful in explaining many experimental phenomena, especially

- the Wiedemann–Franz law which relates electrical conductivity and thermal conductivity;
- the temperature dependence of the electron heat capacity;
- the shape of the electronic density of states;
- the range of binding energy values;
- electrical conductivities;
- the Seebeck coefficient of the thermoelectric effect;

- thermal electron emission and field electron emission from bulk metals.

The free electron model solved many of the inconsistencies related to the Drude model and gave insight into several other properties of metals. The model considers that metals are composed of a quantum electron gas where ions play almost no role. The model can be very predictive when applied to alkali and noble metals.

Ideas and assumptions

In the free electron model four main assumptions are taken into account:

- Free electron approximation: The interaction between the ions and the valence electrons is mostly neglected, except in boundary conditions. The ions only keep the charge neutrality in the metal. Unlike in the Drude model, the ions are not necessarily the source of collisions.
- Independent electron approximation: The interactions between electrons are ignored. The electrostatic fields in metals are weak because of the screening effect.
- Relaxation-time approximation: There is some unknown scattering mechanism

such that the electron probability of collision is inversely proportional to the relaxation time τ , which represents the average time between collisions. The collisions do not depend on the electronic configuration.

- Pauli exclusion principle: Each quantum state of the system can only be occupied by a single electron. This restriction of available electron states is taken into account by Fermi–Dirac statistics (see also Fermi gas). Main predictions of the free-electron model are derived by the Sommerfeld expansion of the Fermi–Dirac

occupancy for energies around the Fermi level.

The name of the model comes from the first two assumptions, as each electron can be treated as free particle with a respective quadratic relation between energy and momentum.

The crystal lattice is not explicitly taken into account in the free electron model, but a quantum-mechanical justification was given a year later (1928) by Bloch's theorem: an unbound electron moves in a periodic potential as a free electron in vacuum, except for the electron mass m_e becoming an effective mass m^* which

may deviate considerably from m_e (one can even use negative effective mass to describe conduction by electron holes). Effective masses can be derived from band structure computations that were not originally taken into account in the free electron model.

From the Drude model

Many physical properties follow directly from the Drude model as some equations do not depend on the statistical distribution of the particles. Taking the classical velocity distribution of an ideal gas or the velocity distribution of a Fermi

gas only changes the results related to the speed of the electrons.

Mainly, the free electron model and the Drude model predict the same DC electrical conductivity σ for Ohm's law, that is

$$\mathbf{J} = \sigma \mathbf{E} \quad \text{with} \quad \sigma = \frac{ne^2\tau}{m_e},$$

where \mathbf{J} is the current density, \mathbf{E} is the external electric field, n is the electronic density (number of electrons/volume) and e is the electron electric charge.

Other quantities that remain the same under the free electron model as under

Drude's are the AC susceptibility, the plasma frequency, the magnetoresistance, and the Hall coefficient related to the Hall effect.

Properties of an electron gas

Many properties of the free electron model follow directly from equations related to the Fermi gas, as the independent electron approximation leads to an ensemble of non-interacting electrons. For a three-dimensional electron gas we can define the Fermi energy as

where \hbar is the reduced Planck constant. The Fermi energy defines the Fermi level, *i.e.* the maximal energy an electron in the metal can have at zero temperature. For metals the Fermi energy is in the order of units of electronvolts.^[2]

In three dimensions, the density of states of a gas of fermions is proportional to the square root of the kinetic energy of the particles.

Density of states

The 3D density of states (number of energy states, per energy per volume) of a non-interacting electron gas is given by:

where E is the energy of a given electron. This formula takes into account the spin degeneracy but does not consider a possible energy shift due to the bottom of the conduction band. For 2D the density of states is constant and for 1D is inversely proportional to the square root of the electron energy.

Chemical potential

Additionally the Fermi energy is used to define chemical potential . Sommerfeld expansion is a technique used to calculate the chemical potential for higher energies, that is

where T is the temperature and we define T_F as the Fermi temperature (k_B is Boltzmann constant). The perturbative approach is justified as the Fermi temperature is usually of about 10^5 K for a metal, hence at room temperature or lower the Fermi energy and the

chemical potential are practically equivalent.

Compressibility of metals and degeneracy pressure

The total energy per unit volume (at $T=0$) can also be calculated by integrating over the phase space of the system, we obtain

which does not depend on temperature. Compare with the energy per electron of an ideal gas: $\frac{3}{2}k_B T$, which is null at zero temperature. For an ideal gas to have the

same energy as the electron gas, the temperatures would need to be of the order of the Fermi temperature.

Thermodynamically, this energy of the electron gas corresponds to a zero-temperature pressure given by

where V is the volume and

E is the total energy, the derivative performed at temperature and chemical potential constant. This pressure is called the electron degeneracy pressure and does not come from repulsion or motion of the electrons but from the

restriction that no more than two electrons (due to the two values of spin) can occupy the same energy level. This pressure defines the compressibility or bulk modulus of the metal

This expression gives the right order of magnitude for the bulk modulus for alkali metals and noble metals, which show that this pressure is as important as other effects inside the metal. For other metals the crystalline structure has to be taken into account.

Additional predictions

Heat capacity

One open problem in solid-state physics before the arrival of the free electron model was related to the low heat capacity of metals. Even when the Drude model was a good approximation for the Lorenz number of the Wiedemann-Franz law, the classical argument is based on the idea that the volumetric heat capacity of an ideal gas is

.

If this was the case, the heat capacity of a metal could be much higher due to this electronic contribution. Nevertheless, such a large heat capacity was never measured, rising suspicions about the argument. By using Sommerfeld's expansion one can obtain corrections of the energy density at finite temperature and obtain the volumetric heat capacity of an electron gas, given by:

where the prefactor to C_V is considerably smaller than the $3/2$ found in C_V , about 100 times smaller at room

temperature and much smaller at lower .

The good estimation of the Lorenz number in the Drude model was a result of the classical mean velocity of electron being about 100 larger than the quantum version, compensating the large value of the classical heat capacity. The free electron model calculation of the Lorenz factor is about twice the value of Drude's and its closer to the experimental value. With this heat capacity the free electron model is also able to predict the right order of magnitude and temperature dependence at low T for the Seebeck coefficient of the thermoelectric effect.

Evidently, the electronic contribution alone does not predict the Dulong–Petit law, i.e. the observation that the heat capacity of a metal is constant at high temperatures. The free electron model can be improved in this sense by adding the lattice vibrations contribution. Two famous schemes to include the lattice into the problem are the Einstein solid model and Debye model. With the addition of the later, the volumetric heat capacity of a metal at low temperatures can be more precisely written in the form,

,

where γ and β are constants of related to the material. The linear term comes from the electronic contribution while the cubic term comes from Debye model. At high temperature this expression is no longer correct, the electronic heat capacity can be neglected, and the total heat capacity of the metal tends to a constant.

Mean free path

Notice that without the relaxation time approximation, there is no reason for the electrons to deflect their motion, as there are no interactions, thus the mean free path should be infinite. Drude model

considered the mean free path of electrons to be close to the distance between ions in the material, implying the earlier conclusion that the diffusive motion of the electrons was due to collisions with the ions. The mean free paths in the free electron model are instead given by

(where v_F is the Fermi speed) and are in the order of hundreds of ångströms, at least one order of magnitude larger than any possible classical calculation. The mean free path is then not a result of electron-ion collisions but instead is related to imperfections in the material, either due to

defects and impurities in the metal, or due to thermal fluctuations.^[3]

Inaccuracies and extensions

The free electron model presents several inadequacies that are contradicted by experimental observation. We list some inaccuracies below:

Temperature dependence

The free electron model presents several physical quantities that have the wrong temperature dependence, or no dependence at all like the electrical conductivity. The thermal conductivity and specific heat are well predicted for

alkali metals at low temperatures, but fails to predict high temperature behaviour coming from ion motion and phonon scattering.

Hall effect and magnetoresistance

The Hall coefficient has a constant value $R_H = -1/(ne)$ in Drude's model and in the free electron model. This value is independent of temperature and the strength of the magnetic field. The Hall coefficient is actually dependent on the band structure and the difference with the model can be quite dramatic when studying elements like magnesium and aluminium that have a strong magnetic field dependence. The free electron

model also predicts that the traverse magnetoresistance, the resistance in the direction of the current, does not depend on the strength of the field. In almost all the cases it does.

Directional

The conductivity of some metals can depend of the orientation of the sample with respect to the electric field.

Sometimes even the electrical current is not parallel to the field. This possibility is not described because the model does not integrate the crystallinity of metals, i.e. the existence of a periodic lattice of ions.

Diversity in the conductivity

Not all materials are electrical conductors, some do not conduct electricity very well (insulators), some can conduct when impurities are added like semiconductors. Semimetals, with narrow conduction bands also exist. This diversity is not predicted by the model and can only be explained by analysing the valence and conduction bands. Additionally, electrons are not the only charge carriers in a metal, electron vacancies or holes can be seen as quasiparticles carrying positive electric charge. Conduction of holes leads to an opposite sign for the Hall and Seebeck coefficients predicted by the model.

Other inadequacies are present in the Wiedemann-Franz law at intermediate temperatures and the frequency-dependence of metals in the optical spectrum.

More exact values for the electrical conductivity and Wiedemann-Franz law can be obtained by softening the relaxation-time approximation by appealing to Boltzmann transport equations or Kubo formula.

The spin is mostly neglected in the free electron model and its consequences can lead to emergent magnetic phenomena

like Pauli paramagnetism and ferromagnetism.

An immediate continuation to the free electron model can be obtained by assuming the empty lattice approximation, which forms the basis of the band structure model known as the nearly free electron model.

Adding repulsive interactions between electrons does not change very much the picture presented here. Lev Landau showed that a Fermi gas under repulsive interactions, can be seen as a gas of equivalent quasiparticles that slightly

modify the properties of the metal.

Landau's model is now known as the Fermi liquid theory. More exotic phenomena like superconductivity, where interactions can be attractive, require a more refined theory.

See also

- Bloch wave
- Electronic entropy.
- Tight binding
- Two-dimensional electron gas
- Bose–Einstein statistics
- Fermi surface

- White dwarf

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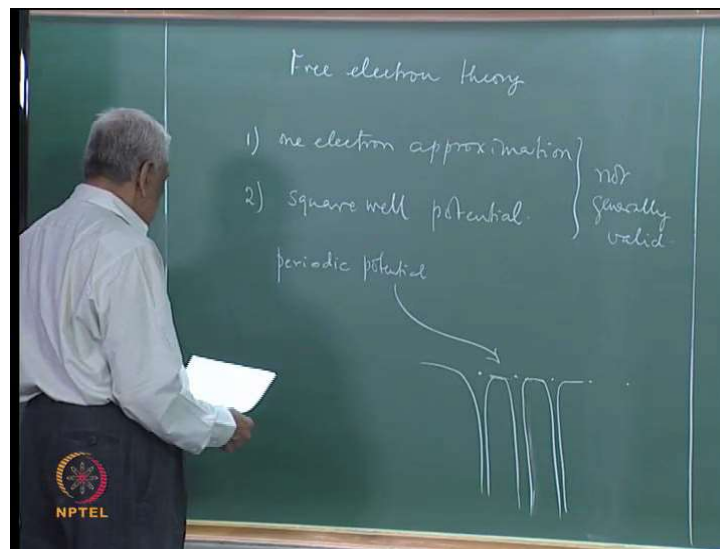
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Condensed Matter Physics
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Lecture - 34
Energy Bands in Solids

The free electron theory, which was successful in explaining the physical properties of metallic materials has its serious limitations.

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Because it is based on the free electron theory is based on one - one electron approximation, which means that we look at one electron and considered the effect of all the other conductional electrons on it in the form of a square well potential, which is constant throughout the metal. And to which this electron is subject and which prevent it from getting out of the metal as whole. These are the two approximation and they are not generally valid.

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Free Electron Theory

1. One electron approximation
2. Square well potential
Periodic potential

} not generally valid



So, in order to make further progress, it is necessary to go beyond this approximation mainly consider the more realistic situation in which we consider the periodic potential experienced by an electron due to all the ion cores in the lattice - crystal lattice. So, there are ion cores which are regularly arranged in a crystal lattice in all three dimension, I am showing one-dimensional situation. So, the potential is something like there will be...

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Fig 34.1 shows the potential energy for a one-dimensional solid with an inter atomic separation of a .

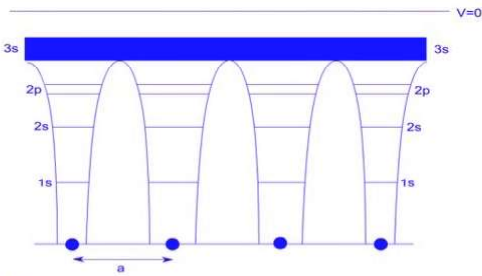



Fig 34.1 Potential energy of an electron in a one dimensional solid with inter-atomic separation a



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So, and this will repeated self everywhere so and so on. So, this is the periodic potential which we are talking about. This potential has a periodicity of the crystal lattice. So we

have to consider the motion of the electron subject to this given by the Schrodinger equation in quantum mechanics.

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$$V(\vec{r}) = V(\vec{r} + \vec{r}_n)$$

$$\vec{r}_n = n_1 \hat{a}_1 + n_2 \hat{a}_2 + n_3 \hat{a}_3, \quad n_1, n_2, n_3 \text{ are integers.}$$
 periodicity

$$H\psi(\vec{r}) = \left\{ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right\} \psi(\vec{r}) = E \psi(\vec{r})$$

$$V(\vec{r}) = \sum_{\vec{G}} V_{\vec{G}} e^{i \vec{G} \cdot \vec{r}}$$

$$\vec{G} \text{ is a reciprocal lattice vector}$$

Subject to this periodic potential V of r , which has the periodicity of the crystal lattice. In other words V of r equals where r_n is a general lattice vector $n_1 a_1 + n_2 a_2 + n_3 a_3$ and n_1, n_2, n_3 are integers and a_1, a_2, a_3 are the basic translation vectors.

(Refer Slide Time: 04:02)

$$V(\vec{r}) = V(\vec{r} + \vec{r}_n)$$

$$\vec{r}_n = n_1 \hat{a}_1 + n_2 \hat{a}_2 + n_3 \hat{a}_3, \quad n_1, n_2, n_3 \text{ are integers.}$$

$$H\psi(\vec{r}) = \left\{ -\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right\} \psi(\vec{r}) = E \psi(\vec{r})$$

$$V(\vec{r}) = \sum_{\vec{G}} V_{\vec{G}} e^{i \vec{G} \cdot \vec{r}}$$

$$\vec{G} \text{ is a reciprocal lattice vector}$$

So, this is simply the condition of periodicity that the potential remains same at any given lattice point, and it is equal to the value of potential at any other lattice point given

by the position vector \mathbf{r} plus \mathbf{r}_n . So, this is the condition and we have to solve the Schrodinger equation $H \psi(\mathbf{r}) = -\frac{\hbar^2}{2m} \nabla^2 \psi(\mathbf{r}) + V(\mathbf{r}) \psi(\mathbf{r}) = E \psi(\mathbf{r})$. So, we have to find energy Eigen values E by solving this Schrodinger equation subject to this. And because of the periodicity, it is possible to expand this in the Fourier series of the lattice periodic potential in the form where \mathbf{G} is a reciprocal lattice vector we already consider this well discussing diffraction of x-rays by a crystal lattice.

(Refer Slide Time: 05:44)

The chalkboard shows the following derivation:

$$\psi(\vec{r}) = \sum_{\vec{k}} C_{\vec{k}} e^{i\vec{k} \cdot \vec{r}}$$

$$\sum_{\vec{k}} \frac{\hbar^2 k^2}{2m} C_{\vec{k}} e^{i\vec{k} \cdot \vec{r}} + \sum_{\vec{k}, \vec{G}} C_{\vec{k}} V_{\vec{G}} e^{i(\vec{k} + \vec{G}) \cdot \vec{r}} = \sum_{\vec{k}} E C_{\vec{k}} e^{i\vec{k} \cdot \vec{r}}$$

$$\sum_{\vec{k}} e^{i\vec{k} \cdot \vec{r}} \left[\left(\frac{\hbar^2 k^2}{2m} - E \right) C_{\vec{k}} + \sum_{\vec{G}} V_{\vec{G}} C_{\vec{k} - \vec{G}} \right] = 0$$

valid for all \vec{r} .

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And we can also expand the plain $\psi(\mathbf{r})$ in the form of plain waves where \mathbf{k} is the wave vector of z electron. So, using this substituting this and this we get equals $E \sum_{\mathbf{k}} C_{\mathbf{k}} e^{i\mathbf{k} \cdot \mathbf{r}}$ to the power $i\mathbf{k} \cdot \mathbf{r}$.

(Refer Slide Time: 06:52)

$$\psi(\vec{r}) = \sum_{\vec{k}} C_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$$

$$\sum_{\vec{k}} \frac{\hbar^2 \vec{k}^2}{2m} C_{\vec{k}} e^{i\vec{k}\cdot\vec{r}} + \sum_{\vec{k}, \vec{G}} C_{\vec{k}-\vec{G}} V_{\vec{G}} e^{i(\vec{k}-\vec{G})\cdot\vec{r}}$$

$$= E \sum_{\vec{k}} C_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$$

$$\sum_{\vec{k}} e^{i\vec{k}\cdot\vec{r}} \left[\left(\frac{\hbar^2 \vec{k}^2}{2m} - E \right) C_{\vec{k}} + \sum_{\vec{G}} V_{\vec{G}} C_{\vec{k}-\vec{G}} \right] = 0$$

That will be the equation that we have to solve and this can be written also in the form factoring out a to the power I k dot r we can write this as which is valued for all are which means the square bracket at quantity should vanish.

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$$\left(\frac{\hbar^2 \vec{k}^2}{2m} - E \right) C_{\vec{k}} + \sum_{\vec{G}} V_{\vec{G}} C_{\vec{k}-\vec{G}} = 0$$

Block wave function:

$$\psi_{\vec{k}}(\vec{r}) = \sum_{\vec{G}} C_{\vec{k}-\vec{G}} e^{i(\vec{k}-\vec{G})\cdot\vec{r}}$$

$$= u_{\vec{k}}(\vec{r}) e^{i\vec{k}\cdot\vec{r}}$$

$$u_{\vec{k}}(\vec{r}) = \sum_{\vec{G}} C_{\vec{k}-\vec{G}} e^{-i\vec{G}\cdot\vec{r}}$$

$$u_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r} + \vec{r}_n) \rightarrow$$

So, we get. So, that we can therefore, write psi k of r as sigma g c k minus g E to the power I k minus g dot r.

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$$\left(\frac{\hbar^2 k^2}{2m} - E\right) C_k + \sum_{\vec{G}} V_{\vec{G}} C_{\vec{k}-\vec{G}} = 0$$

$$\psi_{\vec{k}}(\vec{r}) = \sum_{\vec{G}} C_{\vec{k}-\vec{G}} e^{i(\vec{k}-\vec{G})\cdot\vec{r}}$$

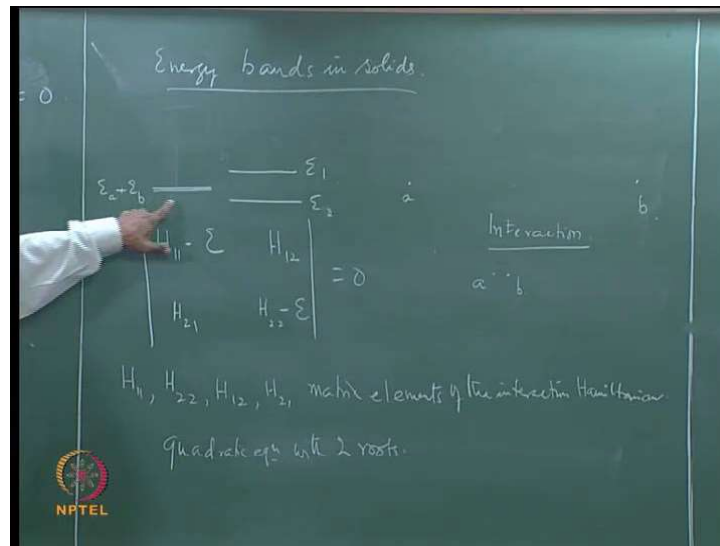
$$= u_{\vec{k}}(\vec{r}) e^{i\vec{k}\cdot\vec{r}}$$

$$u_{\vec{k}}(\vec{r}) = \sum_{\vec{G}} C_{\vec{k}-\vec{G}} e^{-i\vec{G}\cdot\vec{r}}$$

$$u_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r} + \vec{r}_n) \rightarrow$$

Which can also be written as $u_{\vec{k}}(\vec{r}) e^{i\vec{k}\cdot\vec{r}}$ where $u_{\vec{k}}(\vec{r})$ is. So, we get the automatic property that the wave function $\psi_{\vec{k}}(\vec{r})$ this modulator is a free electron wave function E to the power $i\vec{k}\cdot\vec{r}$ modulator by the function $u_{\vec{k}}(\vec{r})$ such that $u_{\vec{k}}(\vec{r})$ equals $u_{\vec{k}}(\vec{r} + \vec{r}_n)$. So, this as the modulating function as the periodicity of the lattice and so this is known as Bloch wave function. So, the consequences of translational invariance of the periodic crystalline lattice is that the wave function $\psi_{\vec{k}}(\vec{r})$ is no longer the free electron plane wave function E to the power $i\vec{k}\cdot\vec{r}$ that E to the power $i\vec{k}\cdot\vec{r}$ modulated by the $u_{\vec{k}}(\vec{r})$ which is also translational invariance. And this is the form of the Bloch wave function which we should use in our description of the energy Eigen values of an electron moving in a perfectly periodic potential. So, this is step one this is one important result which we will use in our discussion of the formation of so-called energy bands in solids.

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So, we move on to the discussion of energy bands in solids. In this discussion, we have to answer the question how bands are formed. We already saw in our discussion of the energetic of a homo nuclear diatomic molecule that if you have two molecules which are far apart and therefore, non-interacting. The energy Eigen value of the two atom system is just twice the energy of the individual atoms and I am showing them together here in the form in which they are degenerate. So, this is energy of E_a plus E_b wave a and b are atoms which are not interacting now as the atoms are brought together we saw that the atoms start interacting we turn on an interaction. As atoms interact the electronic and nuclear electron and nuclei start interacting by as a coulomb potential. So, this interaction many it turn on and the two molecules come close together then we saw that the energy Eigen value is going to be given by the secular determinant where H_{11} , H_{22} , H_{12} and H_{21} are matrix elements of the interaction potential. Or interaction Hamiltonians between the individual atomic states represented by ψ_1 ψ_2 . So, solving this we see that we get a quadratic equation with two roots.

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$$\begin{aligned} \Sigma^2 - \Sigma (H_{11} + H_{22}) - H_{12} H_{21} &= 0 \\ \Sigma &= \frac{(H_{11} + H_{22}) \pm \sqrt{(H_{11} + H_{22})^2 + 4H_{12} H_{21}}}{2} \\ \Sigma_1 &= \frac{(\Sigma_1 + \Sigma_2) + \sqrt{(H_{11} + H_{22})^2 + 4H_{12} H_{21}}}{2} \\ \Sigma_2 &= \frac{(\Sigma_1 + \Sigma_2) - \sqrt{(H_{11} + H_{22})^2 + 4H_{12} H_{21}}}{2} \end{aligned}$$

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So, these quadratic equation has this form for which the roots are. So, this shows this will be this is the H_{11} plus H_{22} is what we call E_1 plus E_2 these are the energies of individual non interacting atoms and the resulting energy. Now in the presents of the interaction one of this is E_1 is plus square root of H_{11} plus H_{22} square plus $4k_{12} H_{21}$.

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$$\begin{aligned} E^2 - E(H_{11} + H_{22}) - H_{12} H_{21} &= 0 \\ E &= \frac{[(H_{11} + H_{22})] \pm \sqrt{(H_{11} + H_{22})^2 + 4H_{12} H_{21}}}{2} \\ E_1 &= \frac{(\epsilon_1 + \epsilon_2) + \sqrt{(H_{11} + H_{22})^2 + 4H_{12} H_{21}}}{2} \\ E_2 &= \frac{(\epsilon_1 + \epsilon_2) - \sqrt{(H_{11} + H_{22})^2 + 4H_{12} H_{21}}}{2} \end{aligned}$$

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Yes and the other one will be E_2 will be minus. So, there are two routes one corresponding to a lower value corresponding to the negative sign there, and a higher

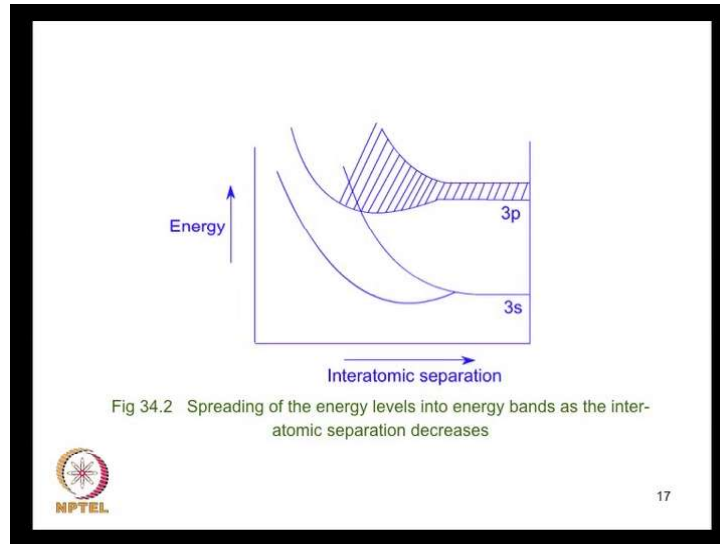
energy value corresponding to... So, these are the E_2 E_1 . So, you see that any interaction when it is stand on the two atom systems the energy level are split into in a such a way, the two fold degenerate is lifted and there are two non-degenerated energy levels one the higher energy Eigen value, and another with the lower energy Eigen value. Now this is the situation when two atoms interact. We are now considering the periodic potential due to the entire lattice of all the ten to power 23 electrons. So, these are going to give you a large number of interaction of the same kind the solid is after all large molecule.

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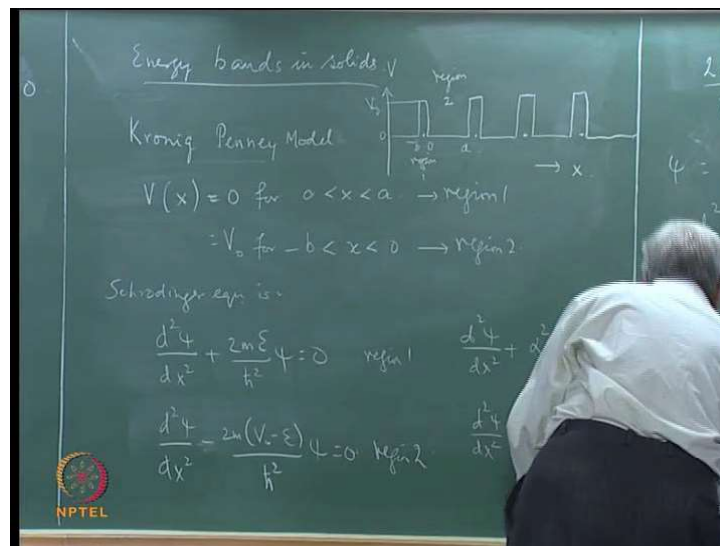
So, we are going to have a large number of splitting in this. So, of this finally, becomes a quasi I continuum when the number of interaction is very large so. This is a quasi I continuum. So, this continuum what we call an energy band in the presence of the perfectly periodic crystalline potential the electron energy going to sprodon into the form of energy bands. So, this is the mechanism of energy bands in order to discuss the detailed nature of the energy band.

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Energy band it is necessary to go a little for other this is only a qualitative description of the interaction. Now let us consider a specific model in which we will be position to calculate the energy band structure.

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So, this model is simplify one-dimensional model known as Kronig-Penney model. This discusses the motion of the electron in is one-dimensional potential which is 0 for 0 less than X less than area.

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A simple one-dimensional model known as the *kronig-Penney model* assumed for the periodic potential illustrates the behaviour of the electrons in a solid. In this model the potential function is described by

$$V(x) = 0 \text{ for } 0 < x < a \text{ (region 1)}$$
$$V(x) = V_0 \text{ for } -b < x < 0 \text{ (region 2)}$$

Here the periodicity of the lattices is $(a+b)$.



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And equals V_0 for $-b < x < 0$. So this is known as $-b < x < 0$ region one, this is region two pictorially this mean that we have atoms which are situated like this.


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Energy bands in solids

Kronig Penney Model

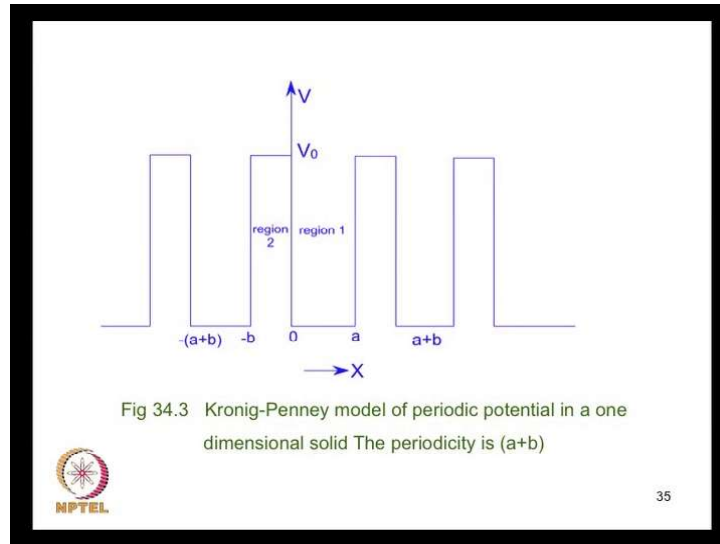
$$V(x) = 0 \text{ for } 0 < x < a \rightarrow \text{region 1}$$
$$= V_0 \text{ for } -b < x, 0 \rightarrow \text{region 2}$$

Schrodinger equation is

$$\frac{d^2\psi}{dx^2} + \frac{2mE}{\hbar^2} \psi = 0$$
$$\frac{d^2\psi}{dx^2} - \frac{2m(V_0 - E)}{\hbar^2} \psi = 0$$


And then you have a potential which is 0 here potentially is represented here which is 0 and when it reaches the neighborhood of ion core then the potential goes up like that. So, this is V_0 this is $-b$ this is 0 this is a .

(Refer Slide Time: 19:48)



So, in the torsion the Schrodinger equations in one-dimensional d square psi d x square 2 two m V by H cross square psi equal 0 this is region one where V of x is 0 then. So, this is region one this is region 2 this region one this is region 2 let us first short and write 2 m E by H cross square.

(Refer Slide Time: 21:00)

$$\frac{2mE}{\hbar^2} = \alpha^2, \quad \frac{2m(V_0 - E)}{\hbar^2} = \beta^2$$

$$\psi = e^{ikx} u_1(x)$$

$$\frac{d^2 u_1}{dx^2} + 2ik \frac{du_1}{dx} + (\alpha^2 - k^2) u_1 = 0$$

$$u_1 = A e^{i(\alpha-k)x} + B e^{-i(\alpha+k)x}$$

$$\frac{d^2 u_2}{dx^2} + 2ik \frac{du_2}{dx} - (\beta^2 - k^2) u_2 = 0$$

$$u_2 = C e^{i(\beta-k)x} + D e^{-i(\beta+k)x}$$

$$u_1(0) = u_2(0) \quad \left. \frac{du_1}{dx} \right|_{x=0} = \left. \frac{du_2}{dx} \right|_{x=0}$$

$$u_1(a) = u_2(b) \quad \left. \frac{du_1}{dx} \right|_{x=a} = \left. \frac{du_2}{dx} \right|_{x=b}$$

Yes alpha square and two m into V naught minus E by H cross square as beta square. So, that this becomes and this is now we have the black solution the black form which we discuss already in the form. So, that substituting this and making differentiation and

substitute that we get the two equation these equation become and there u_1 , u_2 are the function u here in the region 1 2. So, these are the equation to be satisfy solved for u_1 and u_2 the solution u_1 and u_2 should satisfy boundary condition which are such that at the interface were at minus b at 0 and a they have to be matched in other words u_1 of 0. They matched two wave function match at x equal to 0.


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Where A, B, C and D are constants. The function $u(x)$ which are related to the wave function ψ must satisfy the conditions for continuity and single valued nature; i.e. they must obey the following boundary conditions:

$$u_1(0) = u_2(0), \quad u_1(a) = u_2(-b); \quad (34.12)$$

$$\left(\frac{du_1}{dx}\right)_{x=0} = \left(\frac{du_2}{dx}\right)_{x=0}; \quad \left(\frac{du_1}{dx}\right)_{x=a} = \left(\frac{du_2}{dx}\right)_{x=-b} \quad (34.13)$$

Substituting for u_1 and u_2 from Eqs(34.10) and (34.11) into Eqs (34.12) and & (34.13)



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And there are again match at u_1 of minus B equals u_2 of a for u_1 of a . These 2 minus B this a consequent of translation in variance and also condition on the derivatives $\frac{du_1}{dx}$ at the x equal to 0 matches $\frac{du_2}{dx}$ at x is equal to 0. And a similar condition on $\frac{du_1}{dx}$ at x equal to a equals $\frac{du_2}{dx}$ at x equal to minus b . If I take the forms this gives forms u_1 equals $A e^{i\alpha x} + D e^{-i\alpha x}$ and u_2 is $C e^{i\beta x} + D e^{-i\beta x}$. So, we required the solution u_1 and u_2 to satisfied this condition and that gives you a determinant which a 4 by 4 determinant because 4 conditions there and which when expanded leads to the following condition to be satisfied.

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$$\lim_{\beta b \rightarrow 0} \frac{\sinh \beta b}{\beta b} = 1$$
$$\begin{vmatrix} \beta a b \\ \sin \alpha a + \cos \alpha a \end{vmatrix} = \cos k a$$
$$P = \frac{\beta^2 a b}{2}$$


Namely beta square by alpha square by 2 alpha beta sin alpha a sin H of beta b plus cos alpha a cosign hyperbolic beta b equal to cos k a plus b. So, that will be the condition to be satisfied which is got by a expanding by the four by four determinants now we specify to the situation where V naught tends to infinity and b tends to 0 in other words it is extremely thin barrier it is infinitely high.

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The relation between E and k . i.e it gives the dispersion relation.

Meaningful interpretation is possible by making the following valid assumptions:

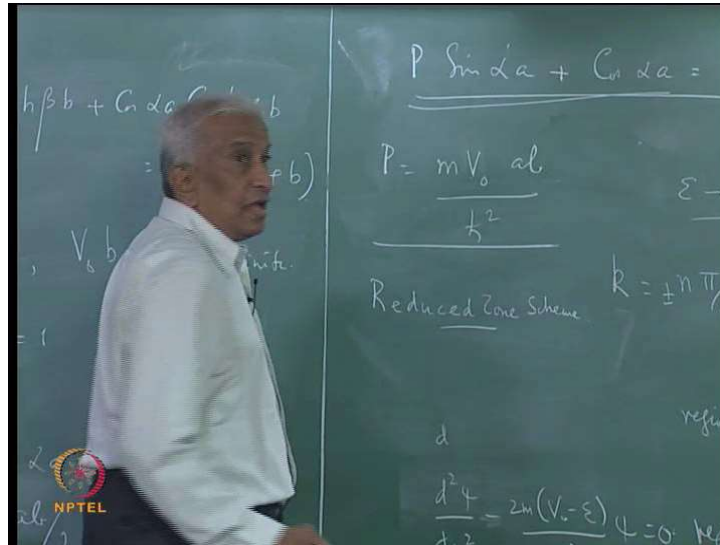
The height of the potential barrier is very large. i.e $V_0 \rightarrow \infty$ and simultaneously the width of the barrier $b \rightarrow 0$ in such a way that the product $V_0 b$ remains finite.



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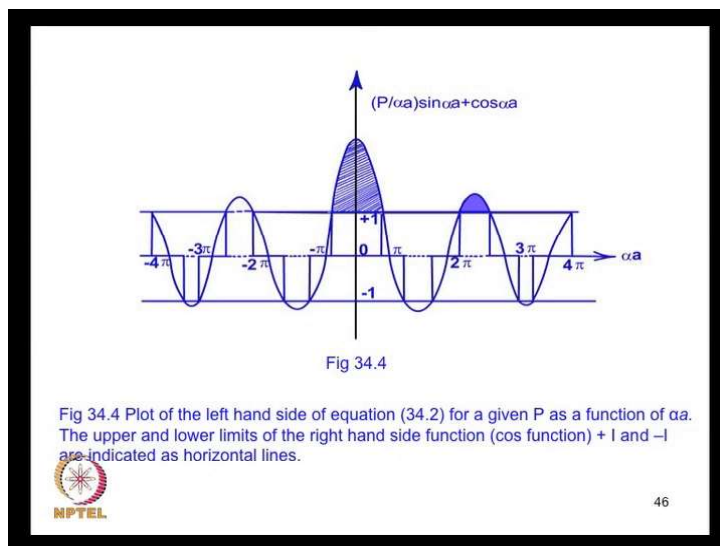
Such that V naught b remains this case we get limit $\beta b \rightarrow 0$ into $0 \sin H \beta b$ by b equal to 1 and therefore, the derivative condition $b \sin \alpha a + \cos \alpha a$ equals $\cos k a$.

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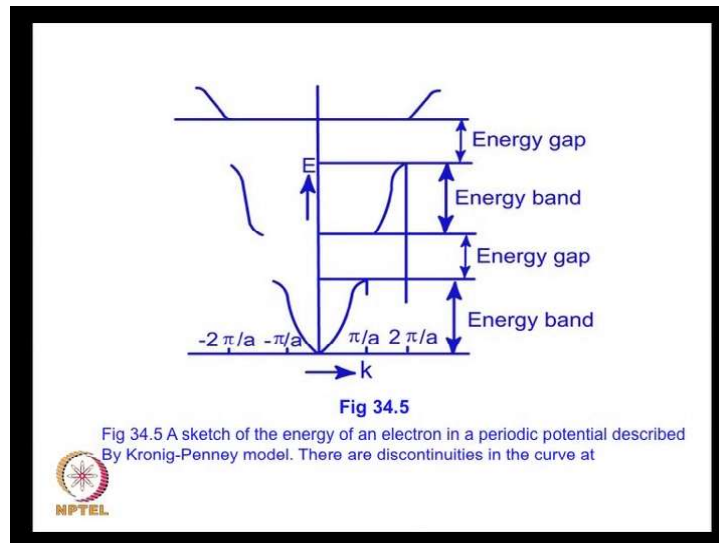
So, this p is nothing but $m V$ naught $a b$ by H cross square. So, this is the parameter which involves the highest of the potential barrier and the thickness of the potential barrier. So, this is the basic condition which determines the energy Eigen values.

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We can see the nature of these energy bands by looking at the graphical representation of these energy bands. So, the figure shows the plot of the right hand side of this equation for a given value of p as a function of αa . So, we can see that the shaded portions on the left hand side are plotted since the right hand side is just a cosine function. So, it cannot go beyond the value of plus one or minus one and therefore, you find shaded regions in the figure correspond to such values of $\cos k a$ which are unreasonable and therefore, are forbidden they are not allowed. So, you find that energy values get into two in two regions one the unshaded one corresponding to the allowed energy values and the shaded regions which correspond to the forbidden regions the energy Eigen values.

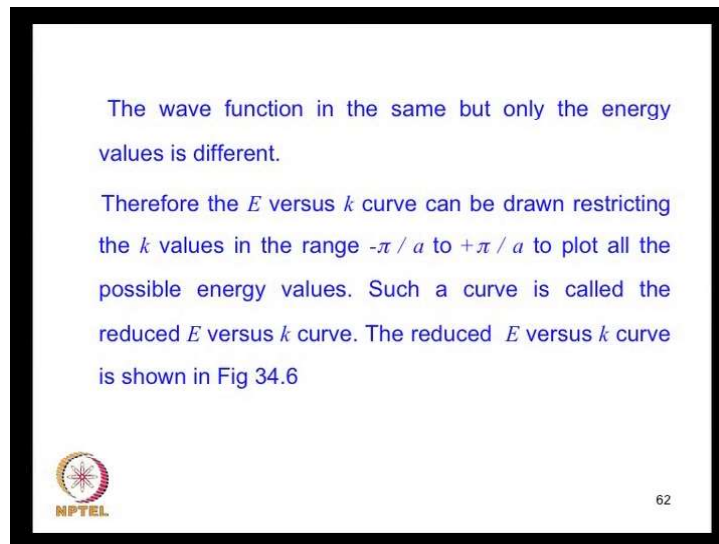
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So, these energy Eigen values when plotted look like this they are shown the energy E versus k curve we shown in. So, this gives the energy versus the wave vector for an electron in a periodic potential described by the Kronig-Penney model. So, you can see that there are discontinuities at k equal to π/a and k equal to $-\pi/a$ and then k equal to $2\pi/a$ and k equal to $-2\pi/a$ and so on. So, in general, for all k equal to $n\pi/a$ plus or minus there are discontinuities in energy. So, the energy Eigen value goes from the value suddenly it is this and all the energy Eigen values in this region are not allowed.

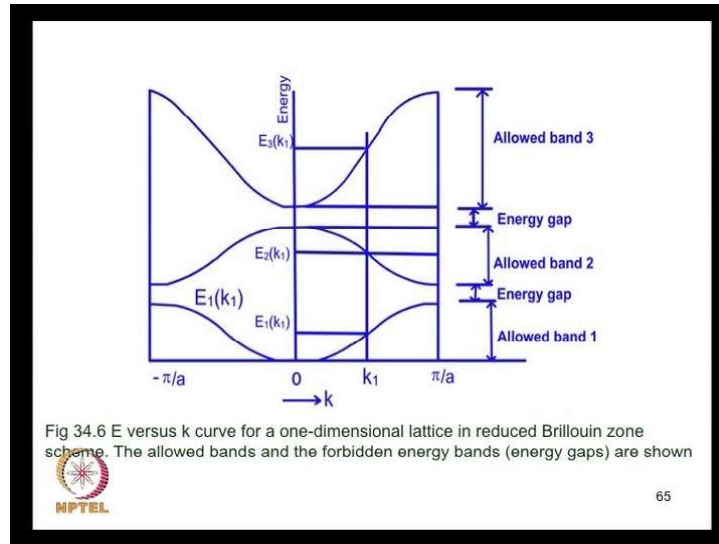
So, these are the allowed energy Eigen values these are the forbidden energy Eigen values in the gap region again these are allowed set up Eigen values then followed by energy gap again in the next band so on for the third band and so on. So, this goes on and we find that this can be represented a bit more effectively in the. So, called reduced zones scheme this only means that the states k and k plus or minus $2\pi/a$ are identical.

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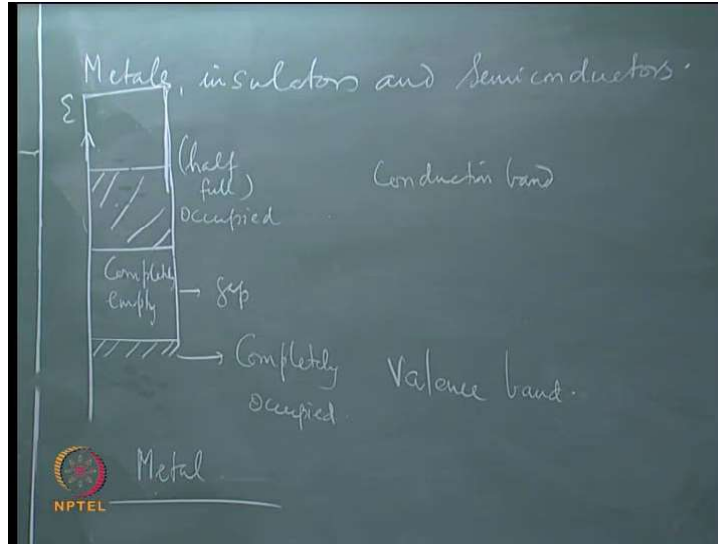
These are all equal and they are identical functions while the functions while the energies are different. So, the energies are different, but they are discovered by the same function. So, these versus k curve can be done by restricting the k values in the range minus π/a to plus π/a

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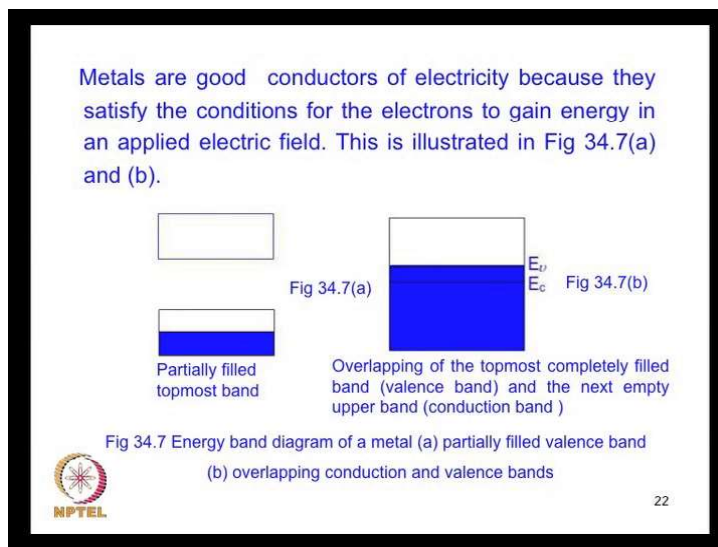
And all the energy values can be plotted within this region. So, such a plot is shown in figure where the first band as energies shown in the lowermost curve these are we allowed value and then E_2 is the corresponding energy values for the second band plotted in the reduced zone scheme. Therefore, there is a gap between these two. So, there is an energy gap and then the next allowed band comes here that is the two k and similarly E_3 with a gap here. So, this shows the entire energy band structure within this first Brillouin zone which is the region between $-\pi/a$ and π/a . So, this describes the band structure the typical band structure. So, the Kronig-Penney model gives as an easy way to understand the formation of energy bands in periodic solid.

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And this is very important in a discussion of the property of metals insulators and semiconductor these are new class of materials which we are going to discuss from now on in a unified way how do you discuss this the matter let me just sketch the band structure in a simple way. So, this is the E energy and this is the band structure in a very crude form.

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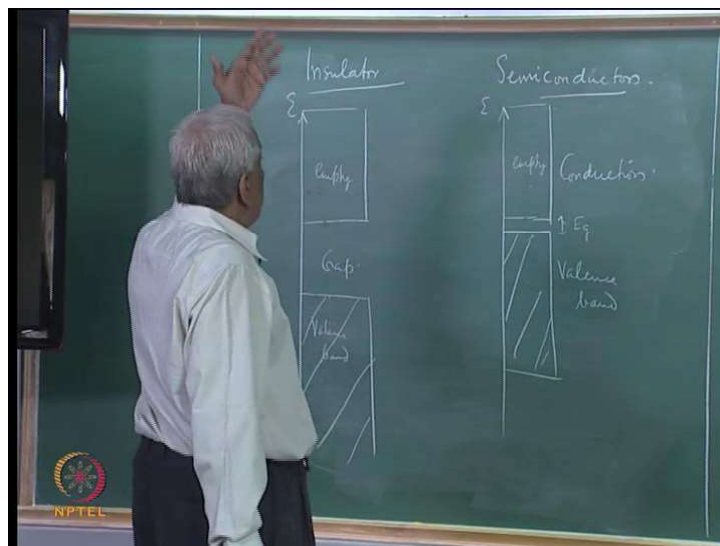


So, we have states laying here this is empty and there are states here. So, these are states which are occupied and these are states which are forbidden and therefore, these states allow

forbidden an occupy empty and this is an occupied band the bands. And of course, the extent of to this energy value and it is only of full this band is completely occupy the lower bands and this is completely empty this is the gap. So, this is the picture of a metal in a metal there is a completely occupy band separated from a partially occupied or of full conduction band this is known as the valance band and this is the conduction band. So, the valance band is completely occupied whenever a band is completely occupied states are free for an electron to get into because of Pawley's fusion principle and. So, conduction in a such a band is not possible the electron cannot move on one state to another similarly if there is a completely empty band that is also something in which there are no electrons therefore, is there is no conduction.

So, for conduction to be possible in a material request have a band structure with an uppermost band which is only partially filled in this case the metal is only of filled and therefore, of the band is empty and off of the band is occupied. So, the electrons the conduction electron is can move from the occupied states into the empty states there by facilitating conduction. So, conduction is possible. So, this is the band structure which renders a metal conducting coming to the case of an insulators and insulators how is its band structure.

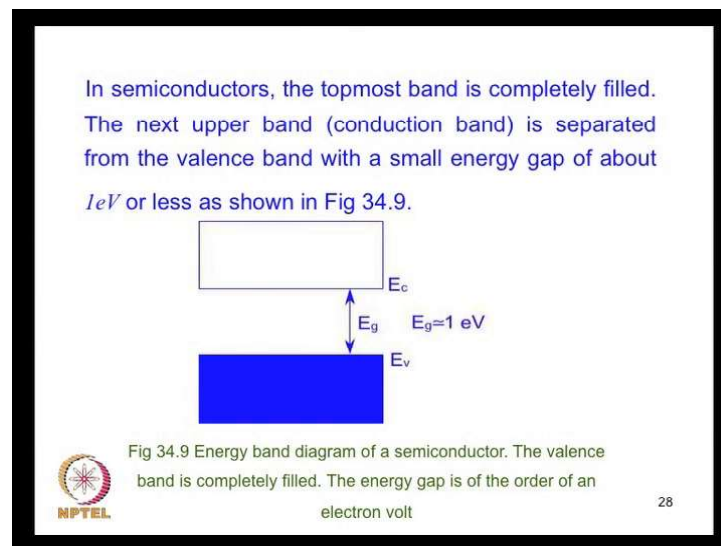
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Band structure again we shown in schematically in this way. So, you have a upper band and a lower band this is a gap. So, this is the valance band which is completely occupied

and a conduction band which is empty, but separated. The conduction band which is empty is separated from the valence band which is completely occupied by a very large gap energy gap. So, for carriers to go into this empty band from the occupied band they have to cross over this barrier produced by this energy gap and they have to get in from the state in the valence band they have to get into the state in the empty unoccupied band upper band. So, this is possible only there as the energy which is more than the gap energy and then an insulator does not happen and therefore this is not conducting.

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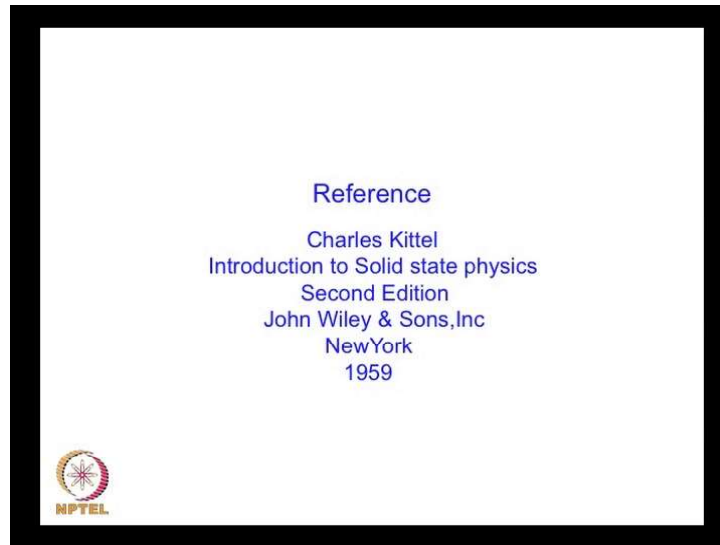


Now we come to another interesting class of material which we have not talked about so far which is known as semiconductors. So, here the band structure is very similar that of an insulator. And there is a valence band below which is completely occupied with an energy gap which in contrast to an insulator the energy gap is a very small value. In other words, the occupied valence band is separated from the unoccupied conduction band only by an extremely small amount of energy of the order of an electron hole. So, it is easily possible for carriers to get excited across the gap from getting to conduction band. Therefore, they are neither an insulator nor a metal like this, but they are semiconducting.

So, this is the new class of material, which will be discussed from now on. And the band structure theory, the theory of energy band provides a convenient mechanism or convenient way to understand the difference between an insulator, a metal and a

semiconductor from the point of view of their band structures. And there relate these electronic band structure to the nature of the conduction band in these material. We will discuss semiconductors in the next session.

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Magnetic and Dielectric materials in Material science

We know that current through a circular coil produces magnetic moment along the axis of the coil. When the electrons revolve around the positive nucleus, orbital magnetic moment arises. Similarly when the electron spins, spin magnetic moment arises. Magnetism arises from the magnetic moment or magnetic dipole of the magnetic materials. Any material that can be magnetized by application of external magnetic field is called a magnetic material.

Types of Magnetic materials :

1. Diamagnetic
2. Paramagnetic
3. Ferro –magnetic
4. Anti Ferromagnetic
5. Ferromagnetic

Important terms used in magnetism :

Magnetic flux density (B) :

Magnetic flux density (B) in any material is the number of lines of magnetic force passing through unit area perpendicularly.

Unit : Wb/m² or Tesla

Magnetic field intensity (H) :

Magnetic field intensity at any point in the magnetic field is the force experienced by an unit north pole placed at that point.

Unit : A/m

$$B = \mu_0 H$$

Where μ_0 = permeability of free space (vacuum)

$$\mu_0 = 4\pi \times 10^{-7} \text{ H}_1\text{m}^{-1}$$

Instead of vacuum, if the field is applied in a solid medium, the magnetic induction in the solid is given by

$$B = \mu H$$

where μ = permeability of the solid material through which the magnetic lines of force pass

$$\mu = \frac{B}{H}$$

Hence the magnetic permeability (μ) of any material is the ratio of the magnetic induction in the sample to the applied magnetic field intensity.

Relative permeability,

$$\mu_r = \frac{\mu}{\mu_0}$$

The intensity of magnetization (M)

The intensity of Magnetisation of a sample of a material is the magnetic moment per unit volume.

Unit : A/m

M & H are related by magnetic susceptibility χ .

$$\chi = \frac{M}{H}$$

Magnetic Susceptibility (χ) :

Magnetic susceptibility (χ) of a material is the ratio of the intensity of magnetization produced in the sample to the magnetic field intensity which produces the magnetization. It has no units. Magnetic induction (B) is given by

$$B = \mu_0 (H + M)$$

Hence

$$\mu_0 = \frac{B}{H + M}$$

Relative permeability, $\mu_r = 1 + x$

Classification of Magnetic materials.

- ❖ If the atoms do not carry permanent magnetic dipoles, those materials are called diamagnetic.
- ❖ If the atoms of the material carry permanent magnetic dipoles, further classification is based on the interaction between the individual dipoles.
- ❖ If the permanent dipoles do not interact among themselves, the material is paramagnetic.
- ❖ If the interaction among the permanent dipoles is strong such that all the dipoles line up in parallel, the material is ferromagnetic.
- ❖ If the permanent dipoles line up in antiparallel direction, and are equal the material is antiferromagnetic and the magnetization vanishes.
- ❖ If the magnitudes of permanent dipoles aligned antiparallel are not equal thus exhibiting magnetization then the material is ferrimagnetic.

Different sources of permanent magnetic moment are

1. The orbital magnetic moment of the electrons.
2. The spin magnetic moment of the electrons
3. The spin magnetic moment of the nucleus.

Properties of diamagnetic materials. :

1. Permanent dipoles are absent.
2. Effect is weak and often masked by other kinds of magnetism.
3. When placed inside a magnetic field, magnetic lines of force are repelled.
4. Magnetic susceptibility is negative.

5. Magnetic susceptibility is independent of applied magnetic field strength.

6. Relative permeability is slightly less than unity.

Properties of paramagnetic materials. :

1. They possess permanent magnetic dipoles.
2. These dipoles are non-interacting
3. The dipoles are randomly oriented and hence in the absence of external applied magnetic field, the net magnetization in any given direction is zero.
4. When placed inside a magnetic field, it attracts the magnetic lines of force.
5. Paramagnetic susceptibility is positive and depends greatly on temperature.

Bohr Magnetron :

When the atom is placed in a magnetic field, the orbital magnetic moment of the electrons is quantized.

A quantum of magnetic moment of an atomic system is known as Bohr magneton.

$$\mu_B = \frac{eh}{4\pi m}$$

Curie Law :

Langevin showed that classical paramagnetic susceptibility (x) due to the alignment of magnetic moments along field direction is given by

$$x = \frac{h_0 N \mu^2}{3kT} = \frac{C}{T}$$

where C = Curie constant and the relation is known as Curie Law

Curie – Weiss Law :

Ferromagnetic materials exhibit spontaneous magnetization below a characteristic

temperature called the ferromagnetic Curie temperature. Above this temperature, the substance becomes paramagnetic and obeys Curie – Weiss Law.

$$\chi = \frac{C}{T - \theta}$$

where C is Curie constant
 θ is paramagnetic Curie temperature

Heisenberg theory of Ferromagnetism :

The molecular field based on simple dipole – dipole interaction was found to be less and hence cannot account for the existence of ferromagnetism.

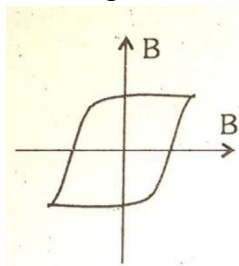
Heisenberg removed this discrepancy by assuming the quantum exchange interaction between the electrons spins instead of dipole – dipole interaction.

Domain theory of ferromagnetism :

According to Weiss, a virgin specimen of ferromagnetic material consists of a number of regions or domains which are spontaneously magnetized due to parallel alignment of all magnetic dipoles. The direction of spontaneous magnetization varies from domain to domain.

Hysteresis in magnetic materials :

The hysteresis of ferromagnetic material refers to the lag of magnetization behind the magnetising field. It is irreversible B-H characteristic curve of ferromagnetic or ferromagnetic materials.



Hysteresis loss :

Hysteresis loss is the loss of energy in taking a ferromagnetic body through a complete cycle of magnetization. This loss is represented by the area enclosed by the hysteresis loop.

Properties of hard and soft magnetic materials.

Properties	Hard	soft
1. Area of hysteresis loop	Large	Small
2. Hysteresis loss	Large	Small
3. Domain wall movement	Difficult	Relatively earlier
4. Coercivity	Large	Small
5. Retentivity	Large	Small
6. Magnetization & Demagnetization	Not easy	Easy
7. Magnetostatic energy	Large	Small
8. Permeability & Susceptibility	Small	Large
9. Type of magnet that could be made	Permanent magnet	Electro-magnets

Antiferromagnetism :

In antiferromagnetism, electron spin of neighbouring atoms are aligned antiparallel. Anti-ferromagnetic susceptibility is small and positive and it depends greatly on temperature.

Ferrites :

In a material of the magnitudes of permanent dipoles aligned antiparallel are not equal, such an uncompensated antiferromagnetism is known as ferrimagnetism and the corresponding materials are said to be ferromagnetic or ferrites.

Applications of Ferrites :

1. They are used in transformer cores for high frequencies upto microwaves.
2. They are used in radio receivers to increase the sensitivity and selectivity of the receiver.
3. Ferrites are used in digital computers and data processing circuits as magnetic storage elements.
4. They are used as isolator.

uses in Transformer cores :

Ferrites are used as transformer cores for frequencies upto microwaves. This is because the eddy current problem preventing penetration of magnetic flux into the material is much less severe in ferrites than in iron.

Magneto Resistance Effect :

In some magnetically soft materials the electrical resistance changes when the material is magnetized. The resistance goes back to its original value when the magnetizing field is turned off. This effect is called magneto resistance effect.

Importance of magnetic materials :

Magnetic materials used for high density data storage. some materials are easily magnetized when placed in a weak magnetic field. When the field is turned off, the material rapidly demagnetizes. These materials are called soft magnetic materials.

In Hard magnetic materials through strong field is required for magnetization, they retain their magnetization even on removal of the field.

In some materials, the electrical resistance varies on magnetization. This effect is called Magneto Resistance Effect.

These effects are utilized in manufacture of magnetic recording heads for write and read the data for storage and retrieval.

Basic parts of a digital magnetic – tape system :

i) Magnetic tape : Flexible plastic tape with their ferromagnetic material coating.

ii) Tape Transport : Mechanism to run the tape part the recording / reading head.

iii) Translators : Electronic part to convert given analog signal into digital for recording purposes and digital into analog for reading purposes.

iv) Reading / writing Heads : Magnetic read / write heads to record / retrieve the data in / from the magnetic tape.

v) switching and buffering equipment : This is to select the correct tape mechanism and to provide tasks such as winding /rewinding of the tape etc.

Bubble storage :

Data can be stored in this crystals of canted antiferromagnetic oxides ($B_aFe_{12}O_{19}$, $YFeO_3$), or in amorphous alloyed films (Gd-Co, Gd-Fe), or in ferromagnetic materials such as Yttrium – iron – garnet ($Y_3Fe_5O_{12}$). They are tiny cylindrical regions called bubbles (as small as 1 mm in diameter) having a reversed momentisation compared to the matrix.

Hole burning :

The recording medium consists of an inert crystal host (such as Y_2SiO_5 with a low concentration of rare-earth impurity ions (such as Eu^{3+}) distributed randomly.

Information is stored in the impurity ions by absorption of light resulting in a mechanism called hole burning.

Stimulated echo ;

The recorded information is retrieved by a mechanism called the stimulated echo-when the recorded crystal is illuminated by another laser beam called the read pulse, coherent radiation is emitted from the already recorded impurity ion. This phenomenon is called stimulated echo.

Dielectrics :

Dielectrics are insulating materials. In dielectrics all the electrons are bound to their parent molecules and there are no free charges. Even with normal voltage or thermal energy, electrons are not released. Dielectrics are non-metallic materials of high specific resistance and have negative temperature coefficient of resistance.

Dielectric constant ' ϵ_r ' :

The dielectric characteristics of a material are determined by the dielectric constant or relative permittivity ' ϵ_r '. Of that material. It is the ratio between the permittivity of the medium and the permittivity of free space.

$$\epsilon_r = \frac{\epsilon}{\epsilon_0}$$

ϵ_r has no unit.

It is a measure of polarization in the dielectric material.

Electric Polarization :

Let us consider an atom placed inside an electric field. The centre of positive charge is displaced along the applied field direction while the centre of negative charge is displaced in the opposite direction. Thus a dipole is produced. When a dielectric material is placed inside an electric field such dipoles are created in all the atoms inside. This process of producing electric dipoles which are oriented along the field direction is called polarization in dielectrics.

Polarizability (α) :

When the strength of the electric field E is increased the strength of the induced dipole also increases. Thus the induced dipole moment is proportional to the intensity of the electric field.

$$\text{i.e. } \mu = \alpha E$$

where α , the constant of proportionality is called polarizability.

Electric flux density (D) :

The electric flux density D at a point in a material is given by

$$D = \epsilon_r \epsilon_0 E$$

where E is the electric field strength.

ϵ_0 – dielectric constant or permittivity of free space (vacuum)

ϵ_r – relative dielectric constant or relative permittivity of the material.

Electric Susceptibility (X_e) :

Polarization vector p can be written as

$$P = \epsilon_0 X_e E$$

where the constant X_e is the electric susceptibility.

$$\text{There } X_e = \frac{P}{\epsilon_0 E} = \frac{\epsilon_0 (\epsilon_r - 1) E}{\epsilon_0 E}$$

$$\therefore X_e = (\epsilon_r - 1)$$

Polarization process ;

Polarization occurs due to several atomic mechanisms. when the specimen is placed inside a d.c. electric field, polarization is due to four types of processes.

- a) electronic polarization
- b) ionic polarization
- c) orientation polarization &
- d) Space charge polarization

Electronic polarization :

The displacement of the positively charged nucleus and the electrons of an atom in opposite directions on application of an electric field is called electronic polarization.

Dielectric loss ;

When a dielectric material is subjected to the a.c. voltage, the electrical energy is absorbed by the material and is dissipated in the form of heat. This dissipation of energy is called dielectric loss.

Loss angle :

In a perfect insulator polarization is complete during each cycle and there is no consumption of energy and the charging current leads the applied voltage by 90° . But for commercial dielectrics this phase angle is less than 90° by an angle δ called dielectric loss angle. $\tan \delta$ is taken as measure of dielectric loss and is known as loss tangent.

$$\text{Also } \tan \delta = \frac{\epsilon_r''}{\epsilon_r'}$$

Dielectric breakdown :

When a dielectric material loses its resistivity and permits very large current to flow through it, then the phenomenon is called dielectric breakdown.

Different dielectric breakdown mechanisms :

- i) Intrinsic breakdown
- ii) Thermal breakdown
- iii) Discharge breakdown
- iv) Electrochemical breakdown
- v) Defect breakdown

Piezoelectrics and pyroelectrics are the two active dielectrics.

Piezoelectrics such as Barium titanate (BaTiO_3), Potassium dihydrogen phosphate (KDP), Lithium Niobate (LiNbO_3) are used for making pressure transducers, ultrasonic transducers and microphones. Pyroelectrics such as Barium titanate (BaTiO_3), Triglycine sulphate (TGS) and Lithium Niobate (LiNbO_3) are used to make high sensitive infrared detectors.

Requirement of good insulating materials ;

- i) high electrical resistivity
- ii) high dielectric strength
- iii) Sufficient mechanical strength to withstand vibration &
- iv) good heat conducting property

Classification of insulating materials ;

- a) Solid insulating materials
- b) Liquid insulating materials
- c) Gaseous insulating materials

a) Solid insulating materials :

1. Mica
2. Ceramics

3. Asbestos
4. Rubber
5. PVC materials

b) Liquid insulating materials :

- i) Mineral insulating oil,
 1. Transformer oil
 2. Cable oil
 3. Capacitor oil
- ii) synthetic insulating oil
- iii) Miscellaneous insulating oils
 1. Vegetable oil
 2. Vaseline
 3. Silicon liquids

c) Gaseous insulating materials :

- i) Air
- ii) Nitrogen
- iii) Inert gases
- iv) Sulphur hexafluoride

Important applications of dielectric materials:

- i) Electrical conductors made of aluminium or copper which are used for electric wiring are insulated with a outer jacket of plastic or rubber.
- ii) In heater coils ceramic beads are used to avoid short circuiting as well as to insulate the outer body from electric current.
- iii) In electric iron, mica or asbestos insulation is provided to prevent the flow of electric current to the outer body of the iron
- iv) In transformers as well as in motor and generator windings vanished cotton is used as insulator.

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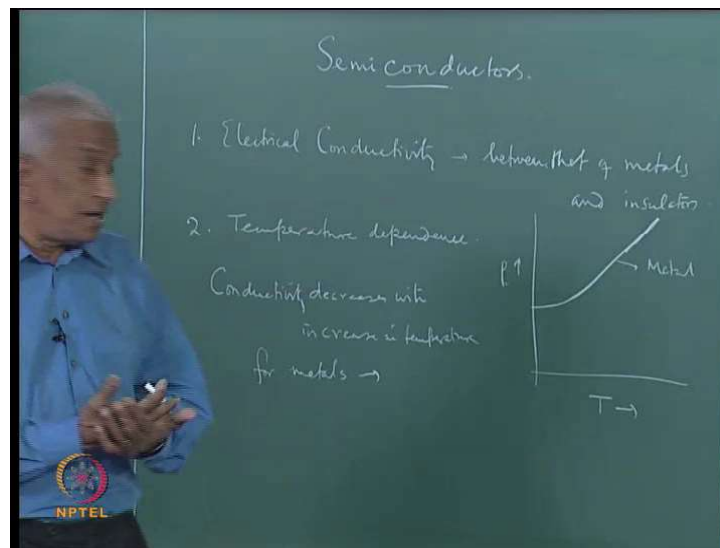
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Condensed Matter Physics
Prof. G. Rangarajan
Department of Physics
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Lecture - 36
Semiconductors

We will start a discussion of semiconductors one of the most important class of materials in solids which have a wide range of technological application. In fact progress in semiconductor technology has revolutionized the area of modern electronics. Semiconductors are materials, which have electrical conductivities, as it is obvious from the name lying between the electrical conductivity of a good conductor like a metal and a perfect insulator.

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So, conductivities - electrical conductivity between that of metal and insulators.

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Table 36.1

Material		Electrical Conductivity at 273 K In $\text{ohm}^{-1}\text{m}^{-1}$
Conductor	Copper	6×10^7
	Gold	5×10^7
	Silver	6×10^7
Semiconductor	Silicon	5×10^{-4}
	Germanium	2
	Gallium Arsenide	10^{-6} 10^{-13}
Insulator	Ebonite	10^{-13}
	Glass (Silica)	10^{-11}
	Mica	10^{-15}




4

For example, we have given in table form. The conductivities of good conductors such as copper, gold and silver; insulators like ebonite, glass and mica; and semiconductors - the standard semiconductors are silicon, germanium and gallium arsenide. The electrical conductivity values at 0 degree Celsius or 273 Kelvin is given in the last column, and it is clear that the conductors have conductivity values of which are very high compared to those a semiconductor which in turn are very high compared to those are insulators. So, the electrical conductivities spans something like from the 18, 19 orders of magnitude among common materials. So, this is a very remarkable variation that is the first feature.

The second feature is that you have a temperature dependence of the electrical conductivity or resistors. We all know that indicates of a metal, electrical resistance versus temperature is linear and then becomes non-linear and become this is for a metal. So, it has a linear variation and then the resistivity decreases with temperature; as the temperature is decrease, the resistivity decreases. So, you see that the resistivity increases, therefore the conductivity decreases electrical conductivity decreases decreases with increasing temperature for a metal, so there are said to have the resistivity increases. Whereas in the case of a semiconductor conductivity increases with increasing temperature.

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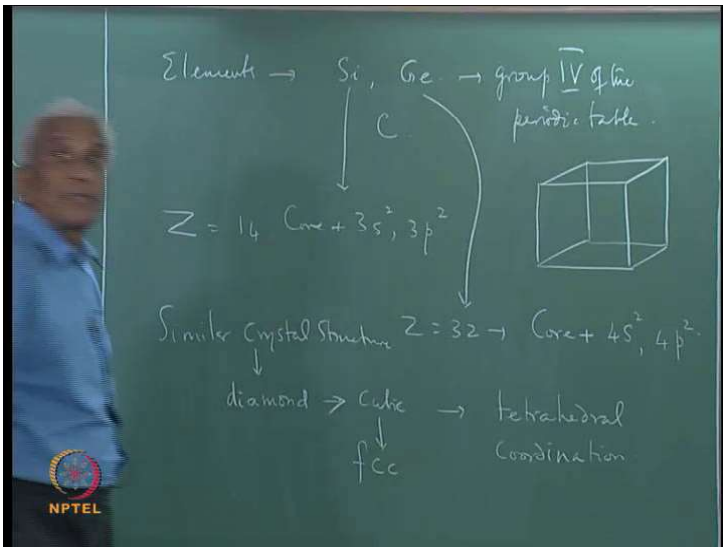
Semiconductors are materials which have electrical conductivity values lying between that of a good conductor and a perfect insulator. Table 36.1 gives the electrical conductivities of certain selected conductors, semiconductors and insulators at 0°C for comparison. Another important characteristic of a semiconductor is that its conductivity increases with increasing temperature, unlike a conductor for which the conductivity decreases with increasing temperature.



2

Unlike whereas, semiconductor conductivity increases, so this is called a positive temperature coefficient. So, this has a positive temperature coefficient of resistance. So, this is known as ptc. Whereas, the metals is known as ntc, it has a negative temperature coefficient. So, this is another important difference between the behavior way temperature variation of the conductivity of a semiconductor versus that of a metal.

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Elements \rightarrow Si, Ge \rightarrow group IV of the periodic table.
C
 $Z = 14$ Core + $3s^2, 3p^2$

Similar Crystal Structure $Z = 32 \rightarrow$ Core + $4s^2, 4p^2$

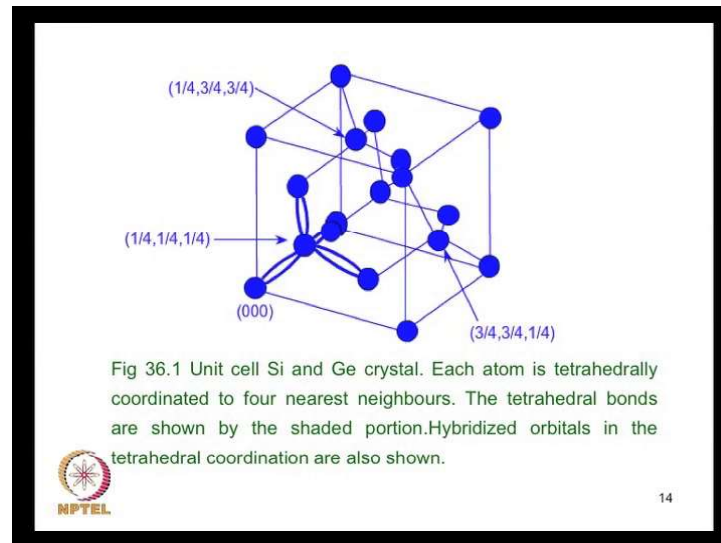
diamond \rightarrow cubic \rightarrow tetrahedral coordination
fcc

Now, what are semiconductors? The typical semiconductor elements are as I already mentioned silicon and germanium. Both these elements belongs to the group four of the

periodic table. Another important element, which form is in the group four is carbon. So, carbon, silicon, germanium have identical electronic configuration. And this is the reason why all of them behave like semiconductors. For example, silicon has an atomic number fourteen, therefore, it has an electronic configuration in which there are four electron with outermost 3S 2 core plus 3S 2 and 3P 2 electrons.

Germanium has an atomic number of 32, germanium has an atomic number of 32 and that as an electron configuration which is 4 s 2 and 4 p 2. Essential thing is this is the 3 s and 3 p shell this is 4 s and 4 p shell, but in both cases the s and p outermost s and p shall are occupied by 2 electrons each. So, that is why the electron configurations are similar. So, that is why there has similar physical and chemical properties, they have indeed similar crystal structure. In fact, it is also similar to that of carbon, but in its form as diamond. So, this crystal structure is that of diamond which is nothing but carbon, so the diamond has a cubic crystal structure. So, this diamond structure is shown in a picture.

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So, figure shows the unit cell of silicon and germanium crystal. In this, we will discuss this in great detail, but this is the main feature. Each atom of silicon is tetrahedrally coordinate, have a cube and each atom of silicon is tetrahedrally coordinated, auto four near neighbor - tetrahedrally coordinates. Tetrahedron means the near neighbor is 4. So, each silicon is coordinated to 4 near neighbor silicon. So, there are tetrahedral bonds which are shown by shaded portions.

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CRYSTAL STRUCTURE OF SEMICONDUCTORS

Structure of Si and Ge

Silicon and germanium crystallize in the diamond cubic crystal structure. They have a face centered cubic lattice with two atoms at (0,0,0) and $(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$ forming a basis.




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So, this structure is basically a face centered cubic – FCC. What does it mean that; that means, that there are times not only here, but all so at the face centred, and it is these are atoms which are tetrahedrally coordinate. So, the basis is a simple cubic lattice with two molecules in the unit cell one here and another at one-fourth, one-fourth, one-fourth. These are the two atoms, which form the basis, so this is what gives you the tetrahedral coordination. So, the tetrahedral mean the angle is 109 degrees 46 minutes.

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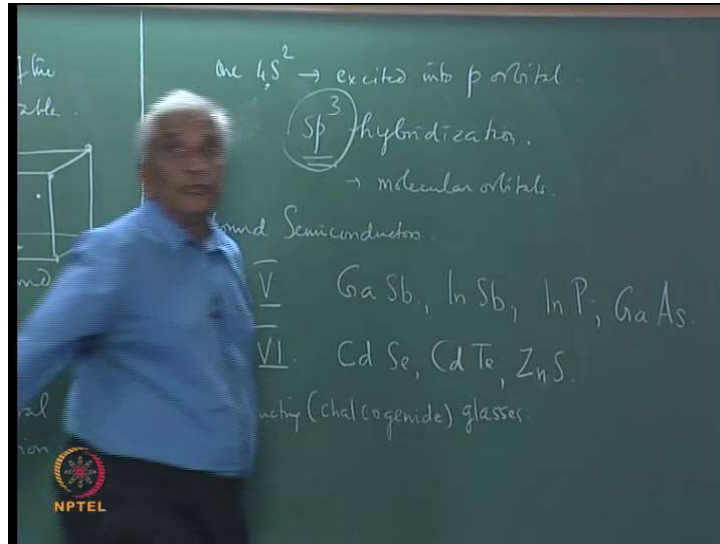
Each Si (or Ge) atom is tetrahedrally coordinated with four similar atoms. The four valence electron in $3s^23p^2$ shells of each Si (or $4s^24p^2$ shells of Ge) atom form four covalent (sp^3 -hybridization) bonds with tetrahedral coordination with bond angles of $109^\circ 28'$, This is shown by the shaded portion in Fig.36.1



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So, these bonds are shown, basically what is happening is that you have two electrons here, one of these get electrons get promoted.


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In the molecular orbital picture of the bond formation, you have one 4 S 2 electron excited into P orbital, and then you have one electron here 4 s 1. And then you have three electron and forming on S p 3 hybridization that is this electron which is excited joints with these and you have this electron with three electrons in the p shell including the one electron which is excited into the p shell. And you have the remaining electron and 3 p electrons combine together to form S P 3 hybridized molecular orbital. These are the ones; we form covalent bonds, which are shown by the shaded region in the figure.

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Structure of compound semiconductors Most III-IV compound semiconductors crystallize in the zinc blende crystal structure. The tetrahedral bonds are formed between two different types of atoms. The bonds are partially covalent and partially ionic.




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This is also the case with most compounds semiconductors, the compound semiconductors are formed by combining a group three element with a group five element or a group two element with a group six element.

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Table 36.2

Group III elements	Group V elements	Group III-Group V Compound Semiconductor
B, Al, Ga, In	N, P, As, Sb	BN, AlN, AlP, AlAs, GaSb, InP, InAs, InSb
Group II elements	Group VI elements	Group II- Group VI Compound Semiconductor
Cd, Zn	S, Te, Se	CdS, CdTe, CdSe, ZnS, ZnS, ZnTe, ZnSe



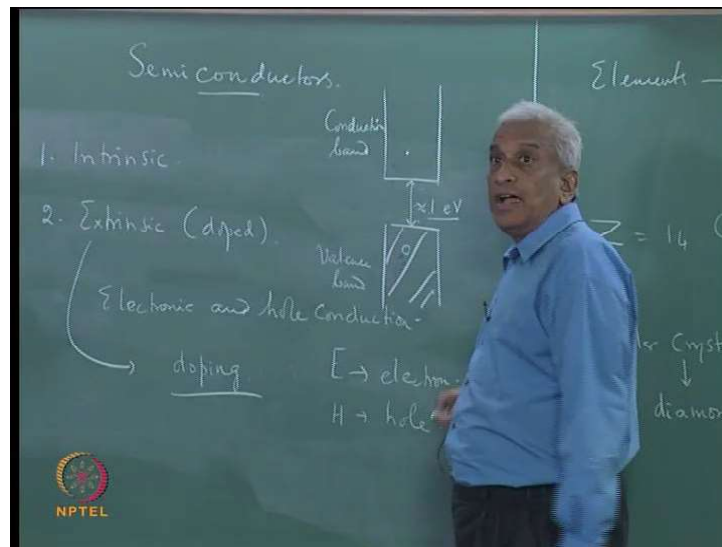
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So, both are compound semiconductors. The table shows these. So, you have group two elements and group three elements and group five elements giving you things like gallium, antimonite, indium, antimonite and so on. Indium phosphate, gallium arsenide things like that, so these are all three-five compounds. In the other case two six

compounds it is materials like cadmium selenite, cadmium telluride and so on, zinc sulphide, usually sulphur selenium, tellurium form the sixth group, so cadmium, zinc etcetera are in the second group. So, forming compounds of this kind, so these are the two-six compound semiconductors. These also have similar crystal structure.

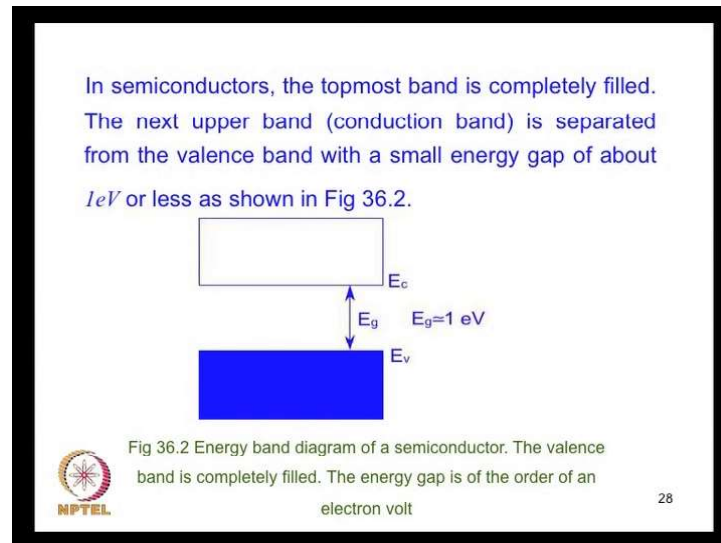
You also have classes the compound semiconductors prepared in glass c form chalcogens, the sulphur selenium solarium are known as chalcogens and therefore, these are known also has chalcogenide glasses. So, preparing glassy form they are the class called the semiconducting glasses they have also acquired a lot of technological importance. So, that is regarding the basic structure and basic chemistry chemical and nature of these various kinds of semiconductor now we also have two important classes of semiconductors.

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There are one is known as intrinsic semiconductor, second-class is extrinsic or doped semiconductor, because we have seen from our discussion of the energy band structure that the band structure electronic structure consist of an occupied valence band and an unoccupied conduction band, and the energy gap is rather low it is of the order of one electron volt say. So, the energy gap is such that it is sufficiently small for carriers to be a as already discuss, carriers can be excited across this the energy gap even thermally by at sufficiently high temperatures.

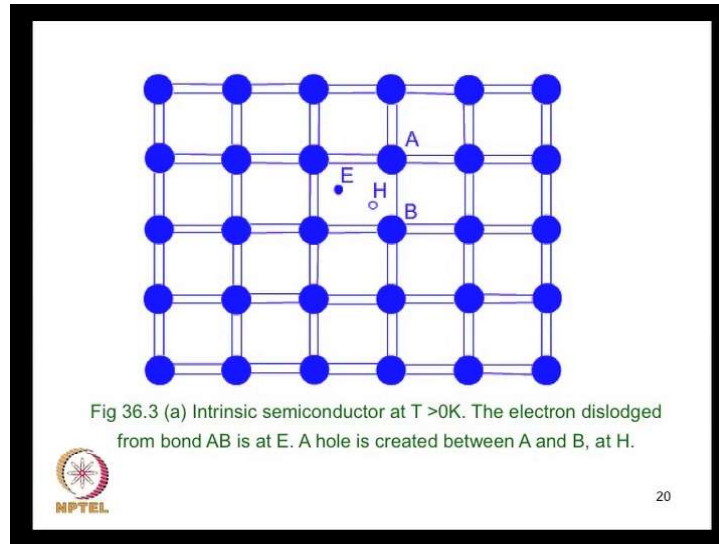
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For example, room temperature and above, the carriers can be excited thermally some of the carriers. So, the intrinsic conductivity depends on the thermal activation of these carriers across the energy gap. So, then there are is an electron excited into the empty conduction band and a hole left behind in the valence band. Both the electron and hole move in opposite direction producing conduction. So, you have an electronic conduction and if hole conduction in a semiconductor, this is another major difference.

So, you have electronic and hole conduction; how do we know this we know these by performing hall effect measurements. This is why in an intrinsic semiconductor, the conduction is produced thermally or by natural means automatically without doing anything by just thermal activation. Whereas, in the case of an extrinsic semiconductor, the electrical properties are controlled by adding impurities in extremely small concentration, so you call that doping, controlled impurities in an extremely pure material. Specific impurities are added we will discuss this later in detail.

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In order to understand what happened let us look at the intrinsic semiconductor at a finite temperature which is above 0 Kelvin. Let us look at a particular site where there are see all the bonds here are shown by two dashes two lines. And this means the number of bonds, the number of lines is equal to the number of bonds. Now suppose we consider an electron, yes that is marked E, now because this electron has been lost by the atom A and therefore, you have only one line. So, you have what is shown here is just the tetrahedral arrangement in three dimensions is projected onto two-dimensions. So, the four tetrahedral bonds are shown schematically in this manner.

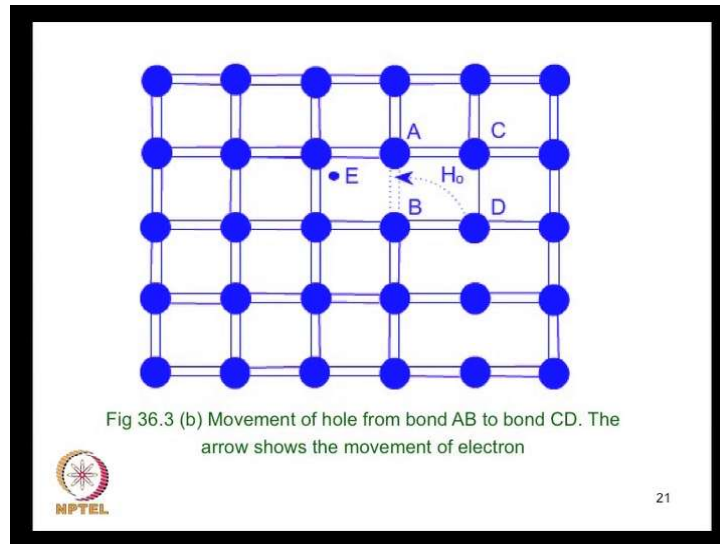
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At 0K, all the atoms are well linked with a perfect covalent bond. There are no free electrons, and hence the material is a perfect insulator. At higher temperatures, the thermal energy will cause the atoms to migrate and this might develop enough energy to dislodge an electron from the bond. The dislodged electron is free to move in the crystal lattice and will respond to an electric field, thus contributing to electrical conductivity.

NPTEL 19

At 0 Kelvin all the atoms are well linked with a perfect covalent bond. And there are no free electron, there is no conduction, the semiconductor is a perfect insulator. But as the temperature is increased the thermal energy will cause the atoms to migrate. Once the electron gains enough thermal energy, it gets dislodged from this bond. So, the dislodged electron is now free to move in the crystal lattice, and this electron will now respond to an electric field, thus contributing to electrical conductivity.


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Now in this figure the dislodged electron where shown by the letter E. So, the bond A B as last one electron. So, it has only a single link. So, between A and B, there is a missing link or a missing electron. A missing electron is shown as a hole H by the letter H. The electron these by the letter E in the figure, while H is for hole. So, when the electric field is applied, the electron moves in the direction opposite to that of the applied field, while the hole moves in the opposite direction. Now the missing link in the bond A B may be restored, if it captures another electron from a neighboring bond and then the hole moves on to that new side.

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This now creates a hole between C and D; or in other words the hole moves from bond AB to bond CD. The hole moves in the direction opposite to that of the electron, i.e. in the direction of the field. Thus both electrons and holes contribute to conductivity of the semiconductor. The free electrons and the holes are considered as defects in an otherwise perfect covalent bonded structure. It is evident that in an intrinsic semiconductor the number of free electrons is equal to the number of holes.




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So, this creates a hole now if it moves between c and d rather than from A and B because A B as captured an electron. So, the hole moves in the direction opposite to that of the electron it is along the direction of the field both the electrons and holes contribute to the conductivity the free electron and the holes are considered as defects in an otherwise perfect covalent bonded structure. So, in an intrinsic semiconductor the number of holes and the number of electrons are equal this is an important concept. Whereas, in the case of an extrinsic semiconductor as we already saw we have to add impurities or doped with impurities.

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Extrinsic conductivity Extrinsic conductivity is due to factors other than the inherent structure of the material. It is due to deliberately added impurities called dopants. The commonly added dopants in Si and Ge may be classified into two types:

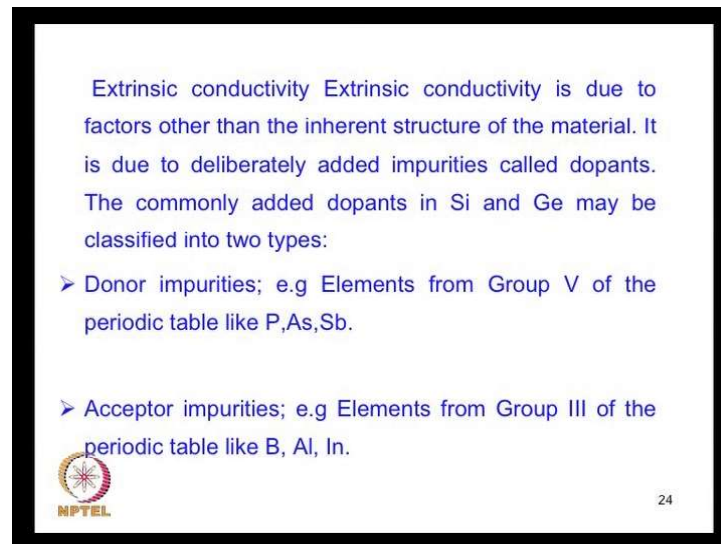
- Donor impurities; e.g Elements from Group V of the periodic table like P,As,Sb.
- Acceptor impurities; e.g Elements from Group III of the periodic table like B, Al, In.



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Now, the impurities can be of two types. The impurities which are added can be either donor impurities or acceptor impurities. A donor impurity is one which donates an electron for example, an element from group 5 in the periodic table, we already saw these elements like phosphorus, arsenic and antimony; whereas, acceptor impurities are one which are from an element from group 3 such as boron, aluminum, indium. So, these are added in extremely small quantities in at the part per million.

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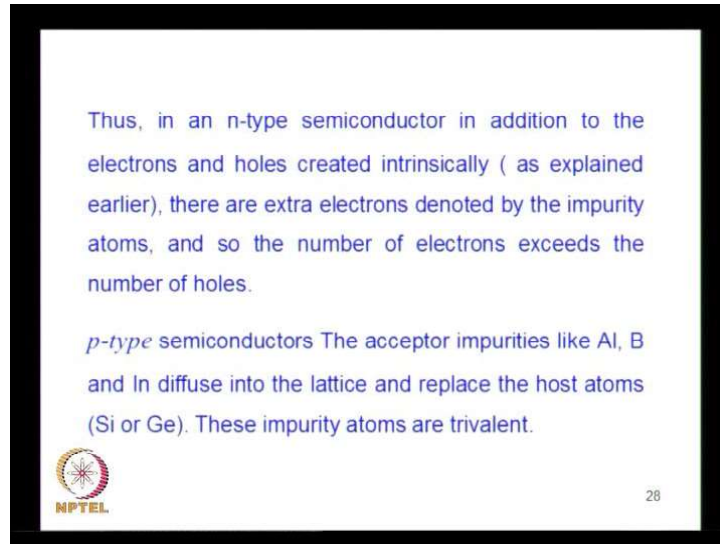
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- Acceptor impurities; e.g Elements from Group III of the periodic table like B, Al, In.

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If it is a donor impurity, you added an electron, so it is said to give n type conductivity because it is electronic conduction since in charge on the electron is negative it is called n type semiconductors. When you doped a pure material semiconductor silicon or germanium with a controlled amount of donor impurities from group 5 of the periodic table, for example, give result in an n-type semiconductor. Whereas, if you have and acceptor, this will give me p-type semiconductor, because it accepts an electron and thus creates a hole. So, the conduction is by an excess of holes and the hole has a positive charge, it moves in the direction opposite to that of the electron in a electric field. So, this is usually from these. So, therefore, you have n-type semiconductors and p-type semiconductors.

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Thus, in an n-type semiconductor in addition to the electrons and holes created intrinsically (as explained earlier), there are extra electrons denoted by the impurity atoms, and so the number of electrons exceeds the number of holes.

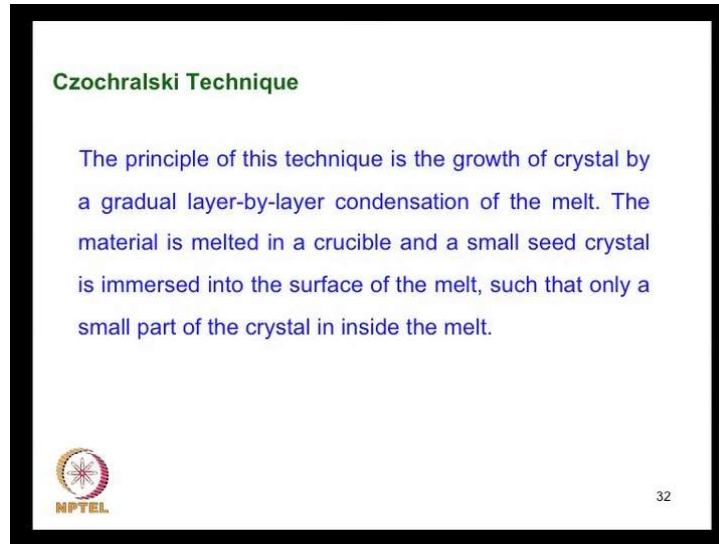
p-type semiconductors The acceptor impurities like Al, B and In diffuse into the lattice and replace the host atoms (Si or Ge). These impurity atoms are trivalent.



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So, in an n-type semiconductor, in addition to the electrons and holes which are created intrinsically by say thermal activation, there are additional electrons which are donated by the impurity atoms. And so, the number of electrons exceeds the number of holes, here n_e - the electron concentration is greater than n_h - the hole concentration. So, electrons are known as the majority carriers. Whereas, in a p-type semiconductor, the number of holes exceeds the number of electron, and therefore, holes are the majority carriers. Therefore, holes in an n-type material are also known as minority carriers; similarly, here the electrons are minority carriers. Each impurity atom creates a hole in the case of a p-type semiconductor, and the holes move in the direction of an applied field contributing to a hole conduction, so the number of holes exceeds that of electron. The amount of donor or acceptor impurities usually added is extremely small 10 to 100 phosphor ppm - parts per million, so that is the usual concentration. In order to do this, it is very important that we have an extremely pure basic most crystal material, silicon or germanium to which a controlled amount is added.

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Czochralski Technique

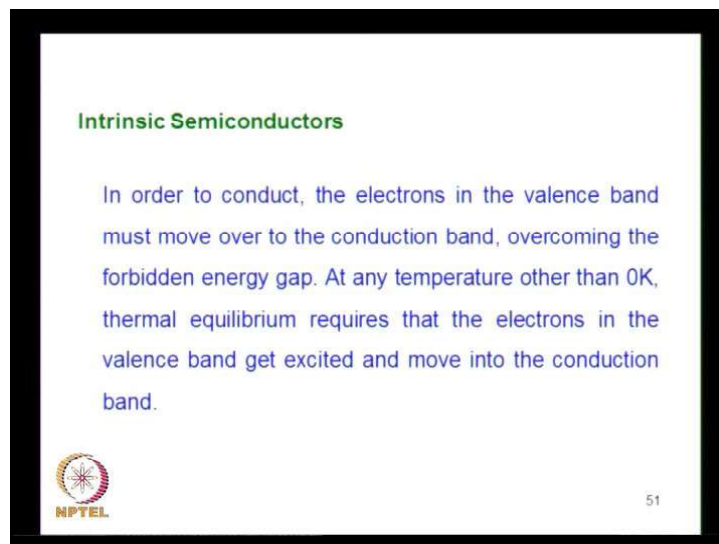
The principle of this technique is the growth of crystal by a gradual layer-by-layer condensation of the melt. The material is melted in a crucible and a small seed crystal is immersed into the surface of the melt, such that only a small part of the crystal is inside the melt.



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So, this is very important and that is why the production of semiconductors is an extremely difficult operation involving very importance techniques of crystal growth such as these Czochralski technique, zone refining and so on. We will not going to these technical aspects. So, the crystal growth crystallization of this pure semiconductor is a technology by itself.

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Intrinsic Semiconductors

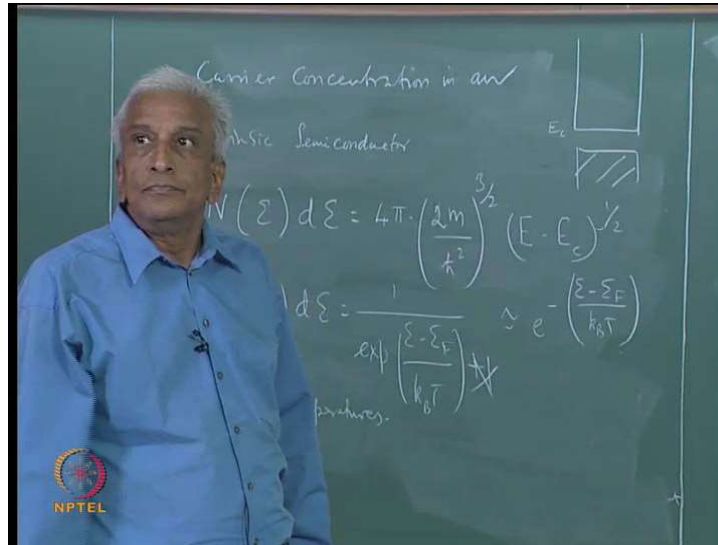
In order to conduct, the electrons in the valence band must move over to the conduction band, overcoming the forbidden energy gap. At any temperature other than 0K, thermal equilibrium requires that the electrons in the valence band get excited and move into the conduction band.



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Now if you want to understand the nature of conduction in a quantitative manner, we have to discuss it in terms of for an intrinsic semiconductor will go by carrier concentration, how to determine the carrier concentration in an intrinsic semiconductor.

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In order to know this, we have to know the number of electrons and holes. So, for this we have to go back to is so-called electron density a states.

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The density of states in the conduction band in the range ϵ and $\epsilon + d\epsilon$

$$N(\epsilon)d\epsilon = 4\pi \left(\frac{2m^*}{h^2}\right)^{3/2} (\epsilon - \epsilon_c)^{1/2} \quad (36.1)$$

(Energy ϵ is measured with respect to the bottom of the conduction band ϵ_c). $F(\epsilon) = \frac{1}{e^{\frac{\epsilon - \epsilon_F}{k_B T}} + 1}$

The probability of occupation is given by the Fermi-Dirac distribution function Where ϵ_F is the Fermi energy. For electrons in the conduction band $(\epsilon - \epsilon_F) \gg k_B T$, at temperatures of interest and so $F(\epsilon)$ may be written as

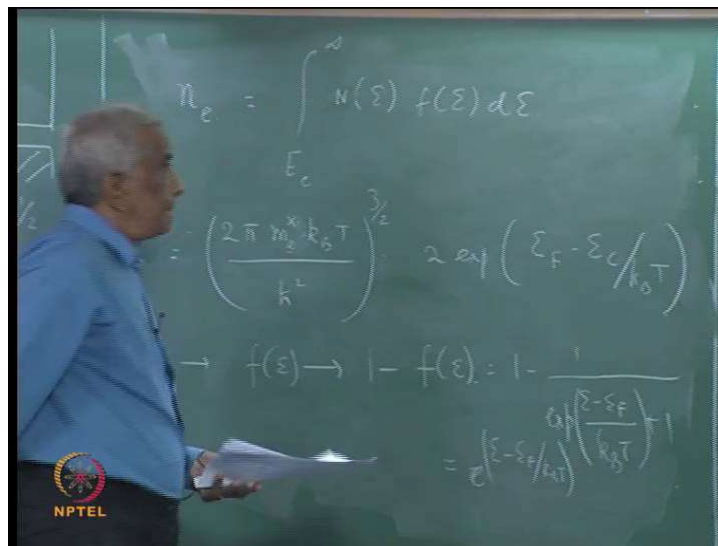
$$F(\epsilon) = e^{-\left(\frac{\epsilon - \epsilon_F}{k_B T}\right)} \quad (36.2)$$

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So, in order to find the concentration of carriers, we have to go to the number density of states N of $e d\epsilon$ which is 4π times $2m^*$ by h^2 cross square to the power $3/2$ times e

minus e_c to the power half this is because in the band structure of a semiconductor. This is taken off the bottom of the conduction band is taken as e and the energy is major from this, so that is why the energy term becomes e minus. In addition, we have the Fermi Dirac distribution function, which is 1 by exponential e minus e_F by $k_B T$ plus 1 . But at high temperatures, when the temperature is sufficiently high that e minus e_F by $k_B T$, the exponential is large compared to this. We can forget about this and this can be written as e to the power minus e minus e_F by $k_B T$ combining these two expressions.

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We get the expressions for the number of electrons in an energy interval e to $e + de$ and if I integrate it from E_C to infinity that will give the number of electrons. So, if we carry out the integration, we arrive at the result $2 \pi m_e^* k_B T$ by h^2 cross square 3 power 3 by 2 into 2 exponential E_F minus E_C by $k_B T$ that will be the carrier concentration. Here of course, we do not write m as the mass of the free electrons since this electron e is in the periodic potential, we replace it by the m^* , the effective mass. And we denote this as the effective mass of the electron by writing m_e^* . Similarly we can calculate the hole concentration where we have to write instead of f of e should be replaced by 1 minus f of e , because this dependence on the probability of a state e not occupied or unoccupied when it is empty then the electron get in to it. And therefore, this depends on the number of vacancies, so it will be one minus f of e and that again gives you 1 minus 1 by and that will give you e to the power e minus e_F by... So, they should be use in order to find the hole concentration, and we be integrate from the minus infinity to their top of the valence

band, because that is where the holes exist. So, this is from minus infinity to the top of the valence band E_V .

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$$n_h = \int_{-\infty}^{E_v} N_v(E) \{1 - f(E)\} dE$$

$$= 2 \left(\frac{2\pi m_v^3}{h^3 k_B T} \right)^{3/2} e^{(E_v - E_F) / k_B T}$$

$$n_i = n_e = n_h \quad n_i^2 = n_e n_h = n_h^2$$

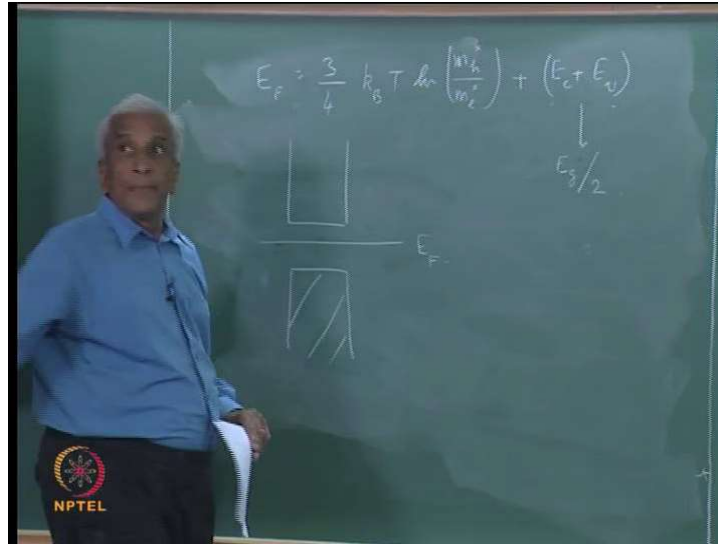
$$n_e = 2 \left(\frac{2\pi m_c^3}{h^3 k_B T} \right)^{3/2} e^{-(E_c - E_F) / k_B T}$$

$$n_i^2 = N_c N_v e^{-E_g / k_B T}$$

So, n_h is integral and that use the result $m h^3 k_B T y h$ cross square 3 by 2 into e to the power and E_v minus E_F by $k_B T$. So, the number of holes and the number of electrons whenever the hole is created here then electrons gets exacted automatically. So, that number of holes at the number of electrons are equal in an intrinsic semiconductor. Therefore, n_h times n_e is what I call an i square in intrinsic carriers concentration, so that from these relation can find the intrinsic carriers concentration has with a bit of algebra this can be shown to be and what is E_c minus E_v that is nothing but a energy gap. So, this becomes just exponential minus E_g by $2 k_B T$.

Now we are taking the square root. So, we can see that the conductivity which we proportional to the carrier concentration in intrinsic carrier concentration will depend exponentially on the argument E_g by $2 k_B T$. So, if the energy gap, which is usually as the order of one electron volt that is the energy gap. So, and the temperature 300 k, this will be the sort of this leads to the known exponential dependence as well as the known positive temperature coefficient of a semiconductor.

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Inverting this, we can also find the Fermi energy, it turns out that the Fermi energy is three-fourth $k_B T \ln \left(\frac{n_h}{n_i} \right) + (E_c + E_v)$. If the hole and electron as the same effect you mass than this term will become 0 leaving all this and this will be E_g by 2, the average of E_c and E_v . So, the Fermi energy lies midway between the conduction band and the valance band in a semiconductor.

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$\sigma = nq\mu$ (36.3)

where μ is the mobility of charge carriers.

The intrinsic electrical conductivity of a semiconductor in term of the concentration and mobility of electrons and holes may be written as $\sigma_i = n_e |e| \mu_e + n_h |e| \mu_h$

where μ_e and μ_h are mobilities of electrons and holes respectively. Since $n_e = n_h = n_i$

$\sigma_i = n_i |e| (\mu_e + \mu_h)$ (36.4)


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So, the intrinsic carrier concentration goes the exponential minus E_g by 2 $k_B T$.

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In table (36.3), the value of the factor $e^{-E_g/2k_B T}$, is tabulated for at temperature 100 K, 200 K, and 300 K. These values give an idea about how rapidly the intrinsic carrier concentration and hence the intrinsic electrical conductivity increases with increasing temperature in a semiconductor.

Temperature in K	$\exp(-E_g/2k_B T)$
100 K	6.6×10^{-26}
200 K	2.6×10^{-13}
300 K	4.1×10^{-9}

Table 36.3 Variation of the exponential factor $e^{-E_g/2k_B T}$ with temperature



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This temperature dependent exponential term table shows the variation temperature dependence on the factor. So, the intrinsic electrical and conductivity will consist of two terms one involving the electron and another involving the hole. Well we can similarly in discuss the concentration of majority carriers in an extrinsic semiconductor, we will do that next time.

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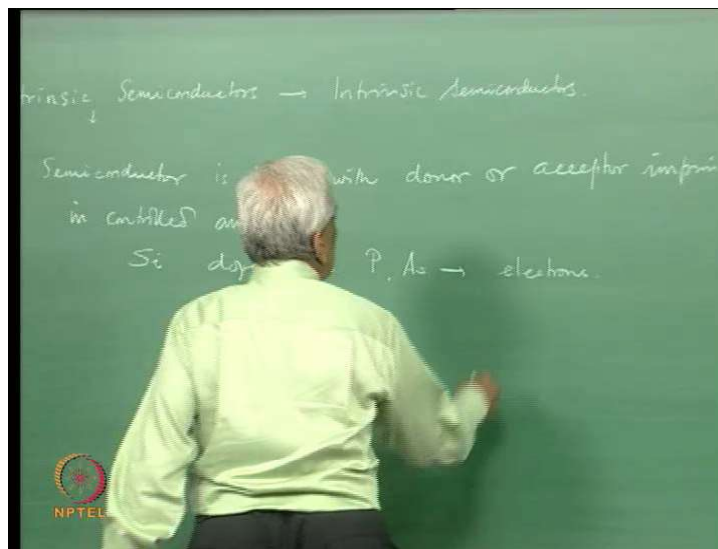


Condensed Matter Physics
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Lecture - 37
Semiconductors (Continued)

Last time, we discussed the carrier concentration and conduction in intrinsic semiconductors, but the crucial thing about semiconductors is the possibility to precisely control the carrier concentrations through controlled doping of a very pure sample of semiconductor. In other words, the entire interest from the point of view of devices and semiconductor electronics is based on the fact that there exist extrinsic semiconductors contrasted with intrinsic semiconductors which we discussed last time.

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We will discuss the carrier transport in an extrinsic semiconductor in this lecture. It has already been mentioned that an extrinsic semiconductor is one in which a semiconductor, which is extremely pure is doped with donor or acceptor impurities in controlled amounts. For example, a sample of silicon is doped with an impurity like phosphorus or arsenic etcetera to give a donor impurity which modifies the behavior of the semiconductor completely and which gives the possibility to control this behavior and use it in various kinds of physical situations. So, similarly there can be acceptor impurities which also inject controlled amounts of holes. This injects controlled amounts of electrons whereas, 1 acceptor impurity provides a hole.

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Donor Energy Levels

In the n-type extrinsic semiconductor, the fifth electron of the donor atom does not take part in the covalent tetrahedral bonding and is loosely attached to the donor atom. The donor atom is now positively charged as it has lost one electron and this electron can be thought of as moving in the field of the positive ion. The dielectric medium between the positive ion and the electron is that of silicon.




2

So, we will discuss this mechanism of how to calculate the carrier concentration which is the basic information required for technical exploitation of these materials.

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Donor energy levels.
n type semiconductor
fifth electron is loosely bound to Si atom.
Donor is positively charged.
Medium is Si.
like H atom in QM ground state energy $\sim -13.6 \text{ eV}$



In order to do this, we will start considering the energy levels of a donor impurity in an extrinsic semiconductor this is called an n type semiconductor. So, the fifth electron in a pentavalent impurity is not able to get tetrahedral bounded to the silicon arbiters know that is because the tetrahedral bounding allows four electron. So, the fifth electron is loosely bound to the silicon atom the donor such as phosphorous or arsenic is now

positively charge because it is donated on electron. This loosely bound fifth electron can be thought as moving in the never hood of this positively charged atom or ion to be more specific the dielectric medium in which this motion takes place is that of silicon. So, we consider this in the framework favor of the wee known theory of the hydrogen atom or hydrogen like atoms in quantum mechanics.


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The radius and energy of this orbital can be worked out using simple quantum mechanics (of hydrogen like atom).

According to quantum theory of hydrogen-like atom the ground state energy is given by

$$E_H = -\frac{me^4}{2(4\pi\epsilon_0\hbar)^2} = -13.6 \text{ eV} \quad (37.1)$$

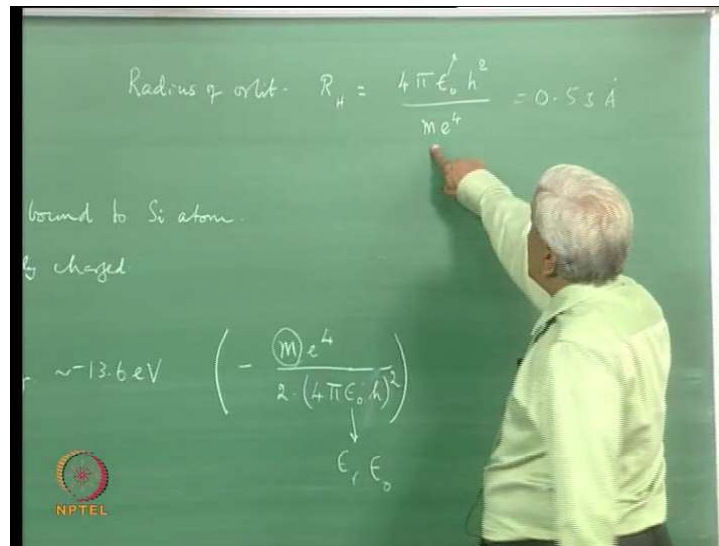
where ϵ_0 is permittivity of free space. The radius of the ground state electron orbit is given by

$$r = \frac{4\pi\epsilon_0\hbar^2}{me^2} = 0.53\text{\AA} \quad (37.2)$$


3

And borrow the results for this which is well known the ground state energy of such a hydrogen like atom is 13.6 electron volts negative because it is a binding energy and this is got from the expression minus m E to the power 4 by 2 into 4 pi epsilon naught x square. Now, in this case the moss of the electron is known to be the effective moss m star in the semiconductor and epsilon naught is replaced by the relative dielectric constant epsilon r of silica and the radius of this orbit of this electron that the radius is given by.

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And that works out to be 0.53 in a hydrogen like atom now these things again are modified by the replacement of the dielectric permittivity by epsilon R epsilon naught, where epsilon R is the characteristic relative dielectric constant of silicon and also the replacement of m the electronic mass by the effective mass in the semiconductor.

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Adopting the same equations to donor impurity atom in n-type semiconductor, the ionization energy of the donor atom

$$E_d = -\frac{m_e^* e^4}{2(4\pi\epsilon_0 \epsilon_r \hbar)^2} = \frac{13.6}{\epsilon_r^2} \frac{m_e^*}{m} eV \quad (37.3)$$

where ϵ_r is the dielectric constant of the semiconductor.

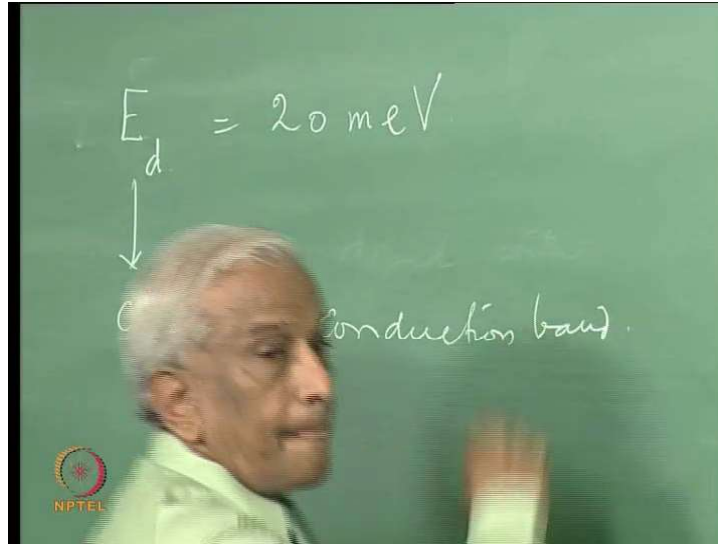
For Si $\epsilon_r = 11.7$ and $\frac{m_e^*}{m} = 0.2$. so that

$$E_d = -0.2 eV = 20 m eV \quad (37.4)$$

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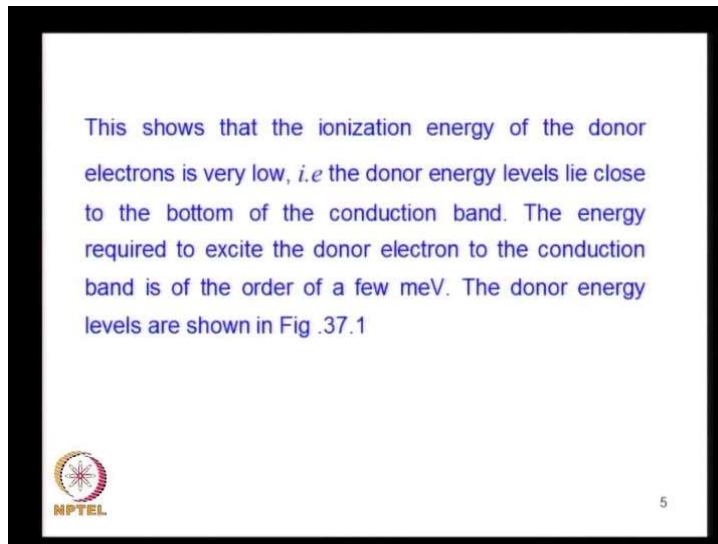
So, there donor energy can therefore, be readily calculated using these expressions and using known values at the effective mass and the dielectric constant.

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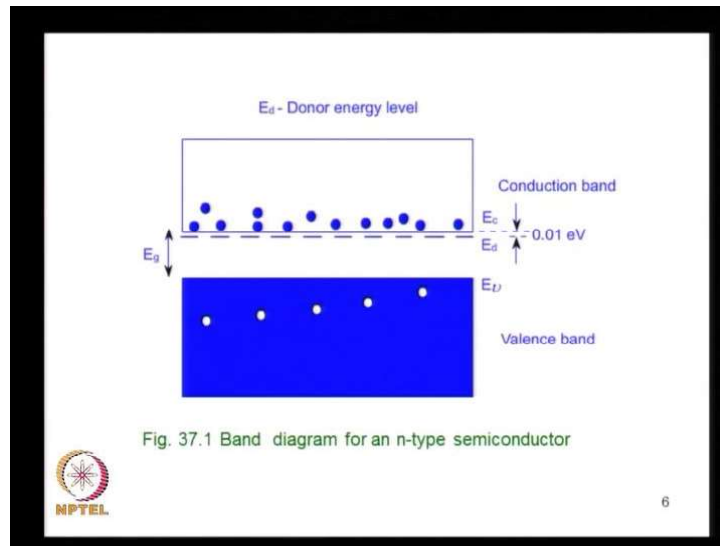
So, this works out to be E_d the energy of the donor atom using the well known result for hydrogen atom the 13.6 electron volts this works out to be 20 million electron volt.

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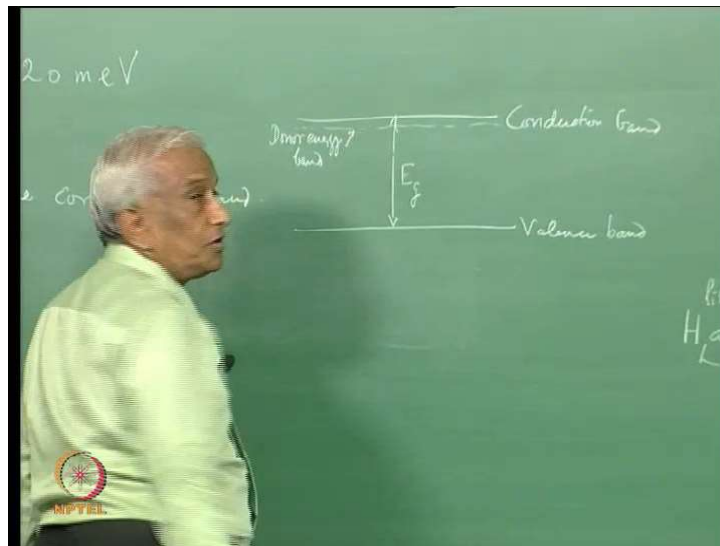
Which is an extremely small quantity and the bore orbit is also rather large. So, the donor energy levels lie very close they are very close they are very close to the conduction band.

(Refer Slide Time: 09:27)



So, this is shown in the figure you have the conduction band.

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The bottom of the conduction band and the top of the valence band with an energy gap here and the donor energy level band like very close donor energy band it is an extremely small quantity the distance between them.

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The radius of the orbit:

$$r_d = \frac{4\pi\epsilon_r\epsilon_0\hbar^2}{m_e^*} = \epsilon_r \left(\frac{m}{m_e^*} \right) r_H = 31\text{\AA} \quad (37.5)$$

The radius of this loosely bound electron is quite large so that the donor orbitals overlap at relatively low concentrations, forming an impurity energy band near the conduction band as shown in Fig.37.1. Since the donor energy levels are very close to the conduction band, even at low temperatures a large fraction of the donor level electrons is thermally excited into the conduction band.




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And the radius of this orbit is also very large. So, that is a big overlap between the orbits of the neighboring ions and therefore, this forms a donor band. So, this is so close to the bottom of the conduction band that thermal excitation can provide a very convenient way of exciting the electrons from the donor levels into the conduction band.

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This fraction is much larger than the fraction of electrons excited into the conduction band due to the intrinsic process discussed in the last lecture. In n-type semiconductors, the number of electrons in the conduction band exceeds the number of holes in the valence band, hence the electrons are called the *majority carriers* and the holes are called the *minority carriers*.

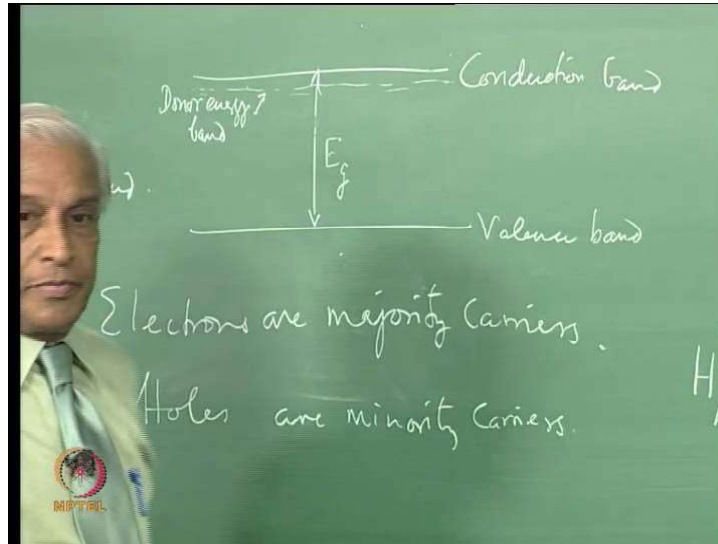


8

They facilitate conduction. So, the fraction of electrons thus excited thermally or otherwise from the donor band to the conduction band is relatively large in comparison

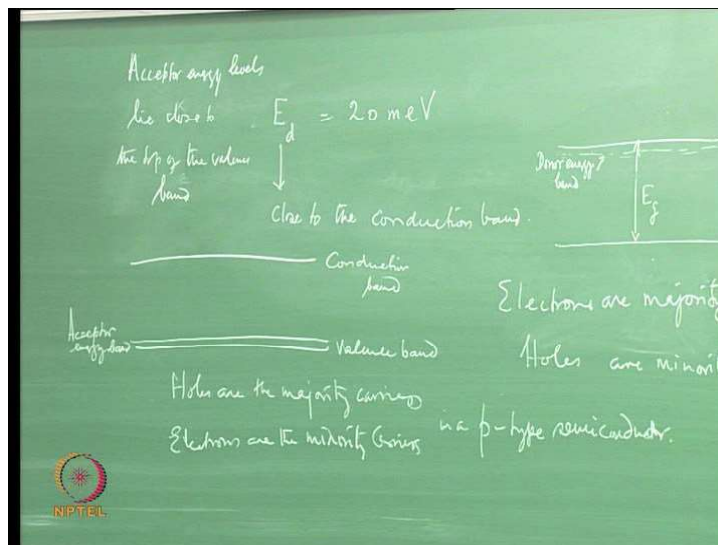
to the fraction which is excited across the energy gap from the valance band. So, and these are due to the whole states in the valance band.

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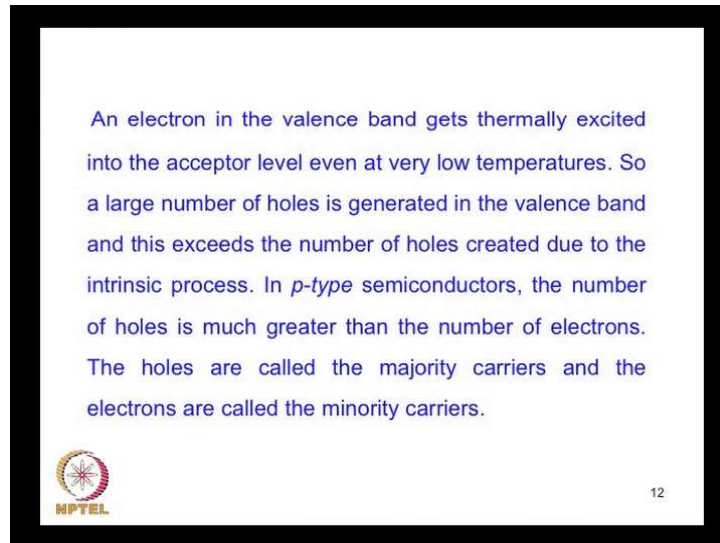
So, the electrons from the donor are the so called majority carriers, because they are in very large numbers; whereas, the holes from the valance band are minority carriers in an n type semiconductor by following the same argument.

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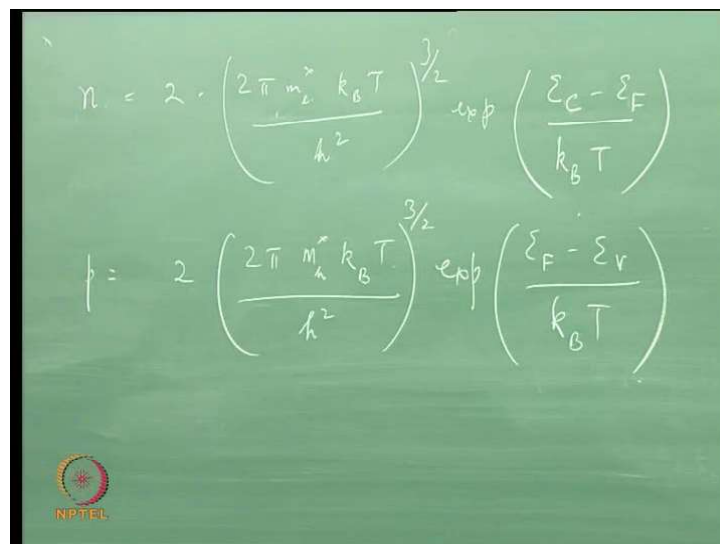
One can also see that the acceptor energy levels lay very close to the top of the valance band. So, this picture gives like this is the conduction band this is the valance band and we have acceptor energy band.

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Which lies extremely growth to the top of the valance band and because of this we have the majority carriers are holes in this case while electrons are the minority carriers in a p type semiconductor. So, this is the overall situation and now we have already see in that electron concentration.

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The electron concentration is given as n and that is $2 \times 2\pi m^* k_B T$ by square hole to the power $3/2$ exponential $E_f - E_c$. Similarly, the hole concentration is given by a similar expression where it is effective mass of the hole T is the temperature.

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Handwritten notes on a green chalkboard:

$$np = N_{\text{eff}}^c N_{\text{eff}}^v e^{-E_g/k_B T}$$

$$n + N_A^- = p + N_D^+ \rightarrow \text{charge neutrality}$$

Below the charge neutrality equation, arrows point to:

- acceptor atoms ($-ve$ ions)
- Donor atoms ($+ve$ ions)

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So, the product of these two becomes N times p is considering these two taking the product of these two expressions. Now these are the partition functions which are given by the exponential factors here.

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Printed equations on a white background:

Therefore, $np = N_{\text{eff}}^c N_{\text{eff}}^v e^{-E_g/k_B T}$ (37.8)

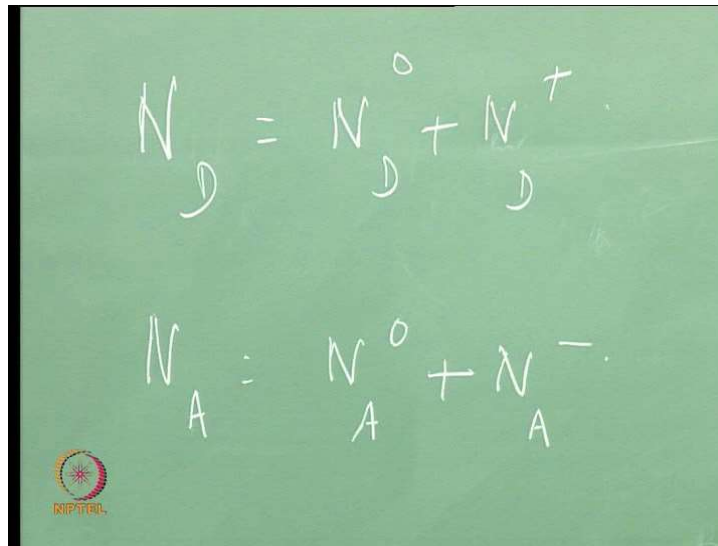
where, $N_{\text{eff}}^c = 2 \left(\frac{2\pi m_e^* k_B T}{h^2} \right)^{3/2}$ (37.9)

and $N_{\text{eff}}^v = 2 \left(\frac{2\pi m_h^* k_B T}{h^2} \right)^{3/2}$ (37.10)

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Now, the charge in locality requires that N plus N_a minus equals p plus N_d plus where N_a is the acceptor atoms which are negatively ionized \times N_d is the donor atoms negatively ionized here whereas, the donor atoms you can positively ionized this is charge neutrality.

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Now N_d concentration of donor atoms can be written as plus N_D plus and similarly N_A is N_A^0 the neutral acceptor atoms plus N_A^- . Now it is rather difficult to discuss the general case in the both donors and acceptors.

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Now, $N_D = N_D^0 + N_D^+$ and (37.11-a)
 $N_A = N_A^0 + N_A^-$ (37.11-b)
 where N_D^0 and N_A^0 are the neutral donor and acceptor concentrations respectively.

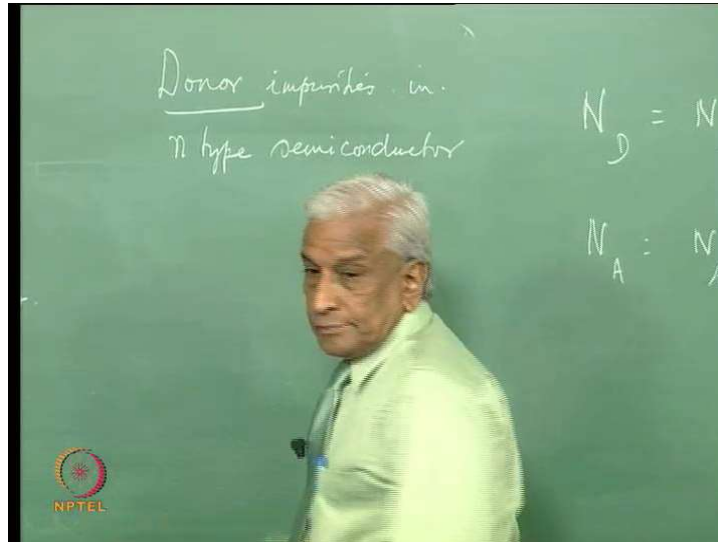
The general case in which the donors and acceptors are considered simultaneously can only be treated numerically. We deal here only with the case of a pure n- type semiconductors in which only donors are available.



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A simultaneous present is can be considered only numerically we do not want to do the here be deal with

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Only the case of a donor impurities, which is theoretically easier to deal with in an n types semiconductor.

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The number of electrons occupying the donor sites is given, to a good approximation, by,

$$n_D = N_D^0 = N_D \left\{ 1 + \exp\left(\frac{E_D - E_F}{k_B T}\right) \right\}^{-1} \quad (37.12)$$

Similarly the number of holes occupying acceptor sites is given as

$$p_A = N_A^0 = N_A \left\{ 1 + \exp\left(\frac{E_F - E_A}{k_B T}\right) \right\}^{-1} \quad (37.13)$$

Free electrons in the conduction band can arise only from donors or from the valence band.



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The concentration of donor electrons is N_D^0 substituting for and the plus this is N_D by one plus exponential E_f minus. Similarly, we can write P_A a similar expression at P_A^0 we are talking about the ionized impurities which involve only the spacing between the

donor level and the bottom of the conduction band and the acceptor level at the top of the valance band.


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$$n = N_D^+ + p \quad (37.14)$$

We also assume that the main contribution to the conductivity comes from ionized donors i.e., $N_D^+ \gg n_i$

Then,

$$n = N_D^+ = N_D - N_D^0 \quad (37.15)$$

$$= N_D \left[1 - \frac{1}{1 + \exp\left[\frac{E_D - E_F}{k_B T}\right]} \right] \quad (37.16)$$

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So, with this we can also say that can be is in gender very large compare to m that is the ionized impurity atom concentration is large the main contribution to conduct to becomes only from this with that we can an expression for n which is the difference between N_D^0 and N_D minus.


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Here E_d is the energy separation of the donor level from the bottom of the conduction band.

Thus,

$$n = N_D^{\text{eff}} e^{(E_F - E_D)/k_B T} \cdot e^{-E_d/k_B T} \quad (37.20)$$

We also have,

$$n = \frac{N_D \exp(E_D - E_F)/k_B T}{1 + \exp(E_D - E_F)/k_B T} \quad (37.21)$$

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And therefore, we can do a little algebraic manipulation to get a closed expression in terms of.

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$$= N_D \frac{1}{\exp((E_F - E_D)/k_B T) + 1}$$

$$= N_D \frac{1}{\left(\frac{n \cdot e^{E_D/k_B T}}{N_{\text{eff}}^c} \right) + 1}$$

Thus, $n + \frac{n^2}{N_{\text{eff}}^c} e^{E_D/k_B T} = N_D$ (37.22)

The physically meaningful solution of this quadratic equation is $n \simeq \frac{2N_D}{1 + \sqrt{1 + 4 \frac{N_D}{N_{\text{eff}}^c} e^{E_D/k_B T}}}$ (37.23)

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So, that gives the concentration and which with a little bit of manipulation again as you break a manipulation lives to a simple quadratic equation for the concentration this quadratic equation has you form.

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$n + \frac{n^2}{N_{\text{eff}}^c} \exp\left(\frac{E_D}{k_B T}\right) = N_D$

$n = N$

NPTEL

So, for which this quadratic equation can be solved to get a solution for N which has this form so that gives the concentration.

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Case 1: When


$$\frac{4N_D}{N_{\text{eff}}^C} e^{E_d/k_B T} \gg 1, \quad n = \sqrt{N_D N_{\text{eff}}^C} e^{-E_d/k_B T} \quad (37.24)$$

This is the freeze out region.

Case 2:

$$\frac{4N_D}{N_{\text{eff}}^C} e^{E_d/k_B T} \ll 1, \quad n = N_D = \text{Constant.} \quad (37.25)$$

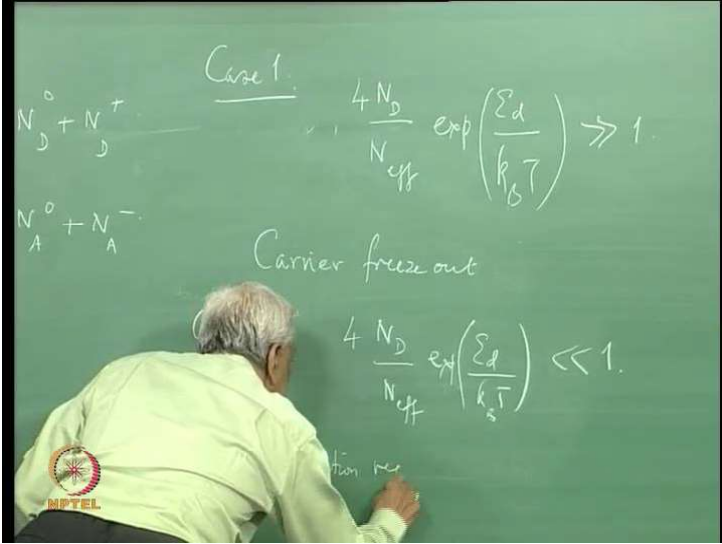
This is the saturation region.



23

And we can discuss in particular three main cases is one when this quantity under the square root sign is such that.

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Case 1:

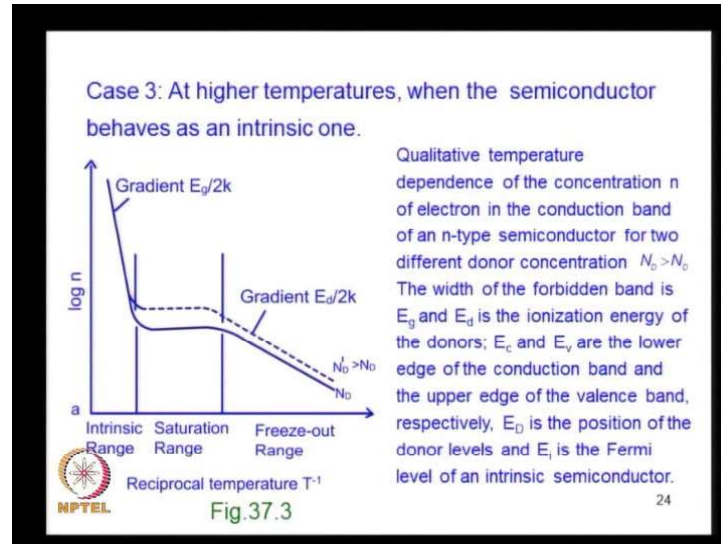
$$\frac{4N_D}{N_{\text{eff}}} \exp\left(\frac{E_d}{k_B T}\right) \gg 1$$

Carrier freeze out

$$\frac{4N_D}{N_{\text{eff}}} \exp\left(\frac{E_d}{k_B T}\right) \ll 1$$

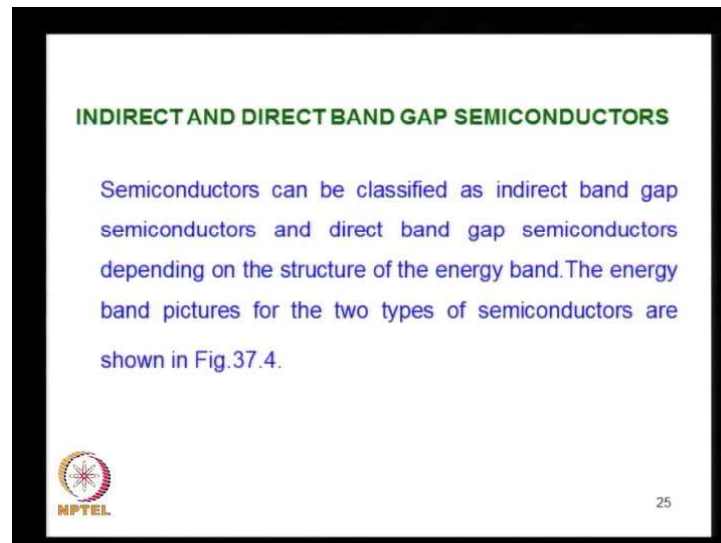
Four N_D by $N_{\text{effective}}$ exponential E_d by $k_B T$, this quantity is very large is comparison to one when I can ignore this and write this in terms of this is the so called carrier freeze out region. Whereas, the second case is when this factor is small in comparison to one, so that this can be neglected and we have a constant concentration. So, this is the saturation region and then third case.

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Case 3 is at still higher temperatures the factor T enters here the conduction becomes intrinsic. So, the figure show the three regions one is the intrinsic range as a function of one by T logarithm of the concentration is plotted and you have an intrinsic range then this saturation range where the concentration is constant then a freeze-out range.

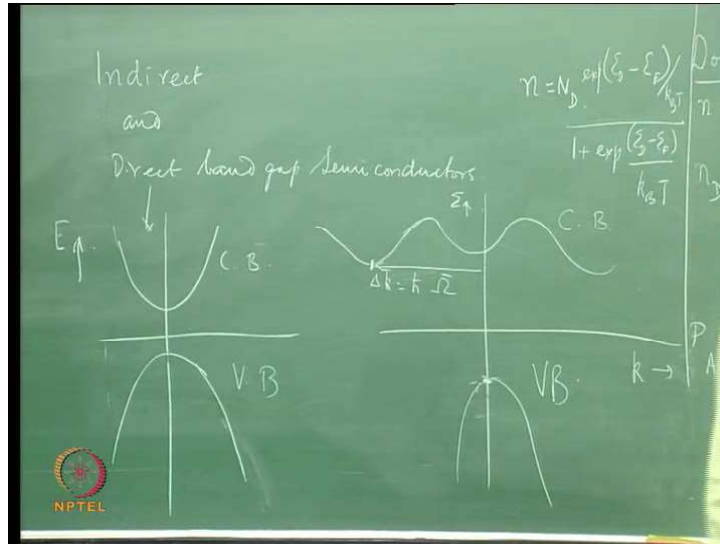
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So, this gives the basic mechanism and basic results of carrier transport in a pure n type of semiconductors this can be treated analytically similarly for p type semiconductor also

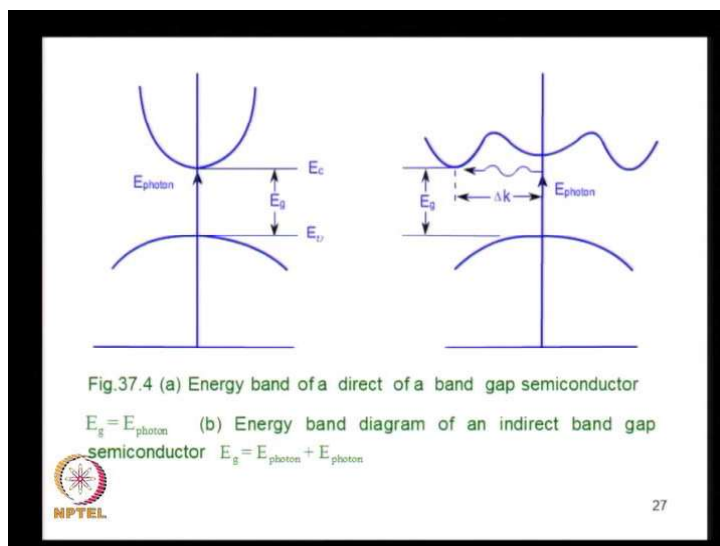
can be treated analytically, but as I already said and both are present then this cannot be treated analytically, but it has to be done only numerically.

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You know have to consider an important distinction between indirect and direct band gap semiconductors.

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These are illustrated in the next figure in the direct band gap semiconductor the the conduction band the bottom to the conduction band. So, this is the conduction band this is the valance band. So, this bottom of the conduction band lies energetically at the same

value as the top of the valance band, so that the band gap is all the energy needed to promote a carrier from the valance band into the conduction band. Whereas, an indirect band gap is one in which and you have a slightly lower structure here and whereas, we have the valance band here the conduction band energy structure like this. So, the bottom of the conduction band lies here while the top of the valance band likes here there do not occur at the same k value. So, one needs to promote the carrier from here to this and then translate it to this by an amount delta k or h cross omega.

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In a direct band gap semiconductor, the lowest energy of the conduction band at the same k value as the highest energy of the valance band as shown in Fig 37.4(a). In an indirect band gap semiconductor, the k value corresponding to the lowest energy of the conduction band is different from that of the highest energy of the valance band as shown in Fig.37.4(b).

The threshold frequency for optical absorption in a direct band gap semiconductor is given by

$$h\omega_0 = E_g \quad (37.26)$$


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So, for conduction one has to get a threshold frequency for conduction is just the energy gap for a direct band gap for a direct band gap semiconductor, for example, gallium arsenide you such a material.

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In an indirect band gap semiconductor, the threshold frequency is given by

$$h\omega_0 = E_g + h\Omega \quad (37.27)$$

where $h\Omega$ is the energy of an emitted phonon, i.e. the minimum photon energy required for absorption is greater than the energy gap. The excess energy increases the lattice vibration energy by $h\Omega$ i.e. a phonon of energy $h\Omega$ is generated. The generated phonon has wave vector equal to the difference in k value Δk shown in Fig. 37.4(b).




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Whereas in the case of an indirect band gap we have to have in an indirect band gap.

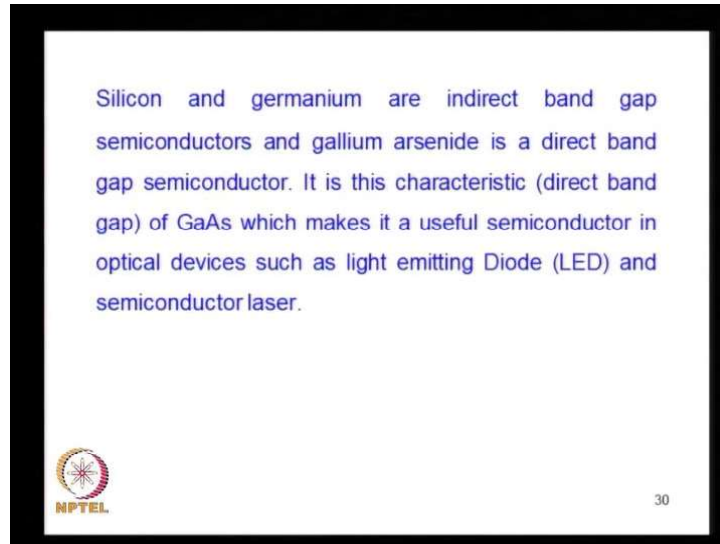
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Indirect band gap
Semiconductor (Si)


$$h\omega = E_g + h\Omega$$


Semiconductor such as silicon one has to have their omega is given by the condition for the wave vector.

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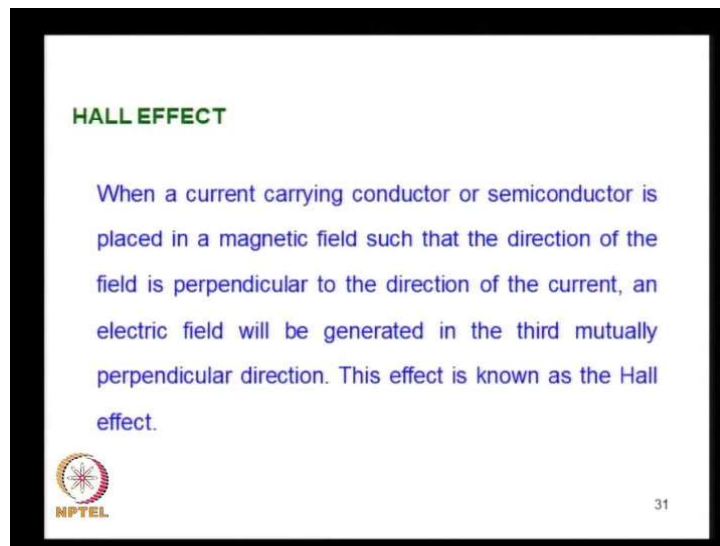
Silicon and germanium are indirect band gap semiconductors and gallium arsenide is a direct band gap semiconductor. It is this characteristic (direct band gap) of GaAs which makes it a useful semiconductor in optical devices such as light emitting Diode (LED) and semiconductor laser.



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So, it is because of these the gallium arsenide is a useful conductor and for example, optoelectronic devices led's semiconductor lasers and so on.

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HALL EFFECT

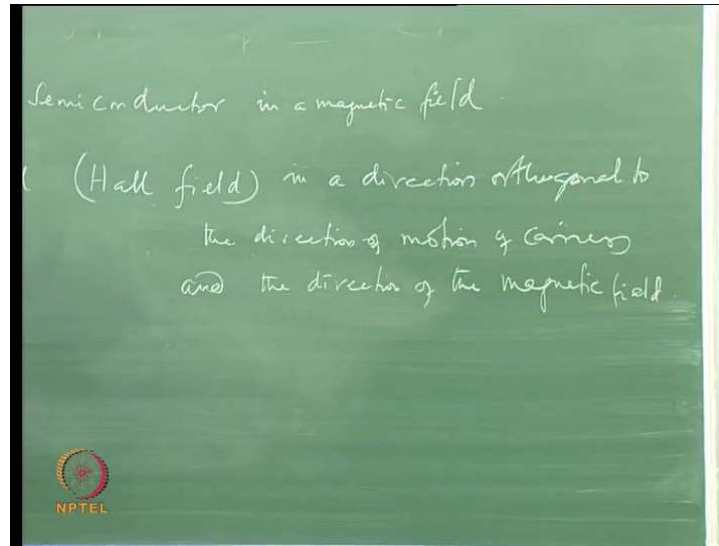
When a current carrying conductor or semiconductor is placed in a magnetic field such that the direction of the field is perpendicular to the direction of the current, an electric field will be generated in the third mutually perpendicular direction. This effect is known as the Hall effect.



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Next we pass on to a consideration of hole effect hall effect is a very well known phenomenon especially in semiconductor.

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So, then a current carrying conductor or semiconductor is placed a magnetic field let us discuss a semiconductor placed a magnetic field. So, because of the Lorentz force acting on a moving charge practically magnetic field you get a lateral displacement of the carriers and therefore, there is a its field which is set up in the direction perpendicularly directional motion of the charge carriers and the direction of the magnetic field. So, this field is known as the hall field and the setting up of this is known as the Hall Effect. So, this is in a direction orthogonal to the direction of the motion of the carriers and the direction of the magnetic field. So, that is the effect which is known as the classical Hall Effect.

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Theory of Hall Effect in a Conductor (Solid with One Type of Charge Carrier)

Figure 37.6 shows a specimen placed in a magnetic field of strength B applied in the Z-direction. A current I is passed through the crystal in the Y-direction by applying an electric field E along Y-direction



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If you have a conductor with one type of charge carriers the situation is extremely simple to deal with

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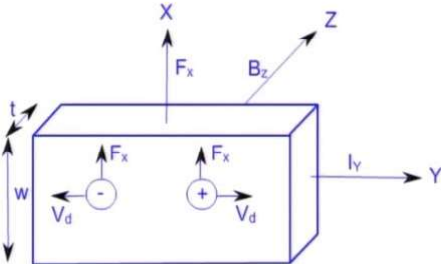



Fig 37.6 Hall effect in a semiconductor. The current is in the Y-direction and the magnetic field is in the Z-direction. The charge carriers experience a force in the positive X-direction. Note that both electron and holes experience the force in the positive X-direction



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Let us say consider a configuration which you shown in the figure here where the field and the current configuration are shown.

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The charge carriers in the specimen experience a force qE along Y, where q is the charge of the carriers. Due to this force the charges acquire an average drift velocity $\langle v_y \rangle$. The current density J_y is given by

$$J_y = nq \langle v_y \rangle \quad (37.28)$$

where n is the concentration of charge carriers. Since a magnetic field B is applied in the Z-direction, the charges moving in the Y-direction with velocity $\langle v_y \rangle$, experience a force in the X-direction given by


$$\vec{F} = q\vec{v} \times \vec{B}$$
$$F_x = q \langle v_y \rangle B_z \quad (37.29)$$


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And one can straight away using the Lorentz source law f equals $q v$ cross b where b is that of the current and you have a b direction. So, the force the lateral force can be readily calculated.

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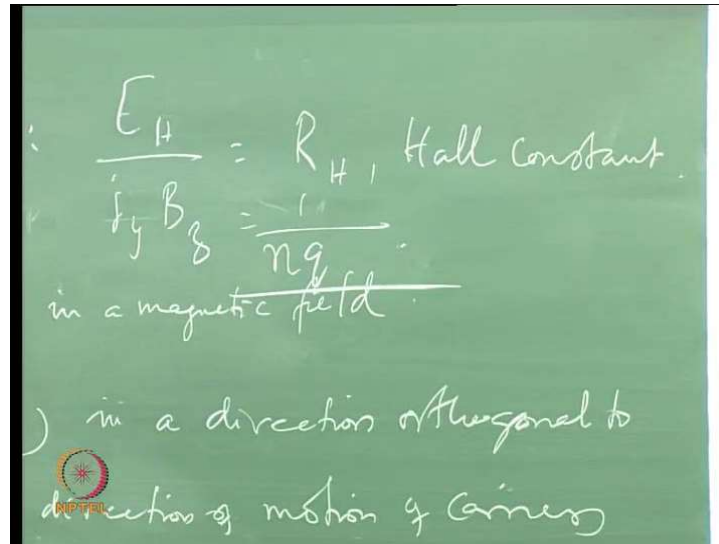
Due to this force, the charge get deflected in the X-direction. The deflection causes the build-up of charge towards one face leaving an excess of opposite charges at the opposite face of the specimen. This accumulation of charges will continue until the electrostatic field set up by this charge separation balances the magnetic force on the carriers. The electrostatic field set up at equilibrium, along the X-direction, is called the *Hall Field*. The condition for equilibrium, i.e condition for the balancing of the two forces is given by

$$qE_H = q \langle v_y \rangle B_z$$
$$E_H = \langle v_y \rangle B_z \quad (37.30)$$


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And therefore, the Hall field can be calculated also, the hall field is given is if the direction of motion X...

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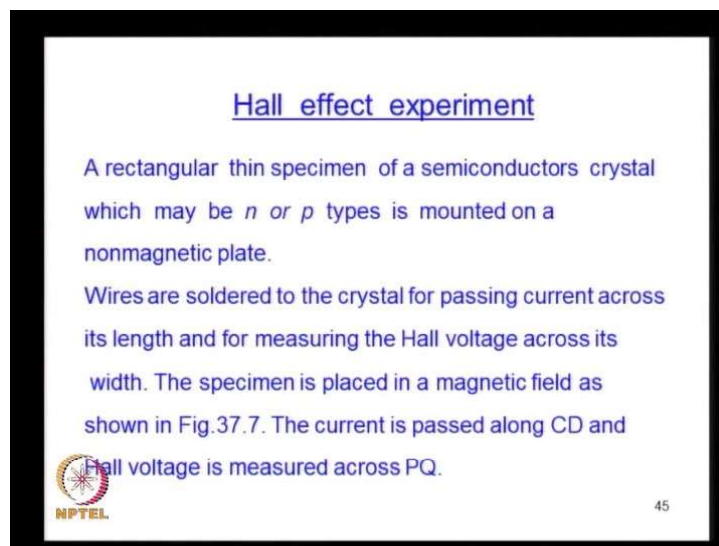
$$\frac{E_H}{j_y B_z} = R_H, \text{ Hall constant}$$
$$j_y B_z = \frac{1}{nq}$$

in a magnetic field

in a direction orthogonal to direction of motion of carriers

The B field is along the z direction the hall field is directed along the y direction. So, this will be $j_y B_z$ by n . Let us write q here to denote the charge in a general way. So, this E_H by $j_y B_z$ is known as Hall constant and that readily seen to be just 1 by nq .

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Hall effect experiment

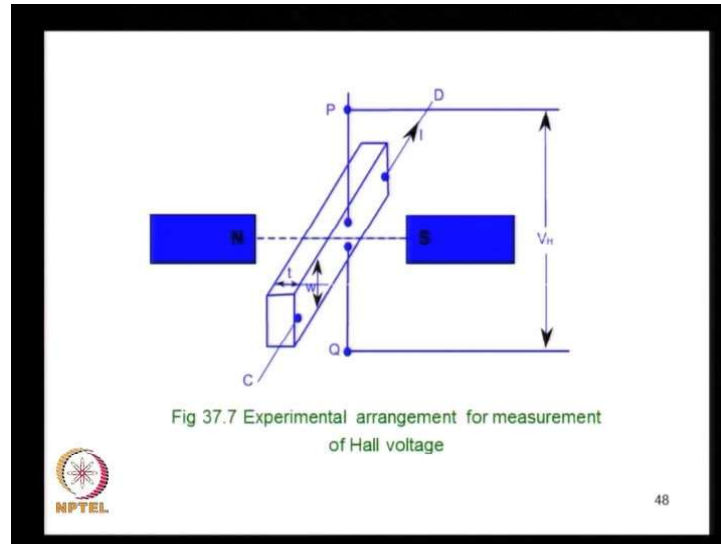
A rectangular thin specimen of a semiconductor crystal which may be n or p types is mounted on a nonmagnetic plate.

Wires are soldered to the crystal for passing current across its length and for measuring the Hall voltage across its width. The specimen is placed in a magnetic field as shown in Fig.37.7. The current is passed along CD and Hall voltage is measured across PQ.

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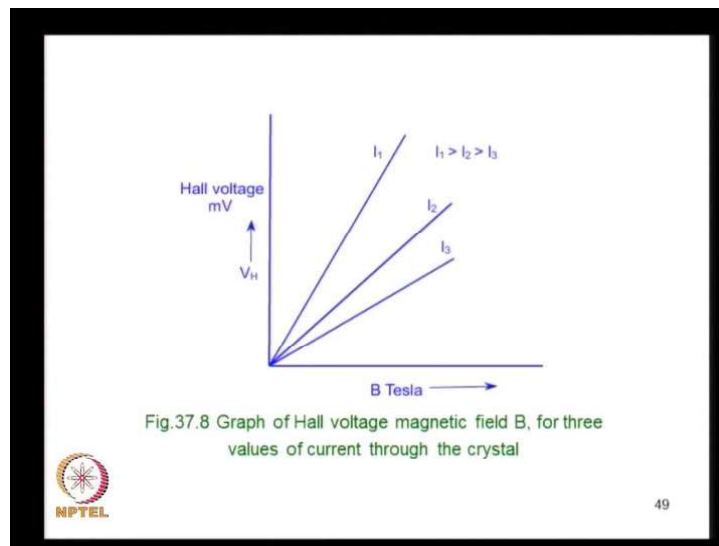
So, this proportionally constant is what is seen here and therefore, the Hall voltage can straight away be calculated.

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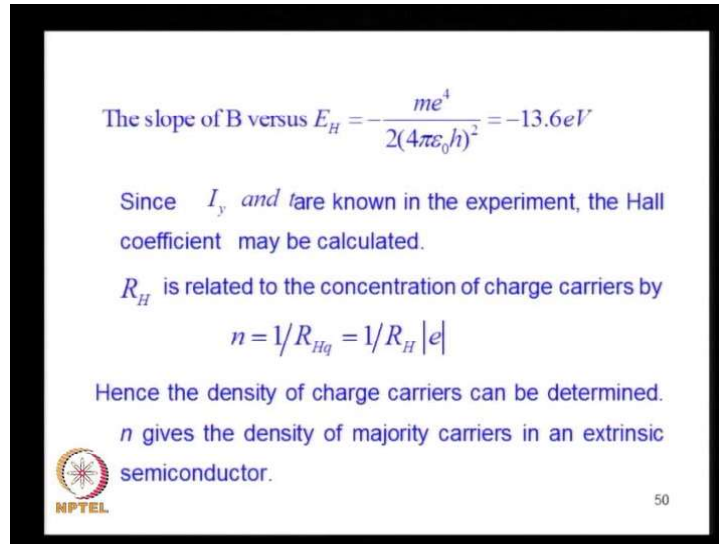
So, this is the typical experimental configuration for measuring the Hall coefficient and contacts made along to pass the current.

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And a magnetic field is supplied perpendicular to the direction of flow the current, and then the contracture made also in the third direction to measure the Hall voltage, and this gives the Hall voltage as a function of the applied magnetic field, in different values of the magnetic field.

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The slope of B versus $E_H = -\frac{me^4}{2(4\pi\epsilon_0\hbar)^2} = -13.6eV$


Since I_y and t are known in the experiment, the Hall coefficient may be calculated.

R_H is related to the concentration of charge carriers by

$$n = 1/R_{Hq} = 1/R_H |e|$$

Hence the density of charge carriers can be determined.

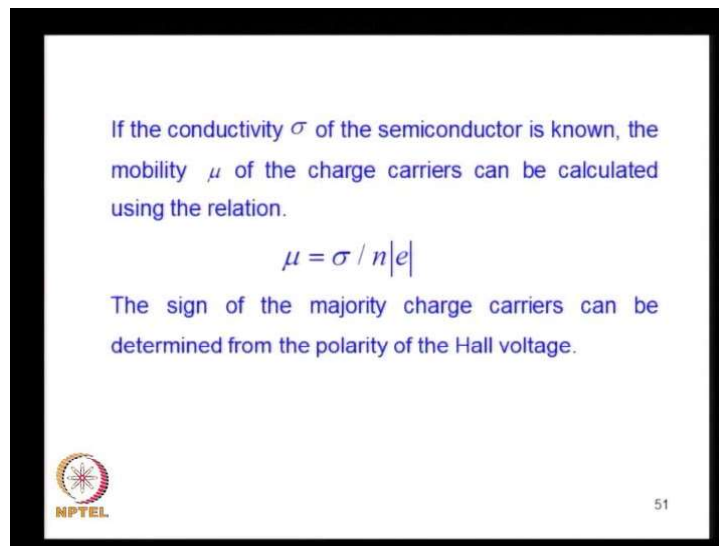
n gives the density of majority carriers in an extrinsic semiconductor.



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The hall constant is related to the carrier concentration, so $1/R_H$ and q magnitude of q .


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If the conductivity σ of the semiconductor is known, the mobility μ of the charge carriers can be calculated using the relation.

$$\mu = \sigma / n|e|$$

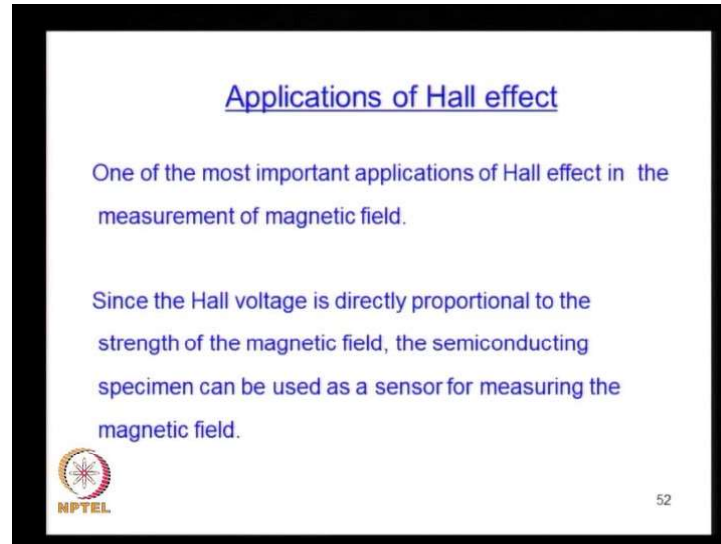
The sign of the majority charge carriers can be determined from the polarity of the Hall voltage.



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So, one can straight away measure the carrier density by measuring the Hall constant. And since the mobility is just therefore, if we know the electrical conductivity and the concentration one can determine the mobility as well.


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Applications of Hall effect

One of the most important applications of Hall effect in the measurement of magnetic field.

Since the Hall voltage is directly proportional to the strength of the magnetic field, the semiconducting specimen can be used as a sensor for measuring the magnetic field.



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So, the Hall effect is used extensively in the measurement of the magnetic field, it is used as a magnetometer and to measure sign and concentration of charge carrier, and the mobility. These are the important applications of Hall Effect measurements.

Condensed Matter Physics
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Lecture - 37
Semiconductors - Worked Examples

(Refer Slide Time: 00:22)

Worked Example 82

Problem
Calculate the distance between the nearest neighbours in Ge crystal given the lattice parameter $a = 5.62 \text{ \AA}$

Fig 82.1 unit cell of Ge

Today we will solve some problems on the topic of semiconductors, which we discussed the first problem concerns.

(Refer Slide Time: 00:32)

Nearest neighbour distance in Ge, lattice para
Diamond crystal structure.
 $(0, 0, 0)$ and $(\frac{1}{4}, \frac{1}{4}, \frac{1}{4})$
 $\sqrt{\frac{3}{16}} \times 5.62$
 $= 2.43 \text{ \AA}$

The calculation as a distance between near neighbours in their germanium crystal whose lattice parameter is given as 5.62 Å. And silicon both have a cubic unit cell in which the structure is that of diamond, which is shown in the figure with the atoms at the origin which is at the vertex of a cube at one vertex of the cube (0,0,0). And then at a distance of one fourth one fourth one fourth along the body diagonal atoms are at these points. This is the basic problem in the unit.


(Refer Slide Time: 02:12)

Solution

Ge crystallizes in Diamond structure. Each Ge atom is bonded to four Ge atoms in tetrahedral coordination. For the atom at one corner of the cubic unit cell say at (0,0,0) the nearest neighbour is at (1/4,1/4,1/4).

The distance between the atoms is $= \sqrt{\frac{3}{16}} a$

$$= \sqrt{\frac{3}{16}} \times 5.62$$

$$= 2.43 \text{ \AA}$$



So, this is the unit cell which is shown in figure and we have to simply use geometry and distance which is asked is just root of three by root three by 4, which is root of 3 by 16 times 5.62. That is the distance required between the atom at (0,0,0) and the atom at one fourth one fourth one fourth, and that works out to be two point four three Å having done.

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Worked Example 83

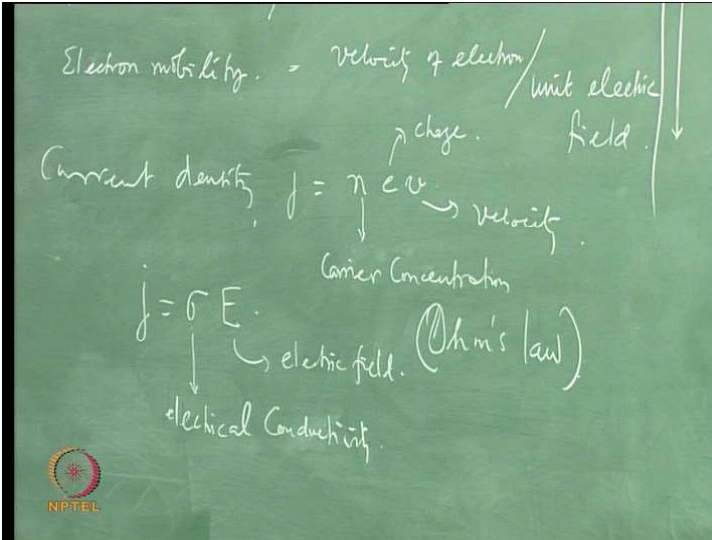
Problem

The resistivity of Si at 300 K is 3.16×10^3 ohm m. Calculate the intrinsic carrier density. Mobilities of electrons and holes in Si are $0.14 \text{ m}^2/\text{V}\cdot\text{sec}$ and $0.05 \text{ m}^2/\text{V}\cdot\text{sec}$ respectively.



The geometrical calculation the crystal structure calculation. We now pass on to the calculation of the intrinsic carrier density.

(Refer Slide Time: 03:06)



Electron mobility = velocity of electron / unit electric field.

Current density, $j = n e v$


Charge (n) → velocity (v)

Carrier Concentration

$j = \sigma E$

electric field (Ohm's law)

Electrical Conductivity



In silicon which is another well-known semiconductor we are given that the intrinsic resistivity at three hundred k is given as 3.16×10^3 ohm metre. So, we are also told that the electron mobility well I do not think we have discussed the concept of mobility. So, far let me introduce the definition of mobility is the velocity of electron per unit electric field, we all know that the current density is in general given as

j is $n e v$, where n is the carrier concentration and e is the electronic charge v is the velocity. So, and we also know that this current density is related to the electric field via the conductivity this is the electric field, and sigma is the conductivity electrical as we all know this is just ohm's law.

(Refer Slide Time: 05:42)

$\sigma E = nev$
 $\sigma = ne \left(\frac{v}{E} \right) \quad \therefore \sigma = ne\mu$
 mobility $\mu = v/E \rightarrow \left(\frac{m/s}{V/m} = m^2/Vs \right)$


So, because of these we can write $n e v$ is σe and therefore, σ is $n e v$ by e and it is this quantity which we have defined as the mobility. Therefore, σ is $n e \mu$ this is a very basic relationship, which gives the electrical conductivity in terms of the carrier concentration the charge. And the electron mobility and in this problem we are given that the electron mobility in silicon at three hundred k is 0.14 metre square per volt second the unit of mobility is velocity is metre per second, and the electric field is volt per metre therefore, this is metre square per volt second.

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Worked Example 83

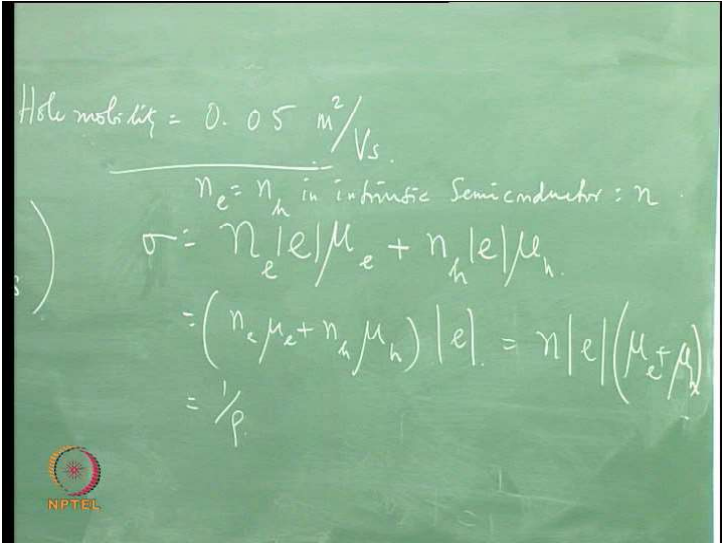
Problem

The resistivity of Si at 300 K is 3.16×10^3 ohm m. Calculate the intrinsic carrier density. Mobilities of electrons and holes in Si are $0.14 \text{ m}^2/\text{V}\cdot\text{sec}$ and $0.05 \text{ m}^2/\text{V}\cdot\text{sec}$ respectively.




So, that is given as 0.14 metre square per volt second, and the mobility of holes.

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Hole mobility = $0.05 \text{ m}^2/\text{Vs}$.

$n_e = n_h$ in intrinsic Semiconductor: n


$$\sigma = n_e |e| \mu_e + n_h |e| \mu_h$$
$$= (n_e \mu_e + n_h \mu_h) |e| = n |e| (\mu_e + \mu_h)$$
$$= 1/\rho$$


Is given as naught 5 point naught 5 and the current density is due to the presence of both electrons and holes in the silicon. So, there is a contribution from the motion of electrons among states in the conduction band, and also the motion of holes in states in the balance band both contribute to the current density. And since the hole is positively charged and moves in the direction opposite to that of the electron in the given electric field, therefore the current density is due to both electrons and holes add up.

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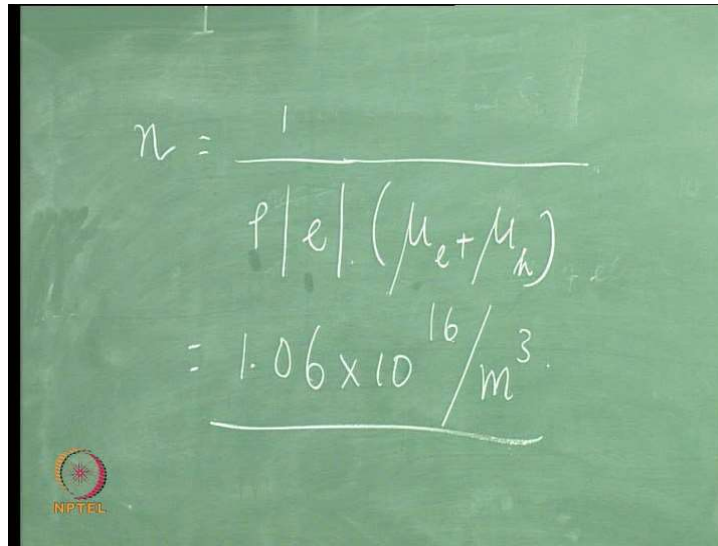
Solution

The conductivity is given by

$$\sigma = ne(\mu_e + \mu_h)$$
$$n = \frac{\sigma}{e(\mu_e + \mu_h)}$$
$$= \frac{1}{\rho e(\mu_e + \mu_h)}$$
$$= \frac{1}{3.16 \times 10^3 \times 1.6 \times 10^{-19} (0.14 + 0.05)}$$
$$= 1.06 \times 10^{19} / \text{m}^3$$


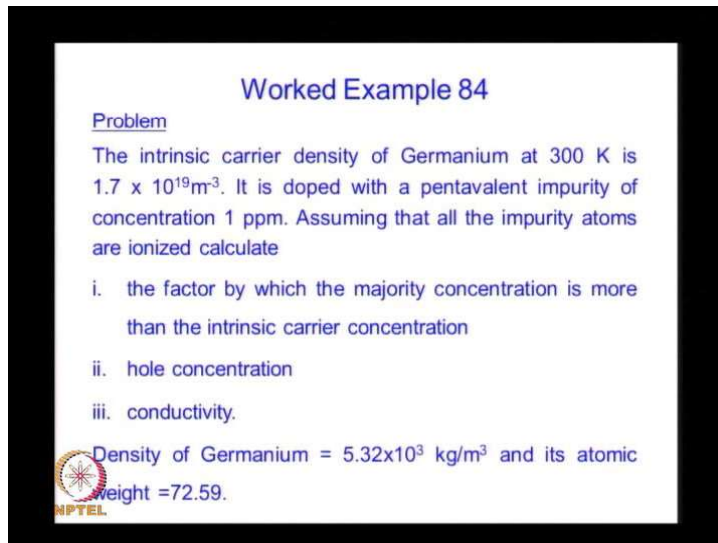
And therefore, we have a general relation using this using this fundamental relation. Now I can write $n e e \mu_e$ plus $n h e \mu_h$ where e is mod e the sign of the charge is included in the directions. Therefore, this is just $n e \mu_e$ plus $n h \mu_h$ times mod e and that is the general expression for j , and therefore σ . And we also know that the resistivity ρ is just one by σ since it is a cubic material, we do not have to worry about anisotropic here σ and ρ are the same scalar quantities with just one value for the entire in all the directions inside the crystal. So, we are given the value the resistivity therefore, going back to this is equal to one by ρ ρ is given, and since it is intrinsic material the number of electrons is equal to the number of holes. So, let us write it together as n . So, that this becomes $n e \mu_e$ plus μ_h .

(Refer Slide Time: 10:14)


$$n = \frac{1}{\rho |e| (\mu_e + \mu_h)}$$
$$= 1.06 \times 10^{16} / \text{m}^3$$

So, we have this and we are asked to determine n the intrinsic carrier concentration n , which is asked which is required is just given by one by rho times mod e noise times mu e plus mu h. So, plugging in the values the given values for the resistivity the electronic charge is known as standard value and the values of the mobilities are given substituting all these we get the value the carrier concentration is 1.06 into 10 to the power 16 per metre cube.

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Worked Example 84

Problem

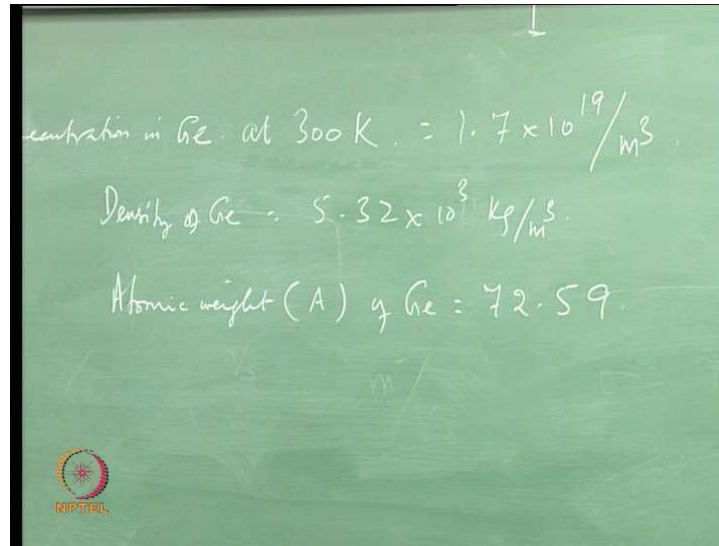
The intrinsic carrier density of Germanium at 300 K is $1.7 \times 10^{19} \text{m}^{-3}$. It is doped with a pentavalent impurity of concentration 1 ppm. Assuming that all the impurity atoms are ionized calculate

- i. the factor by which the majority concentration is more than the intrinsic carrier concentration
- ii. hole concentration
- iii. conductivity.

Density of Germanium = $5.32 \times 10^3 \text{ kg/m}^3$ and its atomic weight = 72.59.

Next, we pass on to a case of intrinsic germanium .

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We are given the intrinsic carrier concentration in germanium at 300 K, and that is given as 1.7×10^{19} per metre cube. We are also given the value of the density bulk density of germanium as 5.32×10^3 kilograms per metre cube, and the atomic weight of germanium is given as 72.59.

(Refer Slide Time: 12:26)

Solution

Number of Ge atoms per unit volume:

$$N = \frac{\rho N_{\text{Avogadro}}}{\text{Atomic weight}} = \frac{5.32 \times 10^3 \times 6.023 \times 10^{26}}{72.59}$$
$$= 4.4 \times 10^{28} / \text{m}^3$$

Number of pentavalent impurity atoms / m^3

$$N_i = N \times 10^{-6} = 4.4 \times 10^{28} \times 10^{-6} = 4.4 \times 10^{22} / \text{m}^3$$

NPTEL

(Refer Slide Time: 12:30)

$$\begin{aligned} &= 1.7 \times 10^{19} / \text{m}^3 \\ &\times 10^3 \text{ kg/m}^3 \\ \rho_{\text{Ge}} &= 72.59 \\ \text{or impurities} &= N_d = 4.4 \times 10^{22} / \text{m}^3 \\ \text{No of electrons (majority carriers)} &= 4.4 \times 10^{22} / \text{m}^3 \\ &= n_e \end{aligned}$$

NPTEL


So, the number of germanium atoms per unit volume can be calculated using atomic weight and the density. And their atomic weight as 72.59 into 10 to the power 28 we are asked to assume; that the this germanium is doped with pentavalent impurity atoms at a doping concentration is one part per million which is ten to the power minus 6.

So, this is the number of germanium atoms and the number of impurities. These are donor impurities, they donate electrons the number of donor impurities is standard notation is n_d and that is taking this number 4.4 into 10 to the power 28 and 10 to the power minus 6, and 10 to the power 22 per metre cube. And we assume that all of them are ionised all the impurities atoms are ionised. So, the number of electrons donated which are the majority carriers this the same number each impurity atom donates an electron. So, that is what we call usually n with a subscript e .

(Refer Slide Time: 15:09)

Since all the impurity atoms are ionized,
Number of majority carriers (electrons)/m³
 $n_e = N_d = 4.4 \times 10^{22}/\text{m}^3$

i. Factor by which the majority carrier concentration is more than the intrinsic carrier concentration;

$$f = \frac{N_d}{n_i} = \frac{4.4 \times 10^{22}}{1.7 \times 10^{19}} = 2588$$



So, we were asked to calculate several quantities; for example the factor by which by what factor noise.

(Refer Slide Time: 15:14)

By what factor the majority carrier concentration exceeds the intrinsic carrier concentration?

2588

No. of Ge
dope



The majority carriers exceed carrier concentration exceeds the intrinsic carrier concentration. So, all we have to do is we are given the intrinsic carrier concentration, and we have determined the number of the majority carrier concentration namely that of electrons. So, we divide one by the other and get the factor as the answer is two thousand five hundred and eighty eight noise there are 2588 majority carriers for each intrinsic


carrier. And since we know the number of this is what we know as n_i intrinsic concentration.

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ii. Hole concentration in the n -type Ge.

$$n_h = \frac{n_i^2}{n_e} = \frac{(1.7 \times 10^{19})^2}{4.4 \times 10^{22}} = 6.6 \times 10^{15} / \text{m}^3$$


iii. Conductivity

$$\sigma = n_e |e| \mu_e + n_h |e| \mu_h = 1.6 \times 10^{-19} (4.4 \times 10^{22} \times 0.36 + 6.6 \times 10^{15} \times 0.18)$$
$$= 2534 \text{ ohm}^{-1} \text{ m}^{-1}$$


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2588

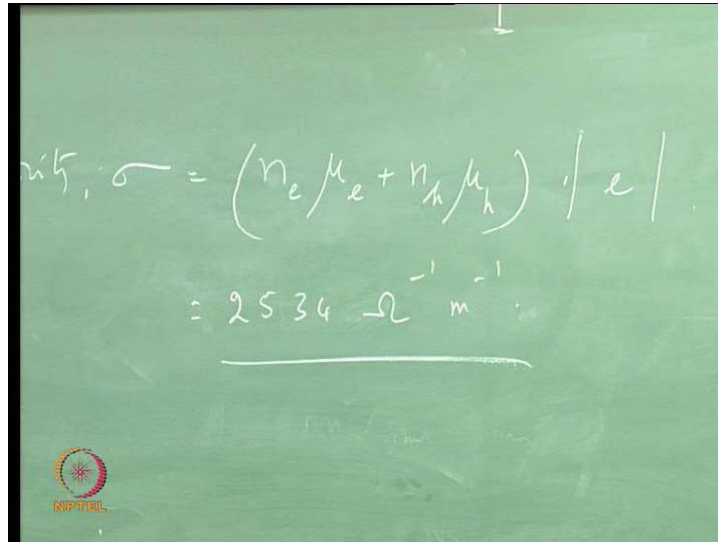
Hole (minority carrier) concentration

$$n_h n_e = n_i^2$$
$$n_h = \frac{n_i^2}{n_e} = 6.6 \times 10^{15} / \text{m}^3$$


And therefore, the hole concentration hole, which is the minority carrier concentration is given by n_h equals is such that n_h times n_e is n_i square. So, we know n_h is n_i square by n_e . So, substituting n_i and n_e we get the value of n_h as 6.6 into 10 to the power fifteen per metre cube, because we know the majority and minority carrier

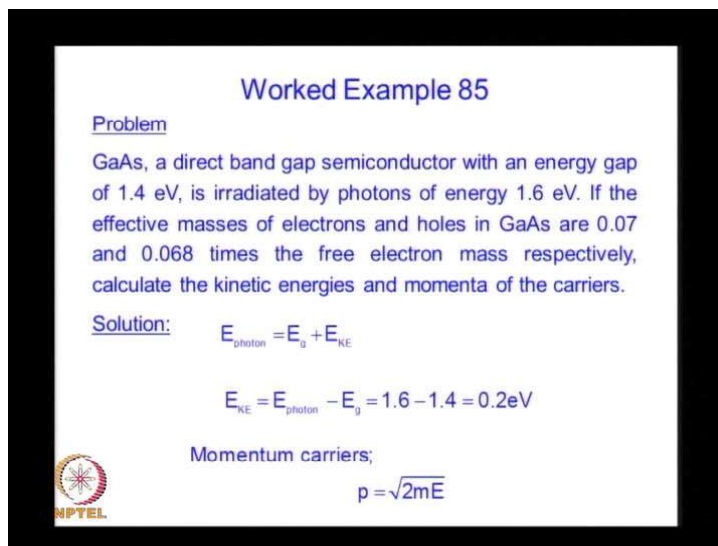
concentrations. And we have been given the mobilities of the electrons, and holes we are now in a position to calculate the conductivity contributed by the electrons and holes.

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$$\begin{aligned}\text{with, } \sigma &= (n_e \mu_e + n_h \mu_h) \cdot |e| \\ &= 2534 \Omega^{-1} \text{ m}^{-1}\end{aligned}$$

And hence the total conductivity σ , which as we have seen $n_e \mu_e + n_h \mu_h$ times $|e|$. So, n_e is known n_h is known and therefore, we can calculate the conductivity and this turns out to be that is the value for the conductivity with the given mobility values.

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Worked Example 85

Problem
GaAs, a direct band gap semiconductor with an energy gap of 1.4 eV, is irradiated by photons of energy 1.6 eV. If the effective masses of electrons and holes in GaAs are 0.07 and 0.068 times the free electron mass respectively, calculate the kinetic energies and momenta of the carriers.

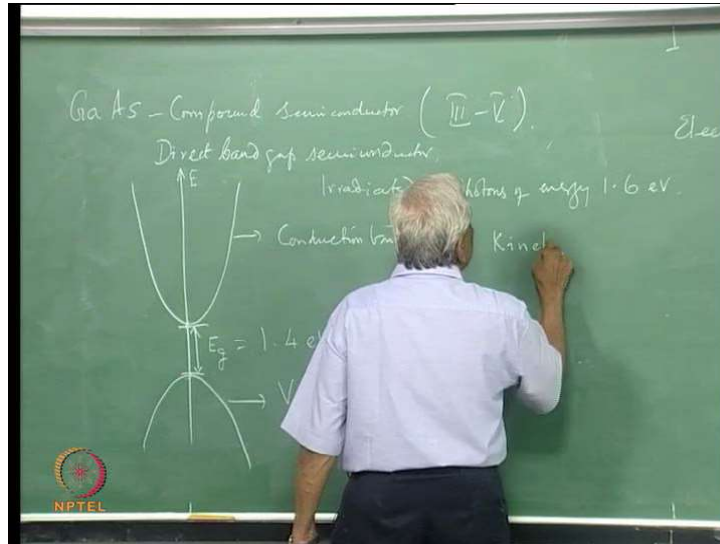
Solution: $E_{\text{photon}} = E_g + E_{\text{KE}}$

$$E_{\text{KE}} = E_{\text{photon}} - E_g = 1.6 - 1.4 = 0.2 \text{ eV}$$

Momentum carriers;
 $p = \sqrt{2mE}$

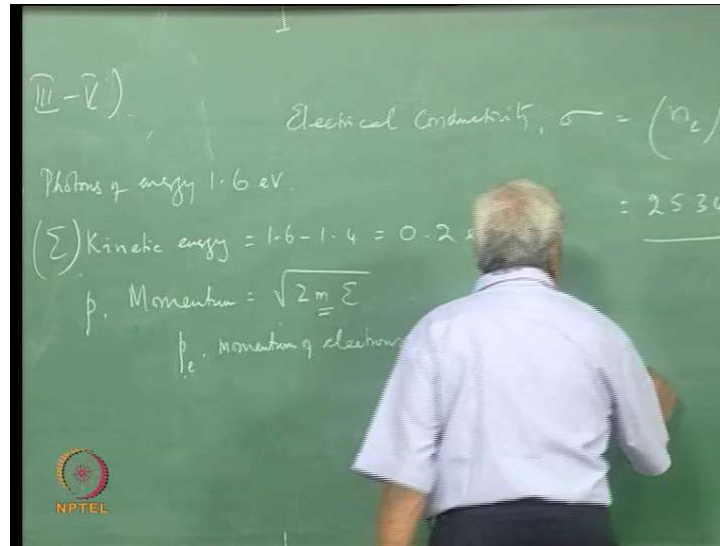
Next, we pass on to the case of gallium arsenide as we have already seen gallium arsenide is a compound semiconductor.

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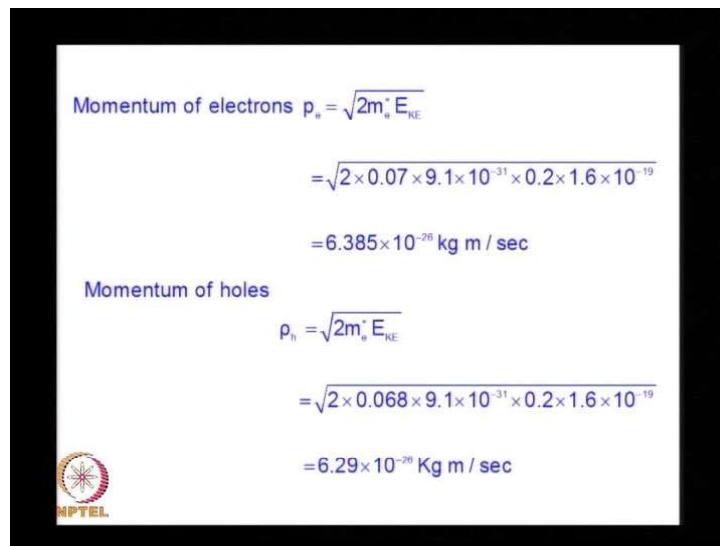
The gallium and arsenic gallium for the group three and arsenic from group five in the periodic table. So, it is a three to five compound. And we already saw in the lecture that it is a direct band gap semiconductor which means that the bottom of the conduction band and the top of the valence band lie exactly one above the other. So, these two are just above each other, and this difference in energy is the energy gap whose value is given in the case of gallium arsenide as 1.4 electron volts we are also told that this sample is evaluated with photons of energy 1.6 electron volts.

(Refer Slide Time: 20:46)



So, the difference in energy one point six and one point four gives you the kinetic energy of carriers that is the kinetic energy, and the carriers and since this is say e and therefore, the momentum is just root two m e p, therefore the momentum.

(Refer Slide Time: 21:22)



Of course in this case the mass is involved. So, the momentum will be different for the electrons and holes because the effective masses are different. So, the momentum of electron is root 2 m e star e. So, substituting the effective mass of electron which is given as point naught seven times that of the electron free electron therefore, substituting that

they get at the momentum as 6.835 into 10 to the power of minus 26 momentum kilogram meters per second proceeding the same way.

(Refer Slide Time: 22:27)

Momentum of holes, $p_h = \sqrt{2 m_h^* E}$
(effective mass of holes)
 $= 6.29 \times 10^{-26} \text{ kg-m/s.}$

We get the momentum of holes $2 m_h^* e$ where this is effective mass of the... So, that is again given to be 0.68 times that of the free electron. So, substituting this value, we get the momentum as 6.29 into 10 to the power minus twenty six kilogram metre per second

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Worked Example 86

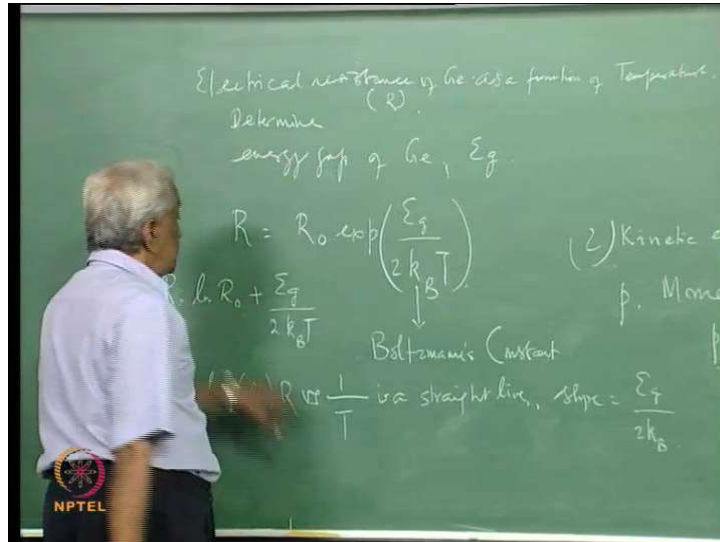
Problem
The resistance of Ge is given at four temperatures below.

T in K	312	354	385	420
Resistance in ohms	11.8	2.33	0.9	0.35

Determine the energy gap in eV.

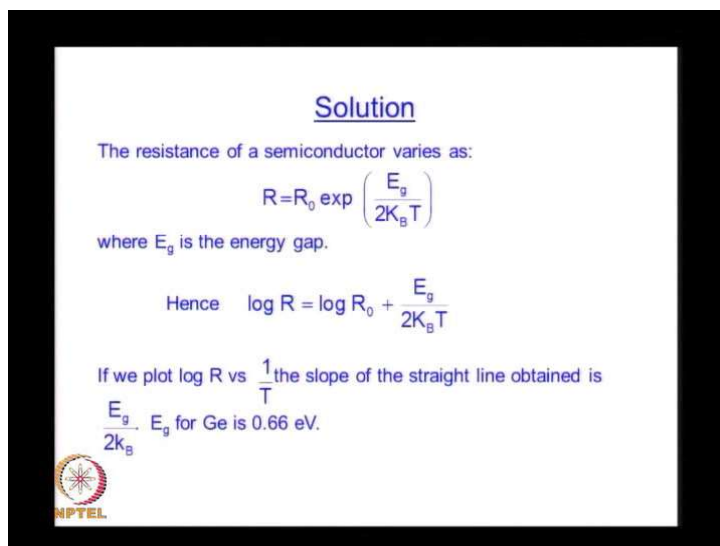
In the next problem, we are given data in the tabular form for the resistance of germanium.

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The electrical resistance of germanium is given as a function of temperature. So, we are given the values in ohm's of the resistance at 300, and 12 k, 354 k, 385 k, and 420 k.

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And we are asked to determine the energy gap as a function of temperature resistance r. So, determine energy gap of germanium that is the question and for this. We know that the resistance as the temperature dependence, which has the form where e g is energy


gap and k_B is the Boltzmann's constant therefore, we take logarithms. So, a plot of $\log \sigma$ versus $1/T$ is a straight line whose slope is $-E_g/2k_B$. So, plotting this graph of $\log \sigma$ versus $1/T$ from the given values we get a straight line and the slope gives the energy gap in terms of twice the Boltzmann constant. And so we can determine the energy gap the energy gap turns out to be point six electron volts from the given data.

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Worked Example 87

Problem

At room temperature, the conductivity of Si is $3.16 \times 10^{-4} \text{ ohm}^{-1}\text{m}^{-1}$ and conductivity Ge is $2.12 \text{ ohm}^{-1}\text{m}^{-1}$. To what temperature Si has to be heated to have the same conductivity as that of Ge at room temperature?




(Refer Slide Time: 26:19)

$\sigma_{Si} = 3.16 \times 10^{-4} \text{ } \Omega^{-1} \text{ m}^{-1}$ at room temperature (300 K)

$\sigma_{Ge} = 2.12 \text{ } \Omega^{-1} \text{ m}^{-1}$

$\sigma_{Si} = \sigma_0 \exp\left(\frac{-E_g}{2k_B T}\right)$ for Si and Ge.

$\sigma_{Ge} = \sigma_0 \exp\left(\frac{-E_g}{2k_B T}\right) \rightarrow T = \underline{506 \text{ K}}$



Next, question concerns the comparison of the conductivity of silicon conductivity means always electrical conductivity, in this case silicon is 3.16×10^{-4} to the power

minus four at room temperature, and that of germanium is also given at room temperature as $2.12 \text{ ohm}^{-1} \text{ metre}^{-1}$ minus one four orders higher.

So, we are asked to what temperature; obviously, if silicon is heated the conductivity increases if it has to have the same conductivity as germanium to what temperature should silicon be heated. So, that is the question. So, we have sigma three hundred we take this has three hundred k

(Refer Slide Time: 27:36)

Solution


$$\sigma_{300} = \sigma_0^{\text{Si}} \exp\left(\frac{-1.1 \times 1.6 \times 10^{-19}}{1.38 \times 10^{-23} \times 300}\right) \text{ for Si}$$

Similarly

$$\sigma_{300} = \sigma_0^{\text{Ge}} \exp\left(\frac{-0.66 \times 1.6 \times 10^{-19}}{1.38 \times 10^{-23} \times 300}\right) \text{ for Ge}$$

From this we get

T = 506 K from

$$\sigma_T = \sigma_0^{\text{Si}} \exp\left(\frac{-1.1 \times 1.6 \times 10^{-19}}{1.38 \times 10^{-23} \times T}\right)$$


Is for sigma naught exponential minus e g by two kb t for both for silicon as well as Germanium. So, we can write it separately for the two of course, the energy gap values are different. So, the energy gap in this case is one point one electron volt and in this case it is 0.66 as we just know saw. So, we get using this eliminating sigma zero we get t s pi 06 kelvin. So, we have to heat silicon to 230 degrees celsius for it to get the same conductivity as germanium at room temperature.

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Worked Example 88

Problem

The intrinsic carrier density of Ge at 27 °C is $1.7 \times 10^{19} \text{ m}^{-3}$.
Calculate the resistivity of Ge at 27° C.

Mobility of electrons in Ge at 300 K $\mu_e = 0.139 \text{ m}^2/\text{Vs}$
and for holes, $\mu_h = 0.19 \text{ m}^2/\text{V s}$.

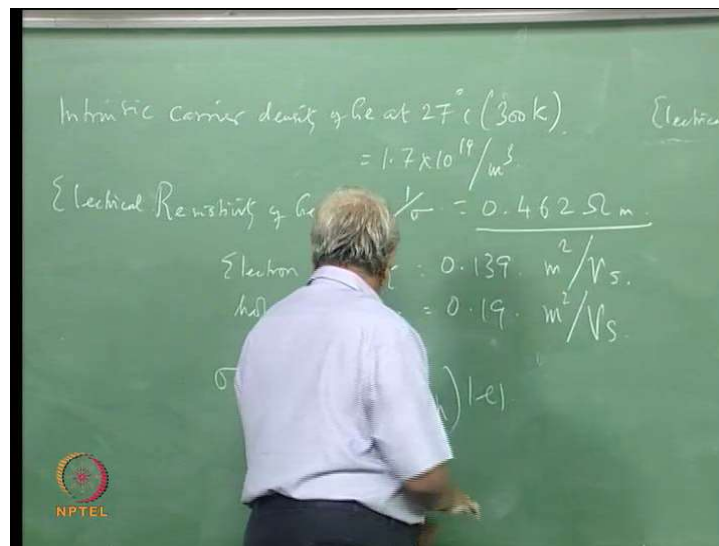
Solution

Intrinsic carrier density at 300 K in Ge = $1.7 \times 10^{19}/\text{m}^3$.

The intrinsic conductivity $\sigma = n |e| (\mu_e + \mu_h)$

The resistivity of Ge at 300K = $1/\sigma = 0.462 \text{ ohm m}$.

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Next, we are given the intrinsic carrier density of germanium as 1.7 at 27celsius, which is the same as 300 k as 1.7 into ten to the power 19 per metre cube. So, we are asked to calculate the resistivity of course, electrical resistivity we have given electron and hole mobilities for germanium they are given at this temperature as point one three nine ten point one nine metre square per volt second. Therefore, with this we can write the usual conductivity formula where of course n e and n h are the same, therefore we need even write this like this where n is the common concentration.

So, the resistivity is one by sigma. So, this is one by sigma, and therefore we can calculate from these substituting finding sigma from here and then taking the reciprocal we get the value as naught 0.452 ohm metre, which is the answer we have done given a problem on silicon

(Refer Slide Time: 31:27)

Worked Example 89

Problem


Silicon has an electrical resistivity of 3.2×10^3 ohm.m at 300 K. Calculate the intrinsic carrier density using the electron and hole mobilities .

For Si $\mu_e = 0.15 \text{ m}^2/\text{Vs}$ and $\mu_h = 0.05 \text{ m}^2/\text{Vs}$ at 300K.

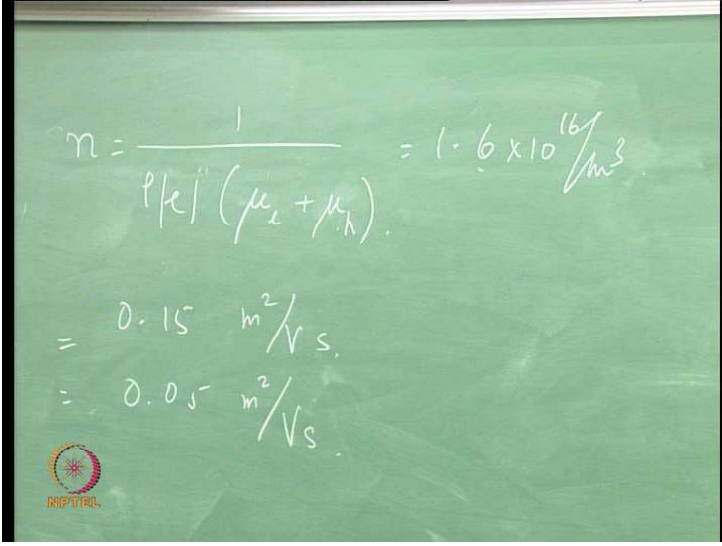

Solution

Intrinsic electrical resistivity is given to be 3.2×10^3 ohm mat 300K.

So the intrinsic carrier density = $1.6 \times 10^{16}/\text{m}^3$.



(Refer Slide Time: 31:34)


$$n = \frac{1}{\rho |e|^2 (\mu_e + \mu_h)} = 1.6 \times 10^{16} / \text{m}^3$$
$$= 0.15 \text{ m}^2/\text{Vs}$$
$$= 0.05 \text{ m}^2/\text{Vs}$$


Here it is the inverse problem the resistivity is given no it was three resistivity at three hundred k. So, we are asked to calculate intrinsic carrier density with the data on electron and hole mobility in silicon. So, this is given as point one five and point zero five metre


square per volt second. So, this is μ_e this is μ_h noise. So, we have to calculate the intrinsic current density which is straightaway given by and... So, substituting all this values we get one point six into ten to the power 16.

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Worked Example 90

Problem
Find the Hall effect in a Semiconductor with two types of Charge Carriers-Holes and Electrons.

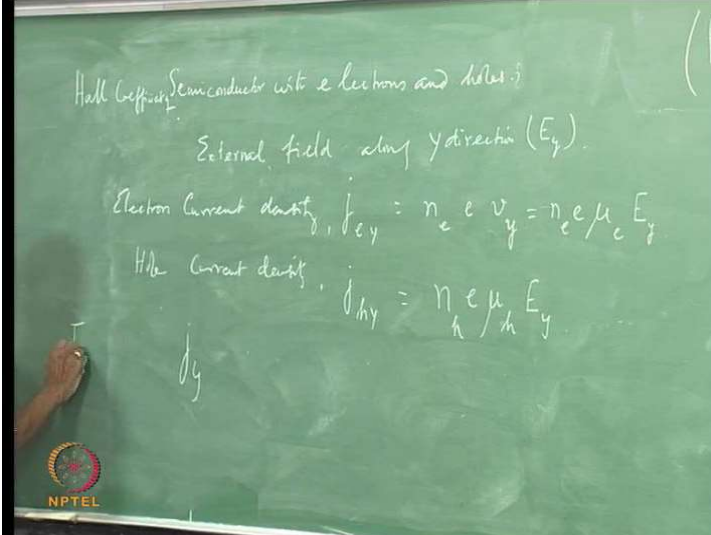
Solution
The external field applied in the Y-direction causes electron and hole current. The conventional current direction is the same in both cases.
The electron current density



$$J_{ye} = n_e e v_y = n_e e \mu_e E_y \quad (90.1)$$

The last question concerns the hall effect in a semiconductor with two types of carriers the electrons and holes.

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Hall Coefficient Semiconductor with electrons and holes.

External field along y direction (E_y).

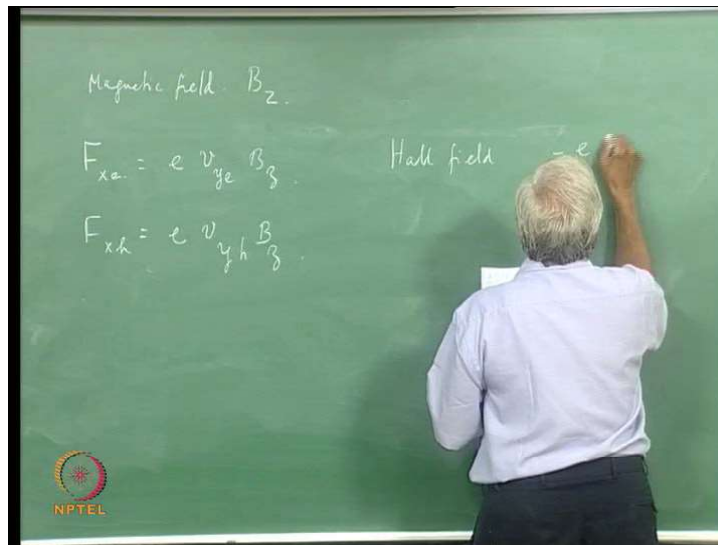
Electron current density, $j_{ey} = n_e e v_y = n_e e \mu_e E_y$

Hole current density, $j_{hy} = n_h e \mu_h E_y$

So, we discussed in the lecture the hall effect for a semiconductor with one type of carriers now hall coefficient. So, that is what we are asked to determine. So, suppose we

have an external field, hall effect is an effect which is produced then there is an external field applied at right angles to the direction of motion of the carrier in a current carrying semiconductor. So, external field is taken along z . So, let us call it B_z . So, the electron current density will be $j_y = -n_e v_{ye}$, which will be carrier concentration electron concentration times the electron charge times the speed of the electron along the y direction. And this in terms as the mobility, it is μ_e mobility as electron times the field E_y similarly for the hole current density j_y that would be by the same token it will be $n_h e \mu_h E_y$. And therefore, the total current as we already discussed the holes will move in the direction opposite to that of the electrons they will move along the electric field direction. And these electrons will move opposite to the electric field direction, but since they are of opposite charges the current densities will be added. So, this will be $n_e e \mu_e + n_h e \mu_h$ times E_y now because of this current.

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And because of the magnetic field is taken it should be at right angles the electric field y direction as well as the initial current density. So, we take it along the z direction. So, the force the Lorentz force on the electron which will be in the direction perpendicular to y and z namely x direction. So, we write it as F_x will be $-e v_{ye} B_z$ the force acting on the hole is $e v_{yh} B_z$.

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Hall field for electrons: $-eE_x = e v_{ye} B_z$
 for holes: $eE_x = e v_{yh} B_z$
 $E_x = -v_{ye} B_z = -\mu_e E_y B_z \rightarrow (\text{electrons})$
 $E_x = v_{yh} B_z = \mu_h E_y B_z \rightarrow (\text{holes})$

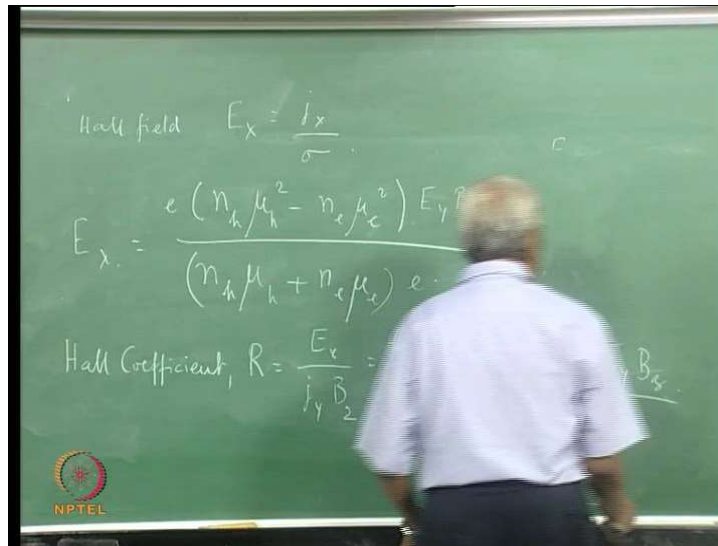
So, the hall field because of the lateral motion of the electrons and holes there will be a hall fields which in the case of the electrons it is directed along the x direction. Therefore this is $e v_y e b_z$, and for electrons due to motion of electrons in the x direction and for holes similarly it will be $e v_y h b_z$ noise. Therefore, $e x$ in these two cases will be minus $v_y e b_z$, which in terms of the mobility it is μ_e times $e y b_z$ and for this is for the electrons. And similarly $e x$ will be $e v_y h b_z$ which in terms of the mobility is $\mu_h e y b_z$ this is for the holes. So, since there is a hall field in the lateral direction given by the sum of these two there will be a current density the motion of carriers.

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$j = n e \mu E$
 Hall Current density due to electron motion:
 $= n_e e \mu_e (-\mu_e E_y B_z)$
 Hall Current density for hole motion:
 $= n_h e \mu_h (\mu_h E_y B_z)$
 Net Hall Current density:
 $= e (n_h \mu_h^2 - n_e \mu_e^2) E_y B_z$

And there will be a current density contributed from both which is given by the standard relation $n e \mu_e$. Therefore, the hall current densities due to electrons electron motion and that will be $n e \mu_e$ using this times that electric field. So, that will be minus μ_e $e y b z$ and for the holes. Similarly for hole motion this will be $n_h \mu_h$ into $\mu_h e y b z$ noise. So, the total current a net hall current will be e times n_h taking these two $n_h \mu_h$ square minus $n e \mu_e$ square times $e y b z$. So, this will be the hall current density.

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So, this hall current density will be result in a hall field due to this current density and that is $e x$ is $j x$ by σ by ohm's law again therefore, this will be e times borrowing this expression $n_h \mu_h$ square minus $n_e \mu_e$ square into $e y b z$ by the conductivity which is $n_h \mu_h$ plus $n_e \mu_e$ times e noise. So, and the hall coefficient r is just $e x$ by $j y b z$ and that will be borrowing, this expression $n_h \mu_h$ square minus $n_e \mu_e$ square times $e y b z$ by $n_h \mu_h$ plus $n_e \mu_e$ here times $j y b z$, and this is nothing but $\sigma e y b z$. So, this will give me simplifying substituting for σ again that will be the hall coefficient in a semiconductor containing two carriers. And it is a simple matter to go from here, and show that this will lead to the standard expression r equal to one by $n e$ in the case of a semiconductor with just one type of carriers.

Condensed Matter Physics
Prof. G. Rangarajan
Department of Physics
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Lecture - 19
Dia- and Paramagnetism

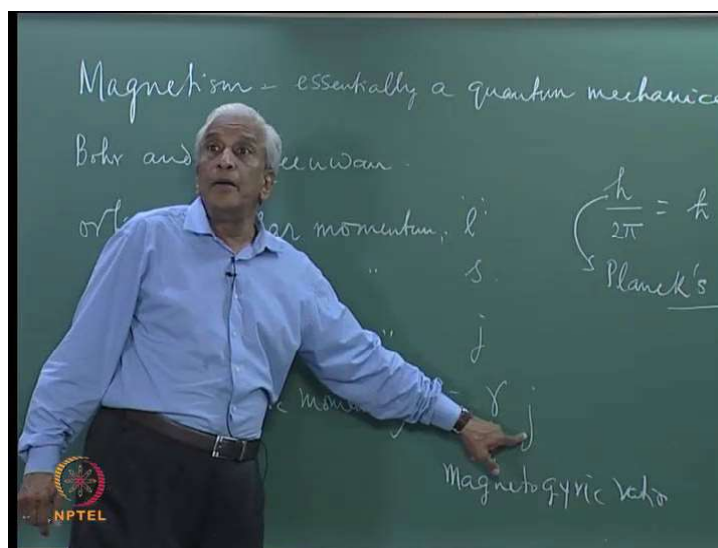
Today we will start an important section of Condensed Matter meant physics namely magnetism. We have just finished discussing the mechanism of dielectric polarization in dielectric materials, how an applied electric field polarizes a dielectric medium and produces a polarization with various effects such as dispersion, phase transition into the ferroelectric field and things like that. We will, now go on a very similar process which takes place in magnetic materials. In the case of magnetism instead of a dielectric or electric polarization, we will have a magnetization, which is caused by an applied magnetic field. So, the analogy is very clear just as the dielectric polarization is established by an applied electric field a magnetization is produced in a magnetic material by an applied magnetic field. The process by which this magnetization is established goes on lines, which is very similar to that of electric polarization. Of course, there are very significant and important fundamental differences also.

So, in the course of this lecture, and in few subsequent lectures, we will be discussing some these processes and mechanisms. We start with magnetism is a well-known phenomenon of nature which has attracted the attention of people from time immemorial, immemorial. We know that people have talked about load stones in Sanskrit, people have talk quietly they have talked about [FL], so they have talked about how a magnate attracts iron. Similarly, people talked about lodestone, mariners people who go voyages in the sea have uses the magnetic compass to know the direction in the sea. So, these are all known for a very, very long time since ancient times.

So, magnetism is a phenomenon which is very well known even Aryabhata has talked about magnetism. So, the phenomenon of magnetism occupies a central position in condensed matter physics. There are reasons for this, not because magnetism in particular is a special topic. It is similar to many other topics, but the entities which produce magnetization which magnetize a material namely we are known as spins, magnetic spins, spin angular momentum, it is something which is new to classical physics. It is a totally a new degree of freedom in the case of electronic atomic and

molecular system. But the spins are probably the cleanness to physical entity treat theoretically as well as experimentally. The spins give rise to magnetic effects and can be studied by a variety of techniques and can be described theoretically we have very considerably extends with great success. Now all magnetism mainly arises from the electrons of the atoms and molecules; of course, the nuclei also produce magnetic effects, we will discuss this later. But for recent which will become clear later on magnetism as a phenomenon is mainly due to the electrons in atoms and molecules.

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There is no classical way of describing magnetism. In fact, there is a theorem by there is theorem due to Bohr and von Leeuwen if states that if you applied classical statistical mechanical considerations to an assembly of electrons which produces magnetism you will find that the average magnetization vanishes. So, you cannot account for any magnetism using classical theories. Magnetism is essentially a quantum mechanical phenomenon. So, this is the first point to be understood that in order to understand magnetism, we have to learn quantum mechanics or at least be familiar with the fundamental principles of quantum physics, because we are talking about the orbital motion of electrons as well as spin of electrons.

Spin is a completely quantum mechanical effect, relativistic quantum mechanical effect. Even the orbital motion in a finite sample the macroscopic magnetic moment produced by the orbital angular moment of electrons vanishes identically by Bohr and von leeuan

theorem. Therefore, in order to know how this magnetism arises and in order to quantitatively describe the behavior of this magnetic moment one has to apply quantum mechanics and quantum statistical physical concepts. So, this is the first point to be realized next we will ask how the magnetic moment is produced for an electron. In the case of an electron, we all know that the electron orbits around the nucleus of an atom and similarly in the case of a molecule there are molecular orbitals in which the electron is opposite to the motion.

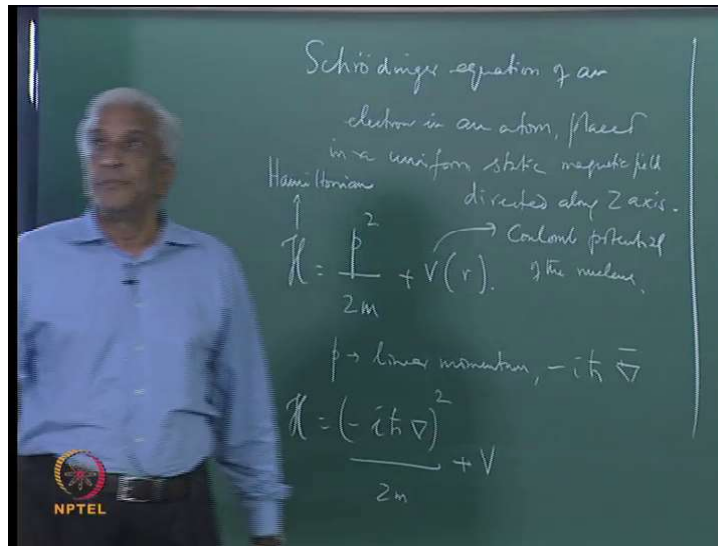
So, the cause of this motion is the orbit. Whenever the charge is moving a current is produced in that loop so this orbit is like a current-carrying loop and the current-carrying loop always produces a magnetic moment as Oersted first discovered. So, we know that the orbital motion it is very easy to see that the orbital motion of an electron will produce a magnetic field because the orbit of an electron around the nucleus serves as a closed current loop in which the current does not vanish.

So, this is theoretically described by saying that the orbiting electron has an orbital angular momentum which is usually denoted by the letter l in books on quantum mechanics or j this is orbital, but usually there is also a spin angular momentum which we will discuss later and that is represented by the letter s and. So, there is a total angular momentum denoted by the letter j . So, all these angular momenta are quantized according to the rules of quantum mechanics in other words the orbital angular momentum can only be in units of integral multiples of a fundamental unit which is the fundamental unit of angular momentum is \hbar or $\frac{h}{2\pi}$ where h is the Planck's constant.

So, the angular momentum is measured as $j \hbar$ when we say that the angular momentum is j what we mean is the angular momentum is $j \hbar$ or $j \frac{h}{2\pi}$ if this is. So, then quantum mechanics tell us that there is a corresponding magnetic moment due to this angular momentum which is represented usually by the letter μ and that is proportional to this angular momentum orbital angular momentum. So, $\mu = \gamma j$ where γ is known as the gyromagnetic ratio. In other words, it is the ratio of the magnetic moment to the gyro or angular momentum in words or books this should have been written as gyromagnetic ratio γ is the gyromagnetic ratio. So, this only means that the magnetic moment vector both μ and j are vector quantities.

So, the magnetic moment is parallel to the orbital angular momentum or the total angular momentum. So, this is what this expression says you would like to understand how this magnetic moment arises as I said this has been to be done in the framework of quantum mechanics.

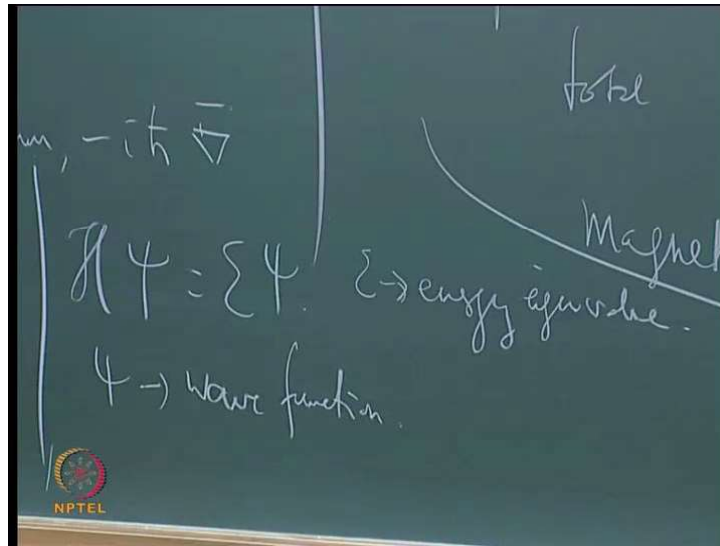
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So, let us do some basic quantum mechanics by writing the Schrodinger equation of an electron in an atom placed the magnetic field in a uniform magnetic field uniform static magnetic field whose direction is taken as the z direction. So, how do we write the equation the Schrodinger equation for such an electron we know that this electron is in the potential of the nucleus. So, we have the standard way in the absence of an applied magnetic field the electron Hamiltonian this is the Hamiltonian which is the starting point of writing the Schrodinger equation is just equal to p square by two m plus b f I where p is the linear momentum.

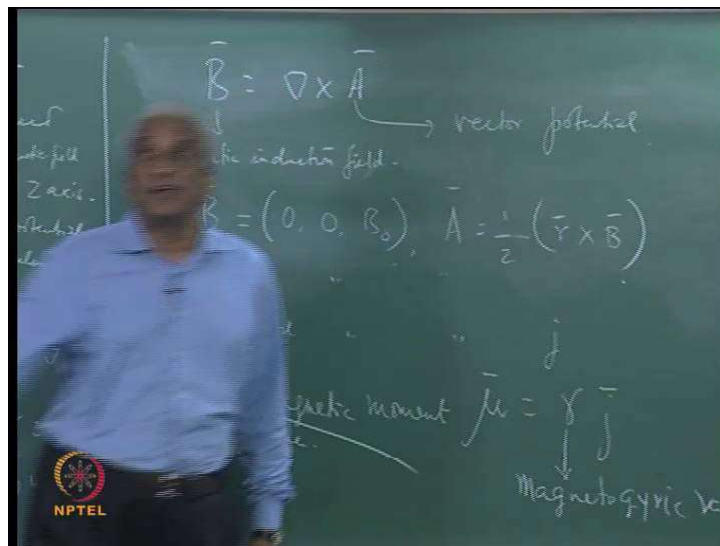
And in quantum mechanics p is an operator which is represented by minus I h cross tell m is the mass of the electron b f r is the coulomb potential of the nucleus it is written as b f for because we know that the nucleus exerts a centre central coulomb potential. And therefore, it is function only the distance between the electron and the nucleus.

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So, this gives me the Hamiltonian has minus \hbar cross del square by two m plus b and the Schrodinger equation $H\psi = E\psi$ where ψ is the wave function and E is the energy eigen value this Hamiltonian gets modified when an magnetic field is applied again quantum theory tells us that.

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In fact, these are electro dynamic results that when there is an applied magnetic field this magnetic field has an induction which is given by a vector potential a . So, this is the magnetic induction field and a is the vector potential and b and a are related in this

form, so if \vec{b} is constant and uniform directed along z that direction we can write between this form and if this is. So, we can choose the the form of the vector potential which will give you a \vec{b} like this can be chosen as $\frac{1}{2} \vec{r} \times \vec{b}$ this is the standard result from electro dynamics which can be written in the form.


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For the case of a uniform static field of strength B acting along the z axis, we may take:

$$\vec{A} = \frac{1}{2}(\vec{r} \times \vec{B}) = \left(-\frac{By}{2}, \frac{Bx}{2}, 0\right) \quad (19.1)$$

in Cartesian coordinates.

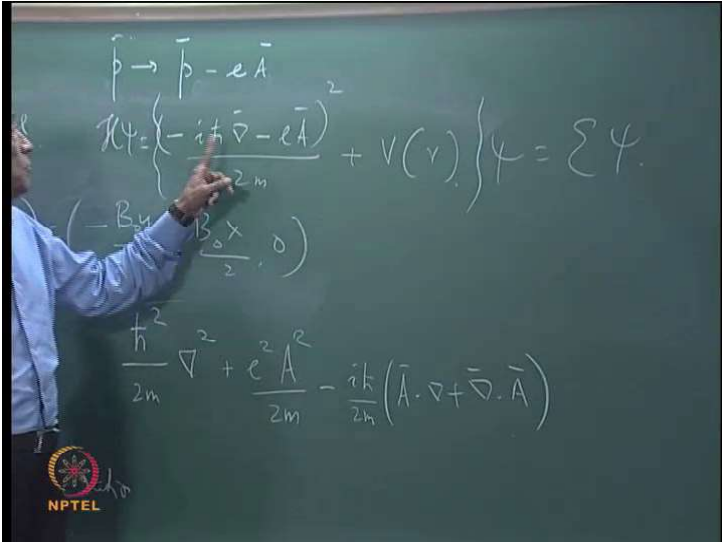
Substituting this in the Schroedinger equation and using the relation $\nabla \cdot \vec{A} = 0$ appropriate to the Coulomb gauge, we readily obtain:

$$-\frac{\hbar^2}{8\pi^2 m} \nabla^2 \psi - \frac{eB}{2m} \left[-\frac{i\hbar}{2\pi} \left(x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) \right] \psi + \frac{1}{4} \left(\frac{e^2 B^2}{2m} \right) (x^2 + y^2) \psi = H\psi \quad (19.2)$$


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So, these are the components of the vector potential in Cartesian coordinates, now when such a magnetic field is applied the Hamiltonian gets modified in the following manner.

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The chalkboard contains the following equations:

$$\vec{p} \rightarrow \vec{p} - e\vec{A}$$

$$\mathcal{H}\psi = \left\{ \frac{(-i\hbar\vec{\nabla} - e\vec{A})^2}{2m} + V(r) \right\} \psi = E\psi$$

$$\left(-\frac{By}{2}, \frac{Bx}{2}, 0\right)$$

$$\frac{\hbar^2}{2m} \nabla^2 + \frac{e^2 A^2}{2m} - \frac{i\hbar}{2m} (\vec{A} \cdot \nabla + \nabla \cdot \vec{A})$$


If p is the operator p is replaced by the operator p minus $e A$. So, that is the result of classical physics. So, p goes to p minus $e A$ and therefore, the Hamiltonian in a magnetic field is written as minus $i \hbar$ cross del minus $e A$ by whole square by two m plus v of r . So, this is the Hamiltonian and therefore, $\hat{H} \psi$ equals and this is the equal to $E \psi$ that is the Schrodinger equation. And we can now replace A by these component, and rewrite this. When we do this do this we get \hbar cross square by two m del square, that is the first term from squaring this. And then we have another term plus e square A square by two m and then we have cross term minus $i \hbar$ cross by two m into a dot del plus del dot A . We cannot just write two del dot A , we have keep the sequences separate.

So, we have two such term one from this del dot A , another from A dot del. Then we have v of r . So, $i z$ equals ψ where we can now substitute for A square and A . So, that is the Schrodinger equation which as to be solve in order to find the eigen values of energy and that is how we can find the magnetic moment. So, if we do this arrive at this result we arrive at this result we have the minus \hbar cross to a del square by two m which is the kinetic energy term that is the kinetic energy term of the electron. Then we have minus $i \hbar$ cross by two m and then we have a dot del plus del dot a in order to a go further we choose the gauge we has the freedom to choose the gauge in which we work and we choose the coulomb gauge in which del dot a equal zero. If we apply this condition, we can show the a dot del and del dot a become the same.

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We can readily recognize the expression within square brackets in the second term on the left hand side of the above equation as the z component of the orbital angular momentum, l_z .

Writing the magnetic moment as : $\mu = -\partial H / \partial B$

we get: $\mu_{\text{paramagnetic}} = e l_z / 2m$ and

$\mu_{\text{diamagnetic}} = \{ - e^2 \langle r^2 \rangle / 6m \} B$

Here we have taken the quantum mechanical average of (x^2+y^2) as $(2/3)\langle r^2 \rangle$ for an atom whose electron cloud has a spherically symmetric distribution



So, that this becomes then we have well this becomes plus and then this because has a minus sign, where we can now replace a square a square is nothing, but we have there b naught square by four into x square plus y square is a square. And similarly for A, we have those results there. So, A dot del will simply become minus b naught by two into minus y d by d x plus x d by d y and remembering that minus I h cross del is the moment operator this can be written as where p x p y are the components of p x and y components. So, this is nothing, but the z component of the orbital angular momentum l z if l is r cross p which is the definition of arbitral angular momentum l z will be plus x p y minus y p x therefore, the Schrodinger equation can now b rewritten a very simple form.

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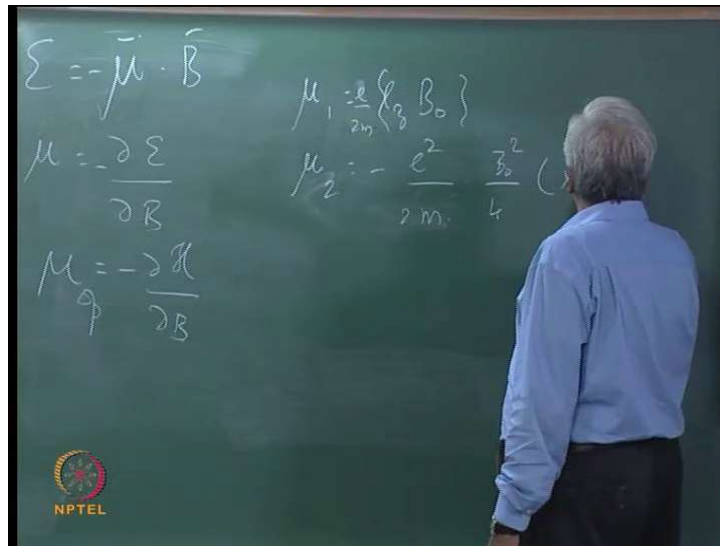
The image shows a chalkboard with the following handwritten content:

- Top left: $\vec{l} = \vec{r} \times \vec{p}$
- Below it: $l_z = (x p_y - y p_x) \psi$
- Below that: $\hat{H} \psi = E \psi$
- Center:
$$-\frac{\hbar^2}{2m} \nabla^2 \psi - \frac{e \hbar l_z}{2m} \psi + \frac{1}{4} \frac{e^2 B_0^2}{2m} (x^2 + y^2) \psi$$
 - An arrow points from $-\frac{\hbar^2}{2m} \nabla^2 \psi$ to "kinetic energy".
 - An arrow points from $-\frac{e \hbar l_z}{2m} \psi$ to "extra terms" and "magnetic energy".
 - An arrow points from $\frac{1}{4} \frac{e^2 B_0^2}{2m} (x^2 + y^2) \psi$ to "potential energy".
- Right side: $\hat{H} \psi = E \psi$
- Bottom right:
$$\left\{ \frac{\hbar^2}{2m} \right\}$$
- Bottom left: NPTEL logo.

I have forgotten e here. So, e h cross by m into l z psi plus one four e square b naught square by m two m into x square plus y square psi plus b psi equals psi. Now in we cannot need not a and solve this Schrodinger equation to understand what this mean we can just look at it by inspection we can interpret the various terms in this energy Hamiltonian is nothing, but the energy. So, what is the Hamiltonian operator this term is the kinetic energy and this is the potential energy and the Schrodinger equation simply says this plus this plus these term is equal to the total energy. Obviously, these are the two-terms which arise which contribute to the energy on account of the application of the static magnetic field study magnetic field.

So, this means we have forgotten b naught by two right. So, this \hbar cross is observed in a l_z z is really \hbar cross, because l_z is measured in units of \hbar cross. So, this is these are the two terms extra terms which represent a magnetic energy the additional contribution to energy from the application of the magnetic field one of them is negative, another is positive. That is the really reveals what do you mean by a negative energy and a positive energy for this we have to just go back to classical electro dynamics.

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And see what happens when a dipole of magnetic moment μ displays at an applied magnetic field then the energy is just therefore, we know that the magnetic movement is just nothing, but $b e$ minus $b e$ by $e b$ where. So, by looking at the Hamiltonian operator if you write it in a and operator form this is just μ operator this minus $b \hbar$ by $d b$. So, if you look at these two terms in the Hamiltonian that has looked what we get the negative term gives me μ one is $l_z b$ naught that is the contribution. Now similarly the other terms is going to give me this is going to give me minus e square del there is A one by two m and e by two m . And here it is e square by four into well it should be to have square right as we will see about that this square by two m into one by four b naught square into \hbar square plus y square.

So, these are negative term a negative magnetic moment whereas, this is a positive moment and what is interpretation of this, whenever we have a magnetic field magnetizing a material. If you get a magnetic moment which is parallel are in the same

direction as the applied magnetic field we say that the material is paramagnetic a paramagnetic material is only one in which the magnetic movement is parallel to magnetic field whereas, the magnetic moment is anti parallel then we call it a diamagnetic material. So, we have two cases here one giving a magnetic moment is parallel to the applied magnetic field which is also directed along the z direction. And the other gives a term which contributes to a magnetic moment which is anti parallel to the magnetic field because this is a positive definite everything is a square and therefore, you have a negative sign.

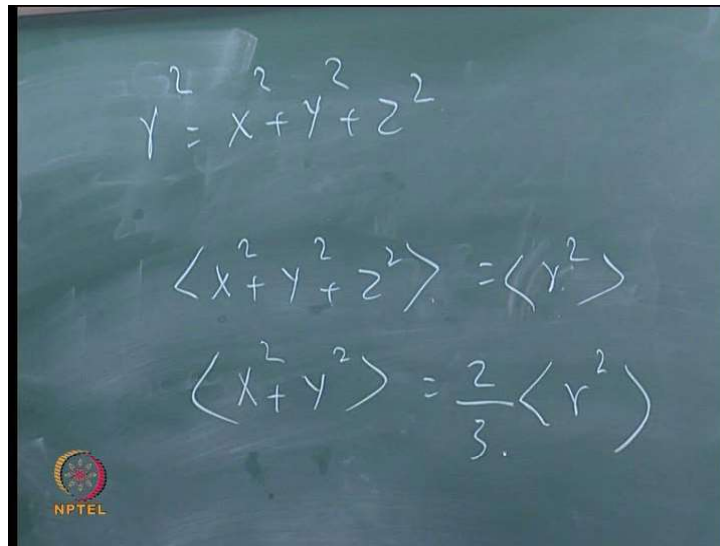
So, it gives a negative magnetic moment which indicates that the magnetic moment is anti parallel to the applied magnetic field. So, this is a paramagnetic term this is a diamagnetic term. So, by simply looking at the Hamiltonian of the electron in a applied magnetic field and looking at the various terms in the presence of the field here in a position to say that there are two new contributions to the energy arising from the application of the magnetic field one of these contributions is a paramagnetic contribution. And the other one is a diamagnetic contribution the paramagnetic contribution comes from the alignment of the angular momentum vector in the direction of the applied magnetic field.

So, just like in the case of the dielectric polarization these are that there was an electric dipole which gets lined up in the direction of the applied electric field creating dielectric polarization. In the same way, here we have an electron magnetic moment which is like a dipolar magnetic moment, there is no monopole in nature this lowest order magnetic moment is that of a dipole. Therefore, the electronic dipole lines itself in the direction of the applied magnetic field and gives rise a paramagnetic contribution which as this form and this is the gyro magneto gyric ratio e by m is the magneto gyric ratio.

So, this is equal to γ and in addition the orbital motion of the electron because the electron is orbiting round this as a classical explanation. So, if you have an orbiting current loop a close current loop in which as charge is circulating this current loop when an applied magnetic field is produced A by lends as law there is a back $e m f$ induced when therefore, there is a resistance to this motion. And this change is changes the acceleration this entry plate is the acceleration of the electron in its orbital motion and therefore, this produces the change in the angular momentum. And therefore, induces a magnetic moment which is of diamagnetic now this is of course, for an individual

electron if you have a macro scope example in which there are a large number of ten to the twenty three are. So, of atoms are molecule each have which contain several electron then the makeup quantum mechanical and statistical average now for this the average is x square plus y square average. So, the average magnetic moment quantum mechanical average is just this this these bracket represent average. So, the quantum-mechanical average can be easily figured out.

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$$r^2 = x^2 + y^2 + z^2$$
$$\langle x^2 + y^2 + z^2 \rangle = \langle r^2 \rangle$$
$$\langle x^2 + y^2 \rangle = \frac{2}{3} \langle r^2 \rangle$$


Because we know that in Cartesian coordinates r square is x square plus y square plus z square, where x y z are the components of r for any general direction position vector r . Therefore, if you take the average with all three directions x y z are equally probable the quantum mechanical average of this x square plus y square plus z square is. So, substituting this we can calculate the diamagnetic moment using this result.

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where H is the Hamiltonian (magnetic), we can identify the magnetic moment in the first magnetic energy term as

$$\mu_{\text{paramagnetic}} = \frac{e}{2m} l_z \quad (19.3)$$

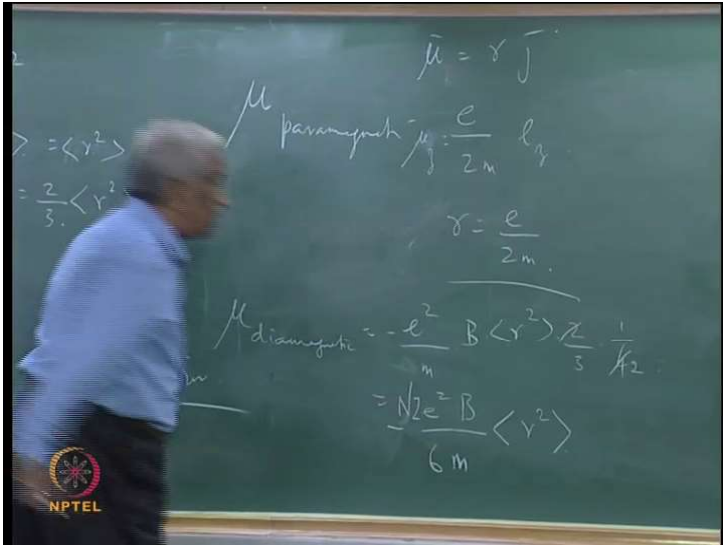
and the magnetic moment in the second magnetic energy term as

$$\mu_{\text{diamagnetic}} = -\frac{e^2 B}{4m} (x^2 + y^2) \quad (19.4)$$



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So, now we go to the magnetic moment terms and look at them closely. So, we have the paramagnetic moment.

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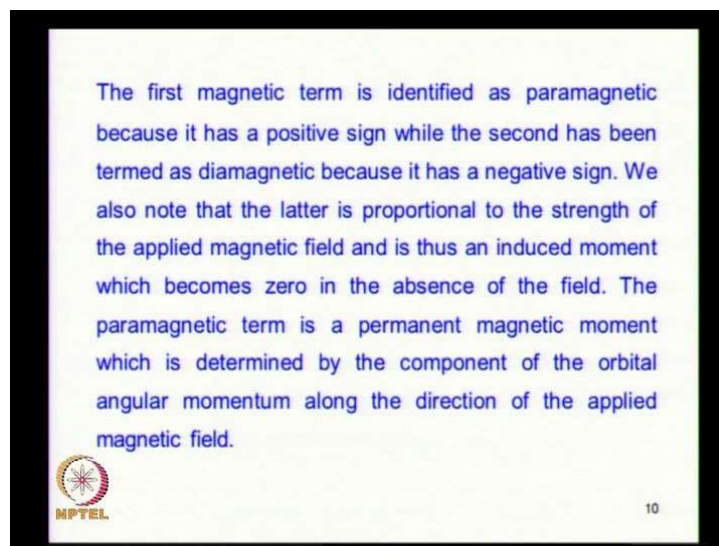
The chalkboard shows the derivation of the magnetic moment terms. It starts with the general relation $\mu = \gamma J$. For the paramagnetic term, it shows $\mu_{\text{paramagnetic}} = \frac{e}{2m} l_z$ and identifies the gyromagnetic ratio $\gamma = \frac{e}{2m}$. For the diamagnetic term, it shows $\mu_{\text{diamagnetic}} = -\frac{e^2}{4m} B \langle r^2 \rangle$, which is further simplified to $= -\frac{1}{3} \frac{e^2}{4m} B \langle r^2 \rangle$ and finally to $= \frac{N}{6m} e^2 B \langle r^2 \rangle$.



This is the paramagnetic energy the energy due to this because this is the Hamiltonian operator. So, the magnetic moment is just got by differentiating and this will will remove this because they are differentiated the linear term in the Hamiltonian. So, the b goes off. So, we simply have paramagnetic moment is e by two m into l z. So, that is mu z since it is directed along is that direction. So, this is the z component on the paramagnetic

moment and that is why comparing it with our earlier equation $\mu = \gamma j$. We arrive at the result that γ the magnetogyric ratio is just $e/2m$ and the case as the diamagnetic term the result the corresponding result is $e^2/4m$ into b^2 square will give you another two to be. So, b^2 times r^2 square into two by three into one by four which is one by six. So, this will give me minus $e^2 b^2/6m$ times r^2 square where r^2 square is the quantum-mechanical average of the square of the orbital radii of the electron since this is if the electron cloud is spherically symmetric. So, this is the basic theory due to Langevin theory and this gives you the diamagnetic moment this is the contribution to the diamagnetic moment given to one orbiting electron if there are z orbiting electrons this has to be multiplied by z if there are n atoms or molecules then it has to be further multiplied by n .

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So, will give you the total diamagnetic moment in an assembly n atoms each containing z electrons, so this is the basic theory due to Langevin, which explains the diamagnetic moment which has a negative sign. The all matter which contains atoms or molecules and therefore, orbiting electrons around nuclei or molecular centers because of that every material is basically diamagnetic. There is a diamagnetism associated with every atom or molecule in nature there is no material which is not diamagnetic this diamagnetism is present only when there is a field if the field is removed it vanishes.

So, this is an induced effect diamagnetism is induced and is a reaction to the applied magnetic field which states only as long as the applied magnetic field exists. So, the diamagnetic moment vanishes when the field is removed whereas, the paramagnetic term is a permanent magnetic moment which is determined by the component of the orbital angular momentum along the direction of the applied field.

So, this situation is very similar to the polarizability of an atom in the presence of an applied electric field in the case of dielectric polarization this polarizability is zero once the field is removed. Similarly, the polarizability as well as the diamagnetic moment are induced effects whereas, there are permanent dipoles electric dipole like water in the case of dielectric polarization. These dipoles get aligned along the electric field giving rise to a polar depolarization polarization associated with a polar nature of such a dielectric. In the same way, we have materials, which can become paramagnetic because there is an angle of momentum, and associated with this angle momentum there is a dipole magnetic magnet and these dipole lines up in the direction of the magnetic field. And therefore, this is parallel to the applied magnetic field the energy proper contribution is negative because the energy is minus $\mu \cdot b$ the paramagnetic moment is present all the time for a material and this will be their only if the orbital angular momentum is not zero. So, these are the main difference.

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Handwritten notes on a chalkboard defining the Bohr magneton:

$$\mu_B = \frac{e \hbar}{2 m_e} = 9.27 \times 10^{-24} \frac{\text{J}}{\text{T}}$$

Below the equation, it is labeled "Bohr magneton". To the right, the magnetic moment is given as $\mu = -g \mu_B m_l$. On the left side of the board, there are partial equations: $J = \dots$, $l_z = \dots$, and $m_l = \dots$. An NPTEL logo is visible in the bottom left corner.

Now, this $\frac{e\hbar}{2m_e}$ as I already told you is an integer times \hbar . So, $\frac{e\hbar}{2m_e}$ has a value 9.27×10^{-24} joules per tesla. So, this has a special name that is the unit of magnetic moment in quantum mechanics in all electronic material. Therefore, this as a special name it is called the Bohr magneton. So, we measure magnetic moments of electron in units of the Bohr magneton. Now this immediately tells us why the nuclear contribution is not very important in the case of magnetism, because of the presence of the mass term here. So, the nuclear mass is 2000 times more than that of the electron. So, the nuclear magnetic moment is going to be weaker by that factor. So, it is three orders weaker therefore, the nuclear magnetism is not seen easily. The thing that is seen generally is the electronic magnetic moment. We will stop at this point, and continue next time.

Condensed Matter Physics
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Magnetism-Worked Examples (Continued)


Today, we continue to do few more examples on magnetism.

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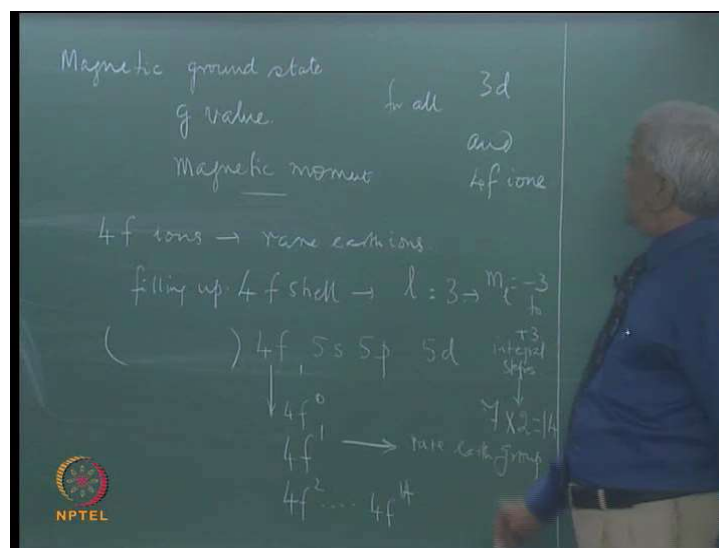
Worked Example 68

Problem:
 Determine the magnetic ground state, its g value and the magnetic moment of all the 3d and 4f ions.

Solution
 The 4f ions have spin orbit coupling which is relatively strong in comparison to crystal field splitting on account of the presence of outer 5s and 5p electrons. The l's and s's are therefore coupled according to the rule for coupling of angular momenta to form L and S values of the spectroscopic ground terms.



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Magnetic ground state
 g value
 magnetic moment
 for all 3d and 4f ions

4f ions \rightarrow rare earth ions
 filling up 4f shell \rightarrow $l=3 \rightarrow m_l = -3$ to $+3$

() 4f, 5s, 5p, 5d $\xrightarrow{\text{integral split}}$ $7 \times 2 = 14$

\downarrow
 4f⁰
 4f¹ \rightarrow rare earth group
 4f² ... 4f¹⁴



This is an important question, in which we are asked, determine the magnetic ground state, its g value of this ground state and the corresponding magnetic moment for all 3d and 4f ions. Some of these results, we have already used in our discussion of the basic ideas of magnetism in solids, but today we will work out the magnetic ground state, the corresponding g value and the magnetic moment.

In the case all the members of the 3d and 4f groups in the periodic table. We will consider the 4f first for reasons, which will become obvious very soon. 4 f ions, which are better known as more commonly known as rare earth ions.

The rare earth ions are a group of elements in the periodic table, which correspond to the successive filling up of the 4f shell. The 4f shell corresponds to an angular momentum of 3.

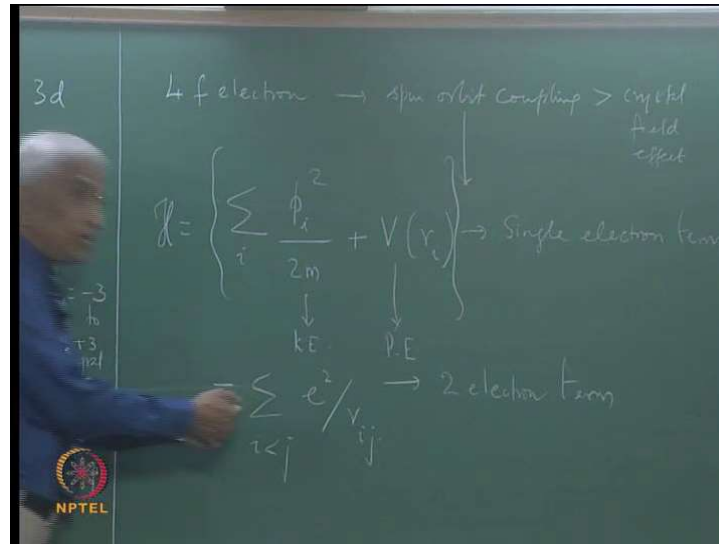
So, the electronic configuration is such that the outermost shell is or the 4f and then 5s, 5p and in some cases 5d shells. So, these are the outer electron configurations.

There are of course, close shells inside, which do not contribute anything to the magnetic moment. It is only the unpaired electrons in the unfilled shell, which give rise to magnetic moment. So, we have the possibility since l is 3, we have m_l going from minus 3 to plus 3 in integral steps.

So, this corresponds to 7 and then there is a spin which can be plus or minus. So, there are 2 orientations. So, there are 14 electronic states, 14 electrons can occupy the 4f shell. So, corresponding to 4f 0, where there is no electrons in the 4f shell and then 4f 1, 4f 2 etcetera 4f 14, all the elements corresponding to these different values of the electron in the 4f shell form these are called rare earth group.

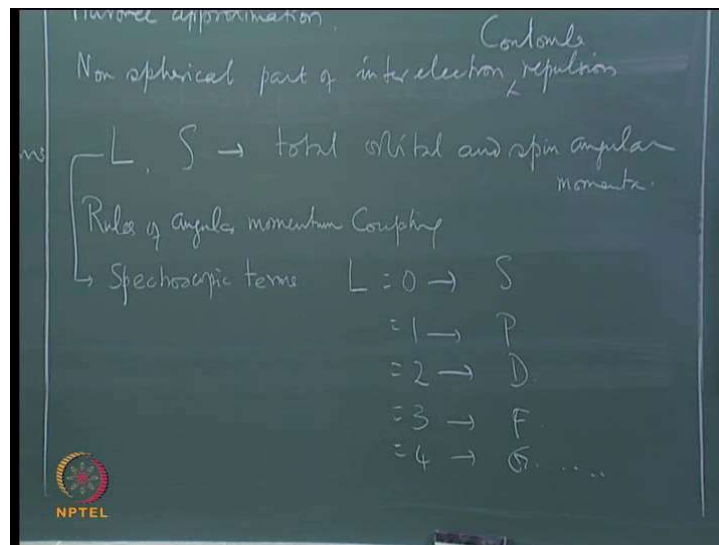
We will consider one by one. These elements and the reason why, we consider this first rather than the 3d is, because in the 4f group there are outer electrons in the 5s, 5p and 5d shells, which start of shield the inner 4f electron.

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The spin orbit coupling is relatively strong in comparison to the crystal field effect. So, the spin orbit coupling is rather strong and therefore, if we wrote the free electron Hamiltonian. We have the single electrons states corresponding to the kinetic energy, there i , is going from one to the total number plus V of r_i this is the kinetic energy, this is the potential energy the coulomb interaction energy between the i th electron and the nucleus.

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So, this is all single electron terms. These define the angular momentum and spin of every individual electron. In addition next in descending order of strength, we have the inter electron coulomb repulsion. This is a two electron term so, this couples the individual electrons ((Refer Time: 06.55)) and in the Hartree approximation.

Considers this spherical part of this inter electron repulsion and adds this on to the single electron potential energy. So, that we get hydrogen like ground state, but there will be a non spherical part coulomb repulsion. This non spherical part of the coulomb repulsive potential couples the individual l s and s s of the single electron and produces a total angular momentum orbital angular momentum L and the total spin angular momentum S . According to the rules of angular momentum coupling in quantum mechanics, it is these l s and s s which define is so, called the spectroscopic terms.

So, these spectroscopic terms are identified by the total value of the orbital angular momentum, if L is 0, we call it an S term, if L is 1, it is a P term, if L is 2, it is a D term, if L is 3, it is a F term and then 4, 5, etcetera, it is in alphabetical order G etcetera and so on. So, these are the terms symbols corresponding to the different angular momentum values, orbital angular momentum values.

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The ground multiplet is determined by the spin orbit coupling which gives the J value.

These are given below for the different 4f ions.

The g values are calculated using the Lande formula:

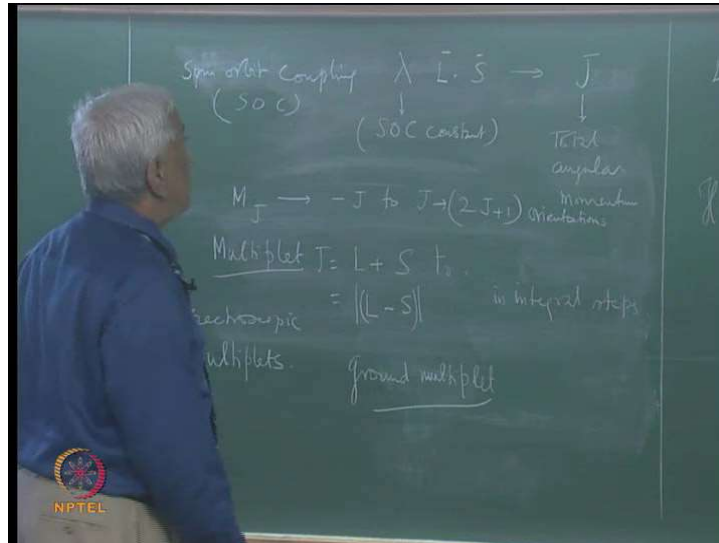
$$g_J = 1 + \frac{J(J+1) + S(S+1) - L(L+1)}{2J(J+1)}$$

The magnetic moment μ_{eff} is calculated using this g value as:

$$\mu_{\text{eff}} = g_J \{J(J+1)\}^{1/2}$$


Once we know the individual total orbital and spin angular momentum corresponding to the ground term. It is the ground stage which matters in magnetic properties and therefore, we are interested in the ground term.

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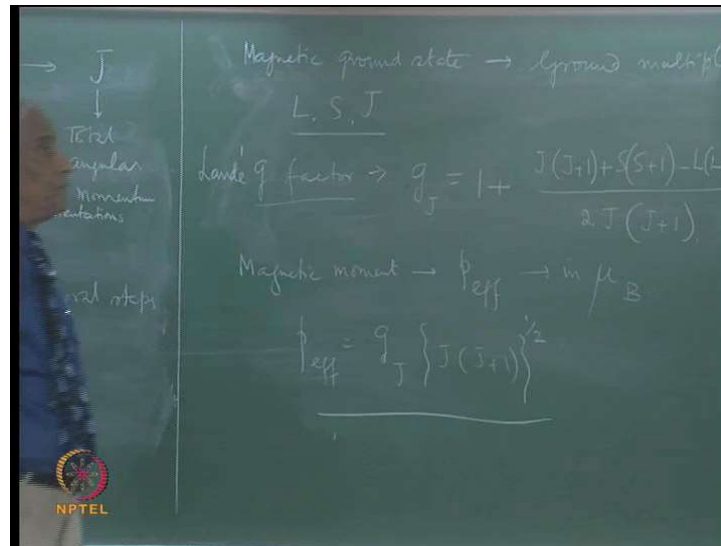


And this term now, is acted on by the spin orbit coupling, which is usually represented in the form $\lambda L \cdot S$, where λ is the spin orbit coupling constant. And this produces a coupling between these orbital and spin angular momenta to produce a total angular momentum which is usually represented by the symbol J .

Corresponding to a given value of J , you can have different values of M_J , the magnetic quantum number which goes from minus J to plus J . There are $2J + 1$ values, corresponding to different orientations of the spins magnetic moment of the ions with respect to an applied magnetic field. So, now, these correspond to so, called multiplet different J values correspond to different multiplet, when L and S are coupled. According to the rules of angular momentum coupling it can be coupled and the resultant angular momentum goes from J equal to $L + S$ to $L - S$ or $S - L$ depending on which is greater in integral steps that is all we get $2J + 1$ values. Each of these J value corresponds to a different multiplet. So, this gives me the multiplet states, spectroscopic multiplet there are different multiplet corresponding to different values of J of which the ground multiplet is what matters for magnetic behaviour.

So, the magnetic ground state is determined by the multiplet which lies lowest on account of the fact that the excited states are normally not appreciably populated and are ordinary conditions of temperature and magnetic field. So, it is only the ground multiplet which determines the magnetic ground state and hence the magnetic behaviour.

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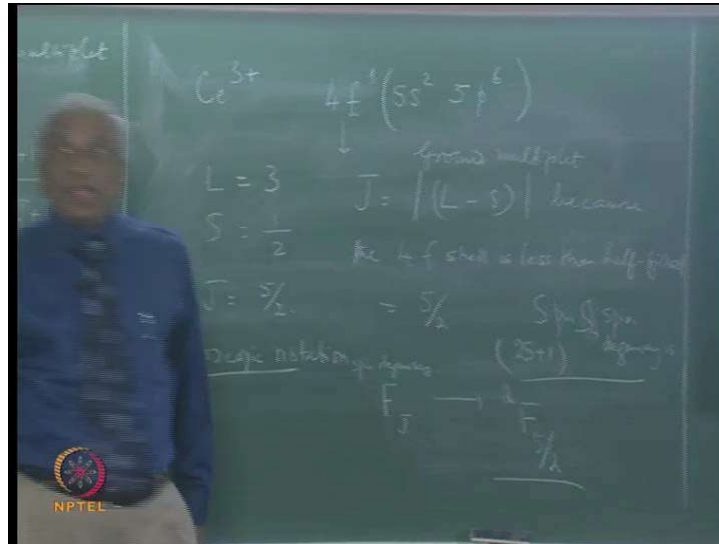
So, the magnetic ground state which we have been asked to determine corresponds to the ground multiplet state. This is what we have to determine now in the case of the different ions. Once we know the multiplet ground state and the corresponding J value. So, we now, know the L S and J values for a given multiplet. A given multiplet is characterised by different values of L S and J and then the g factor which is known as the Lande g factor is given by corresponding to a given multiplet state with a given J value is given by the Lande expression.

So, since we know L S and J for a given multiplet characterised by a particular value of J. We can immediately find the corresponding g value is also what we have to find out. Once we know g J the magnetic moment of corresponding to this ground state it is usually represented by the symbol P effective which is in Bhor magnetrons. So, this P effective is given by g J into J into J plus 1 to the power half. So, this gives the magnetic moment in units of the Bhor magnetron.

So, this is the procedure which we will adopt, in the case of all the 14 rare earth ions, belonging to the progressive filling up of the 4 f shells. Well if there are no electrons in

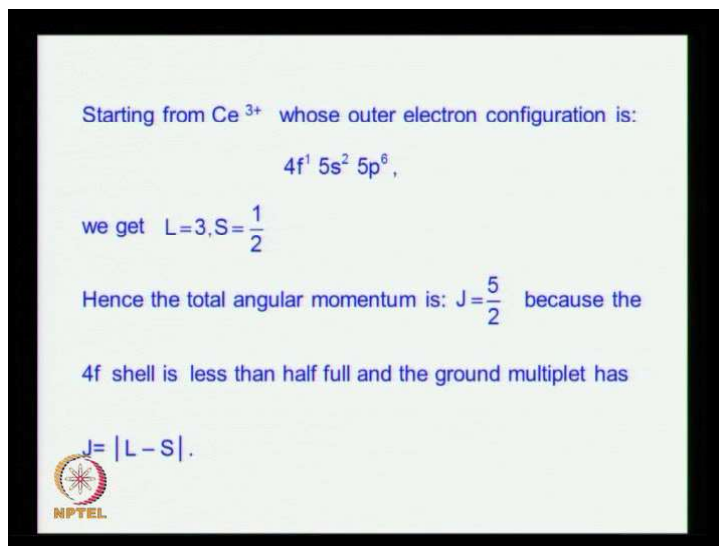
the 4 f shell there is no magnetic moment; obviously, because the shell is not occupied by any electron and therefore, the unpaired electron is necessary for it.

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So, let us start from the first case, first rare earth ion, which is cerium 3 plus, which are the outer electron configuration of 4f 1 5s 2 and 5p 6. So, there are two electrons in the outer 5s shell and six electrons in the outer 5p shell and there is one unpaired electron in the 4f shell.

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So, it is this which gives the magnetic behaviour. So, since there we have only one electron, the L value is since it is an electron in the f shell, L is 3. And since there is only one electron the spin value is also the total spin angular momentum is just that of one electron so, S is half. So, you have J which in this case big low lying multiplet corresponds to L minus S rather than L plus S because, the 4f shell is less than half filled.

So, this is the ground multiplet. So, in this case since L is 3 and S is half this will give you 5 by 2. So, the ground multiplet has L equal to 3, S equal to half and J is 5 by 2. There is a way of denoting this ground multiplet the spectroscopic notation for this ground multiplet. The spectroscopic notation usually proceeds by giving the ground term symbol which we already saw in this case since L is three it is an f term and it has a superscript which has these spin degeneracy and the subscript which gives the J value.

So, that is the standard notation for the ground multiplet. In this case the spin degeneracy is for a spin of S spin degeneracy is 2 S plus 1; obviously. So, we will have in this case S is half so, 2 S plus 1 is so, it is 2 f and the J value is 5 by 2. So, that is the notation for the ground multiplet for the cerium 3 plus ion, which is the first member in the rare earth group with unpaired electron.


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The symbol for the ground multiplet is: 2F_5
 The g factor is 2.958 and the effective magneton number, μ_{eff} is $2.54 \mu_B$.

$\text{Pr}^{3+} 4f^2 6s^2 L=5, S=1, J=4$ Ground multiplet is: 3H_4 $g_J = \frac{4}{5}$
 $\mu_{\text{eff}} = 3.58 \mu_B$

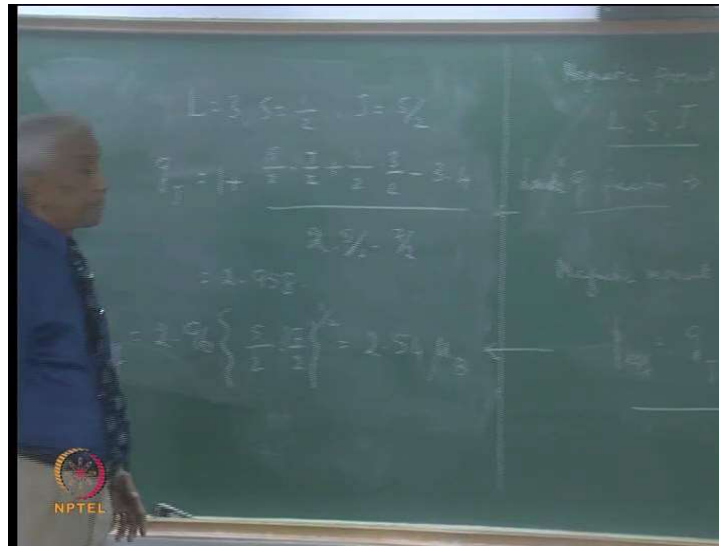
$\text{Nd}^{3+} 4f^3 6s^2 L=6, S=\frac{3}{2}, J=\frac{9}{2}$ Ground multiplet is ${}^4I_{\frac{9}{2}}$ $g_J = \frac{8}{11}$
 $\mu_{\text{eff}} = 3.62 \mu_B$

$\text{Pm}^{3+} 4f^4 6s^2 L=6, S=2, J=4$ Ground multiplet is 5I_4 $g_J = \frac{3}{5}$
 $\mu_{\text{eff}} = 2.68 \mu_B$



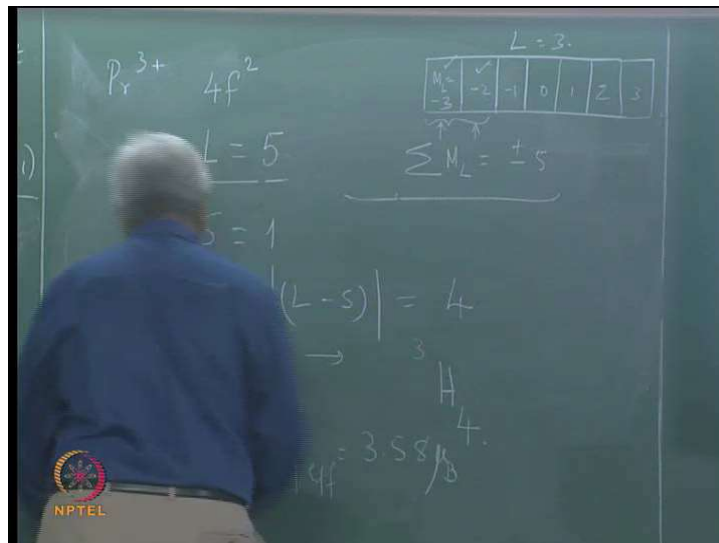
So, having found the ground multiplet, we use the expression for the Lande g factor to find g J, the g factor corresponding to this multiplet.

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So, this has L equal to 3, S equal to half and J is 5 by 2. So, the Lande expression is for g J is 1 plus J into J plus 1, which is 5 by 2 into 7 by 2 plus S into S plus 1, which is half into 3 by 2 minus L into L plus 1, which is 3 into 4 divided by 2 J into J plus 1. This turns out to be 2.958 on simplification. The corresponding magnetic moment is given by this formula. So, we write P effective is this value for g J times 5 by 2 J into J plus 1 to the power half. So, this turns out to be 2.54 Bhor magnetron.

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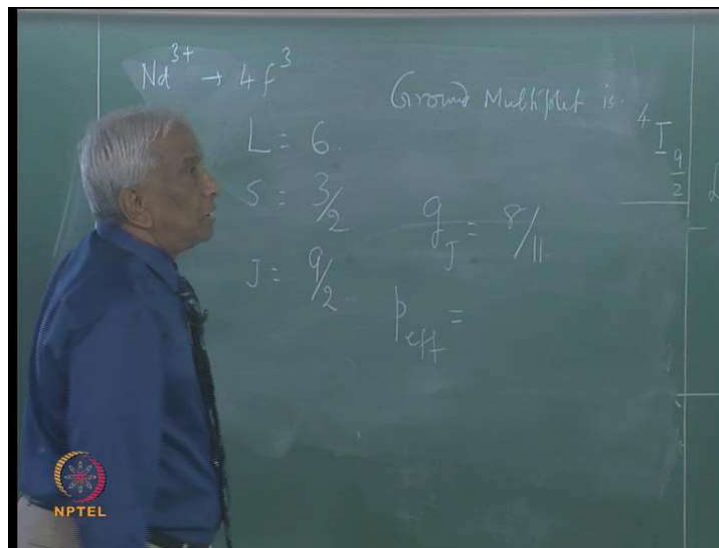


So, following the same procedure for the other ions, let us move on to the next ion in the rare earth group which has two electrons in the 4f shell this ion is praseodymium 3 plus. So, it has 4f² therefore, the corresponding L value is there are two electrons the Hunts rules tells you, if I have different values of a mell which I represent here. So, this is M L equal to minus 3, minus 2, minus 1, 0, 1, 2 and 3 corresponding to L equal to 3.

So, the Hunts rules tells us that the electrons will have a total M L value and hence a total L value due to the coupling, which will be correspond to the sum of the two stage which the electron occupies the corresponding M L values sigma M L corresponding to the two states such that this spin multiplicity is maximum. In other words the two electrons has spins parallel. So, these are the two states and therefore, we get minus 5 or it can also be here. So, it is plus or minus 5 and therefore, the corresponding L value is 5 since this summation is gives you M L as plus or minus 5, the only possibility for this is with L equal to 5.

So, that is the total orbital angular momentum of these two electrons and since there are two electrons the spins are parallel and therefore, S is 1 and J which is mod of L minus S because, it is still less than half filled. So, this will be 5 minus 1, 4. So, the ground multiplet in the case of the praseodymium 3 plus ion is has the L value 5, which means it is an H in the term and the spin multiplicity is 2S plus 1 for S equal to 1 is 3 and the J value is 4.

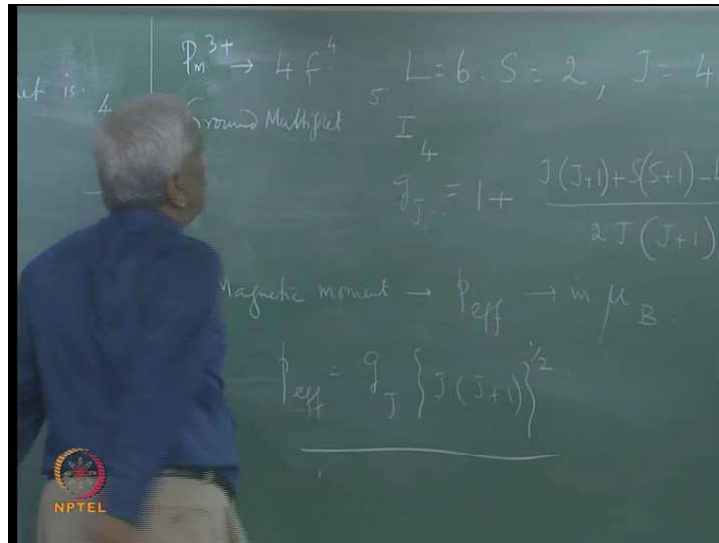
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And if we substitute in the Lande g factor expression for this, the g_J turns out to be 4 by 5 by substitution. And the corresponding effective magnetic moment is 3.58 Bohr magneton. Adapting the same procedure for the next ion in the series, which is neodymium 3 plus, which has three electrons in the 4f shell and therefore following the same procedure ΣM_L will be minus 3, minus 2 and minus 1. So, this will be minus 6.

So, L will be 6 and the S since there are three electrons all with spin parallel in order to maximise the spin. So, this will be 3 by 2 and therefore, J will be L minus S which is 9 by 2. So, the ground multiplet for neodymium 3 plus is, L equal to 6 which is an I term, $2S$ plus 1 is 4 for S equal to 3 by 2 and the J is 9 by 2. So, this is the ground multiplet. All the rare earth ions have the valence is 3 plus the corresponding g_J value in the Lande g factor expression works out to be 8 by 11. And the effective magnetic moment μ_{eff} is 3.62 Bohr magneton.

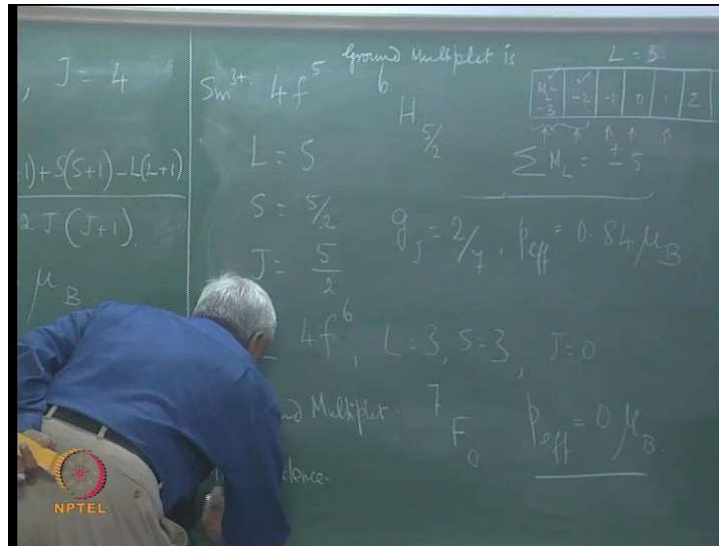
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We then move on to promethium 3 plus, which is a short lived isotope. Still it is one with four electrons in the 4f shell. So, the corresponding L values since this would be four electron this would add zero to this. So, the L remains 6 and the S of course, becomes 2 since there are four electrons with parallel spins therefore, the J is 4.

So, the ground multiplet is again an I term, but with spin multiplicity 5, $2S$ plus 1, $5S$ equal to 2 and the J value is 4.

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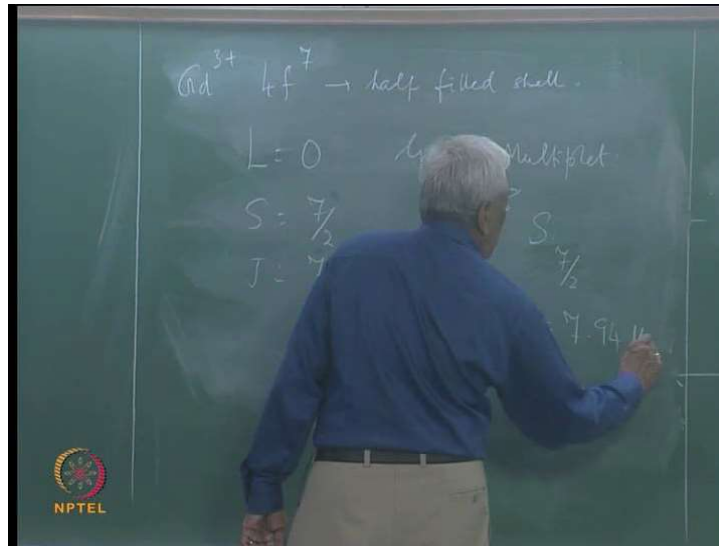


Next, we consider samarium 3 plus, which has a $4f^5$ configuration therefore, the L now, there are five electrons so, the minus 1 and plus 1 will neutralise each other, leaving us with sigma M L equal to minus 5. So, that L is 5 and S for five electrons is still 5 by 2 because, all the electrons have parallel spins therefore, the J value is L minus S which is 5 by 2 and the g J has the value if ground multiplet is represented by L equal to 5.

So, it is again an H term with a spin multiplicity 6 corresponding to a spin of 5 by 2 and a J value of 5 by 2 this is the ground multiplet. And the corresponding g J value is 2 by 7 and the magnetic moment is having the value 0.84 Bhor magnetrons.

You go to europium 3 plus, which has 6 electrons in 4f shell. So, L is 3 by the same procedure S is also 3 because, six electrons with parallel spins. And So, J is 0. So, the ground multiplet is an F term with spin multiplicity 7 and a J value of 0. So, since this has J 0. So, the g value is 0 and the magnetic moment corresponding to that is also 0. Of course, europium 3 plus is nonmagnetic because, of this, but usually europium 3 plus also there is frequently you have a mixed valance with europium 2 plus, which contributes to some non zero magnetism, when europium 2 plus is present.

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Next, we have gadolinium 3 plus which has seven electrons in the 4f shell, which can accommodate a total of fourteen electrons.

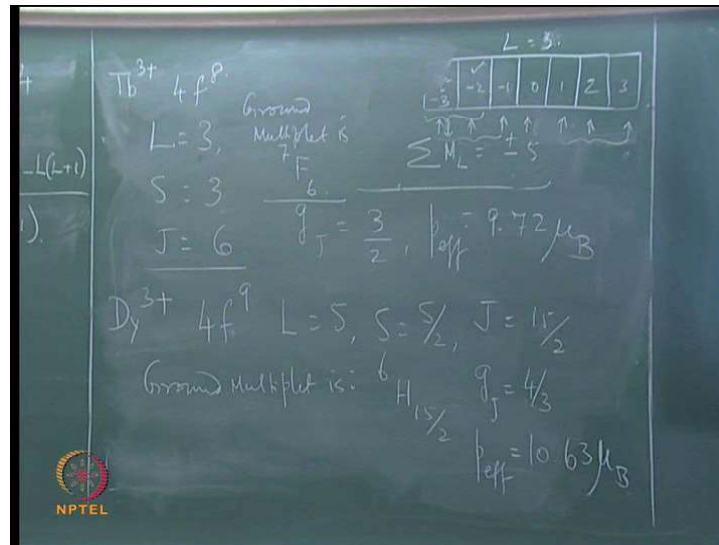
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So, this is a half filled shell, the corresponding L value turns out to be 0, S is 7 by 2 and J is also 7 by 2. Since L is 0, the ground multiplet has a term symbol, which is that of an S term and therefore, this will be $8 S 7$ by 2.

So, substituting these values of L S and J we get g J for gadolinium 3 plus as to we just this spin only value. Since it has only spin contribution, the orbital angular momentum is

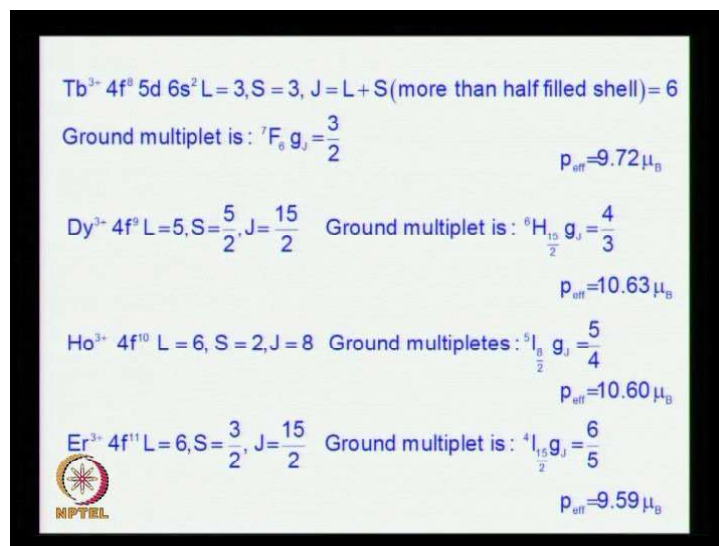
0. So, it has a spin only g factor. And the corresponding magnetic moment has value of 7.94 Bohr magnetons, in the same way we can work out the values for the other seven electrons.

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So, terbium 3 plus, which has eight electrons in the 4 f shell. So, L is 3, S is 3, J is 6.

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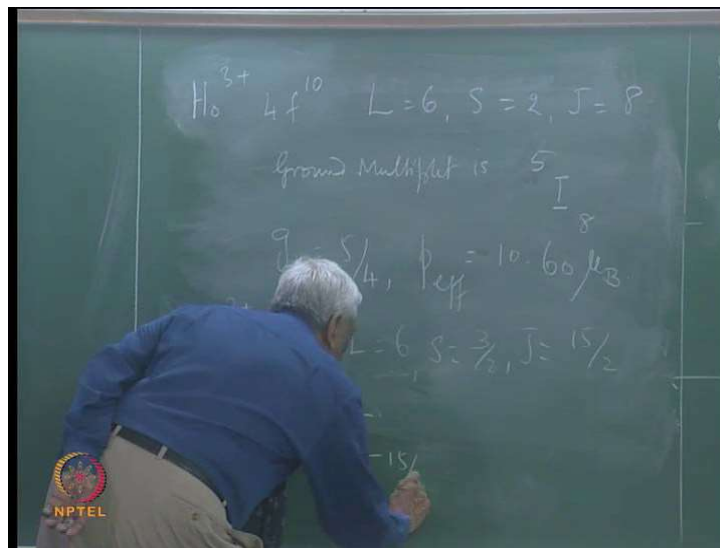


This case the S is 3 because, there are seven electrons with parallel spin and then the eighth electron goes to this. So, that we have plus 3 and minus 3 cancelling out leaving L equal to 3. The corresponding g J value since it is more than half L shell the ground

multiplet is corresponding to L equal to 3 it is an F term with the spin multiplicity of 7 and the J value of 6, the g J value is 3 by 2 and the effective magnetic moment is 9.72 Bhor magnetrons.

Similarly, we consider dysprosium 3 plus, which has 4f 9 configuration. The corresponding values are L equal to 5, S equal to 5 by 2 and J is 15 by 2. So, the ground multiplet is 6 H 15 by 2 and the g J value is 4 by 3. The magnetic moment is 10.63 Bhor magnetrons.

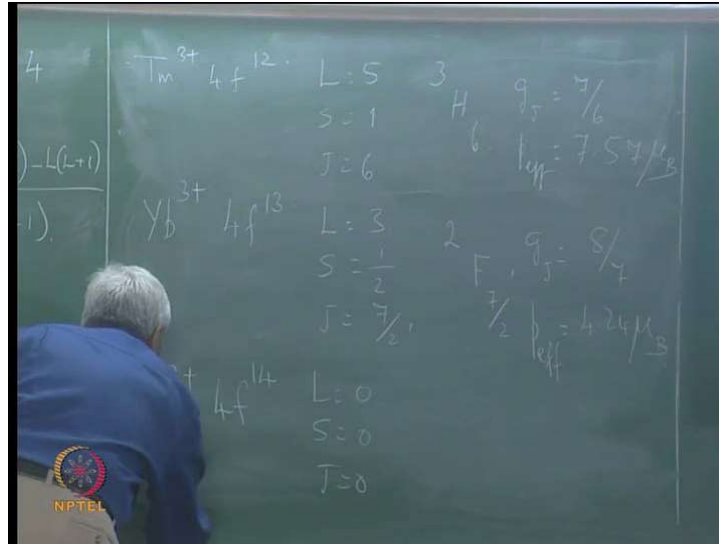
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In the same way one can verify the cases of holmium 3 plus, which have 4 f 10 and the L value is 6, S value is 2, J value is 8. So, the ground multiplet is 6. So, it is an I term with the 3 no 5 8. So, the g J value is 5 by 4 in this case and the magnetic moment is 10.6 Bhor magnetrons.

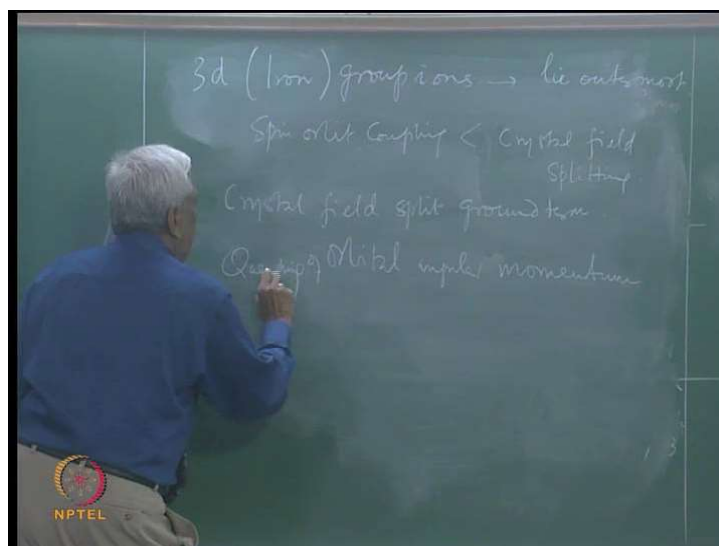
Then we have erbium 3 plus, which has 4 f 11 and the corresponding L value is 6, S is 3 by 2 and J is 15 by 2. So, the ground multiplet is again an I term with 4 15 by 2, leading to a g J value of 6 by 5 and a P effective of 9.59 Bhor magnetrons.

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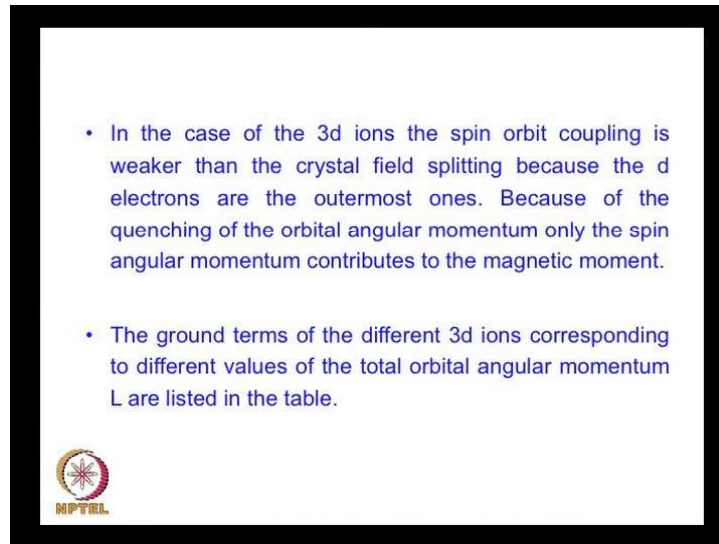
We next come to the case of thulium, which has 4 f 12. So, the L value is 5, S value is 1, J value is 6 and the ground multiplet is an H term with spin multiplicity 3, J equal to 6. So, the g J is 7 by 6 and the P effective is just 7.57 Bhor magnetrons. Lastly we have ytterbium 3 plus, which has thirteen electrons in the 4f shell and the corresponding values of L S J are, L equal to 3, S equal to half, J equal to 7 by 2 and the ground multiplet is F term because, L is 3 2 7 by 2. So, g J is 8 by 7 and P effective is 4.24 Bhor magnetrons. We of course, have the final case of lutetium which has the full shell filled with all the fourteen electrons.

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So, this corresponds to L equal to 0, S equal to 0, J equal to 0 because, it is a closed shell. And therefore, it is diamagnetic no Para magnetism no unpaired electrons. So, that completes the story about the rare earth ions. We now, move on to the iron group of 3 d group ions. The iron group corresponding to the progressive filling up of the 3 d shell.

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In this case the spin orbit coupling is weaker when crystal field splitting because; there is a crystalline electric field and the 3 d ions, which lie outer most there are no outer electron shielding them. So, they lie outermost and therefore, they experience the effect of the crystal field and therefore, the crystal field splitting is much stronger than the spin orbit coupling.

So, one has to determine the crystal field split ground term. Usually the crystal field is so; strong that the orbital angular momentum is completely quenched therefore, the only angular momentum which contributes to magnetism is the spin angular momentum. So, it is spin only magnetism. So, the g factor is that of the spin value.

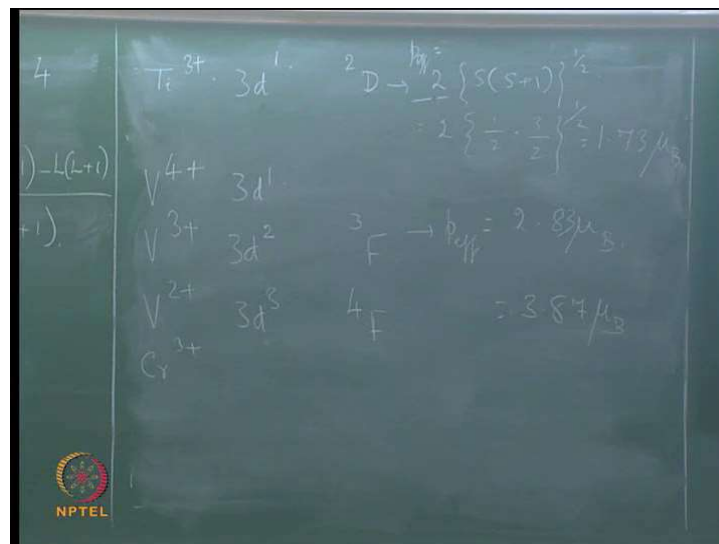
Pure spin value two close to two and the corresponding magnetic moment is $2S$ into S plus 1 to the power half, where S is the spin angular momentum. So, with this prescription let us look at the ions in the 3 d shell.

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3d ion	Electron configuration	Ground term	$g = 2\{S(S+1)\}^{1/2}$	Measured Magnetic moment(μ_B)
Ti ³⁺	3d ¹	² D	1.73	-
V ⁴⁺	3d ¹	² D	1.73	1.8
V ³⁺	3d ²	³ F	2.83	2.8
V ²⁺	3d ³	⁴ F	3.87	3.8
Cr ³⁺	3d ³	⁴ F	3.87	1.7
Mn ⁴⁺	3d ³	⁴ F	3.87	4.0
Cr ²⁺	3d ⁴	⁵ D	4.9	4.8
Mn ³⁺	3d ⁴	⁵ D	4.9	5.0
Mn ²⁺	3d ⁵	⁶ S	5.92	5.9
Fe ³⁺	3d ⁵	⁶ S	5.92	5.9
Fe ²⁺	3d ⁶	⁵ D	4.9	5.4
Co ²⁺	3d ⁷	⁴ F	3.87	4.8
Ni ²⁺	3d ⁸	³ F	2.83	3.2
Cu ²⁺	3d ⁹	² D	1.73	1.9

So, we start with titanium 3 plus which has 3 d 1, one electron.

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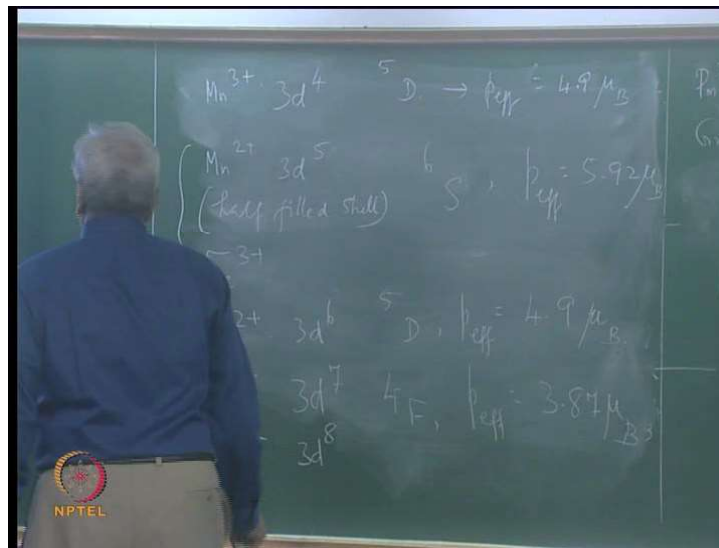
So, it has since it is a D electron the ground term is has the symbol D and it is a 2 D term, which is the ground term therefore, 2S into S plus 1 to the power half. In this case is 2 into half into 3 by 2 to the power half. This is value of the effective magnetic moment, this is g value.

So, this works out to be 1.73 then we have V 4 plus vanadium, which has also 3 d 1. So, its behaviour is similar then vanadium 3 plus has two electrons 3 d 2 and that

corresponds to a $3F$ by the same reasoning as we did for the rare earth ions and the P effective in this case is 2.8. We can also have vanadium 2 plus, which is $3d^3$ and the spectroscopic ground term is $4F$ and the P effective will be 3.87, 2.83 here.

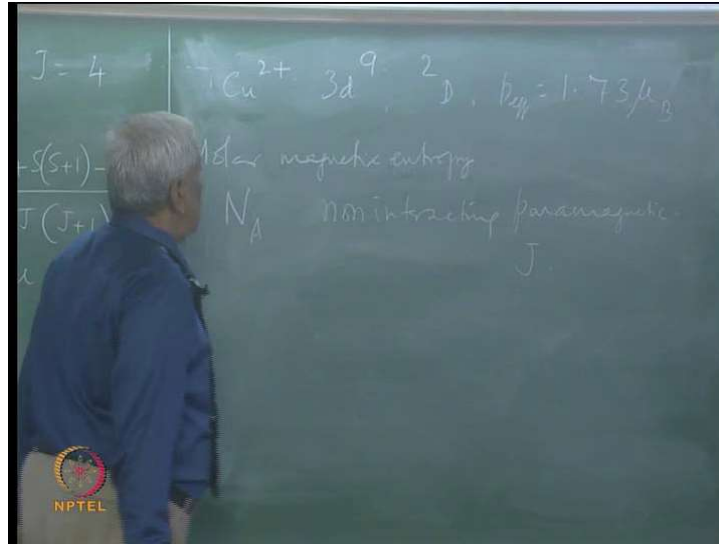
Vanadium 2 plus as well as chromium 3 plus and manganese 4 plus all have the same electronic configuration of $3d^3$. And so, they have similar magnetic behaviour.

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Then we move on to manganese 3 plus, which has four electrons in the $3d$ shell and therefore, it has a $5D$ term giving a magnetic moment of 4.9 Bohr magneton. Then Mn^{2+} which has five electrons. So, it is a half filled shell with a spectroscopic ground term of $6S$, it is an S state and so, the P effective since it is an s state. So, it will be 5.92 is also the case with iron 3 plus is identical isoelectronic. Then we go on to for Fe^{2+} ion which has $3d^6$ configuration and again a $5D$ ground term with a magnetic moment calculated magnetic moment of 4.9 as before. Then we have cobalt 2 plus, which is $3d^7$ and $4F$ ground term P effective of 3.87. Then we have nickel 2 plus, which is $3d^8$ and so, a $3F$ ground term and a magnetic moment of 2.83.

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Finally, we come to copper 2 plus, cuprous ion which has a 3 d 9 configuration. So, it has a 2 D ground term with a P effective of 1.73 Bhor magnetrons. So, the experimental measured magnetic moments are given the last column in this table. So, you can see that the agreement with the spin only magnetic moment is fairly good.

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Worked Example 69

Problem
Calculate the molar magnetic entropy of an assembly of noninteracting paramagnetic ions having a total angular momentum J in a magnetic field.

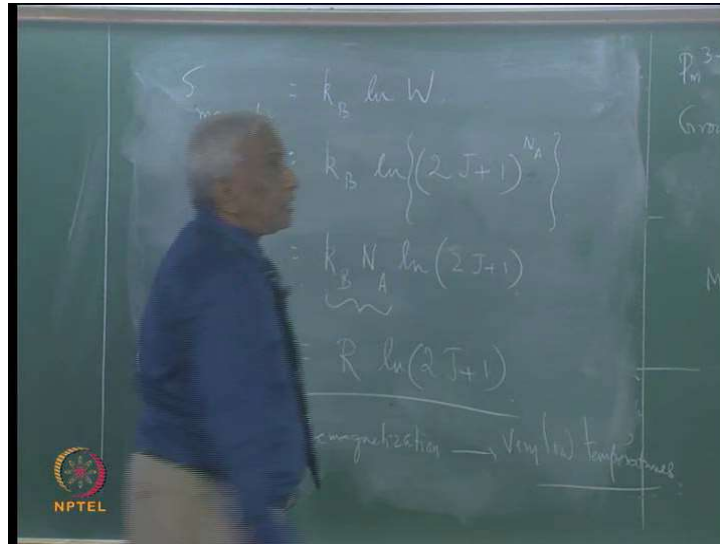
Solution
If J is the total angular momentum of a paramagnetic ion it can have $2J + 1$ orientations with respect to the direction of the applied magnetic field.



Next you are asked to calculate the molar magnetic entropy, of an assembly of non interacting paramagnetic ions. Molar means the number of spins is the Avogadro number. Each of these has a total angular momentum of J and a magnetic field is applied.

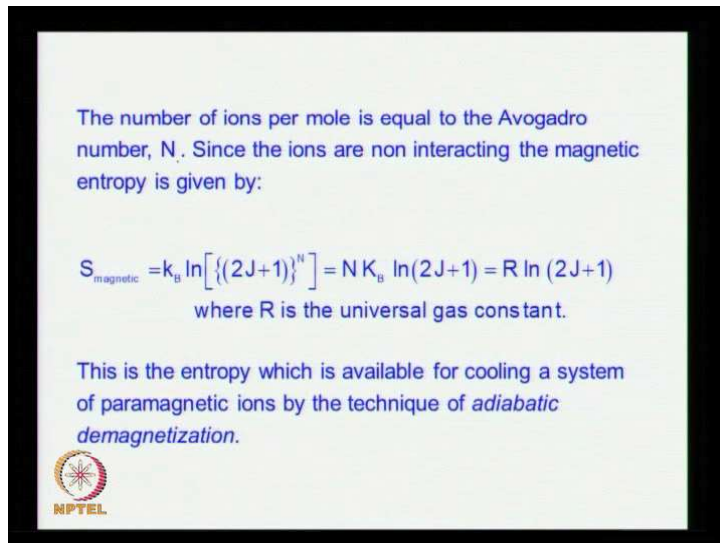
So, if you have a total angular momentum of J , there are $2J + 1$ orientations possible for each ion with respect to the direction of the applied magnetic field.

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Therefore, the magnetic entropy can be calculated readily from the Boltzmann formula, which gives the magnetic entropy as $k_B \ln W$, where k_B is the Boltzmann constant and W is the number of different configurations.

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So, this will be in this case, since there are N ions, N_A where N_A is the Avogadro number and therefore, the total probability is $k_B \ln (2J + 1)^{N_A}$

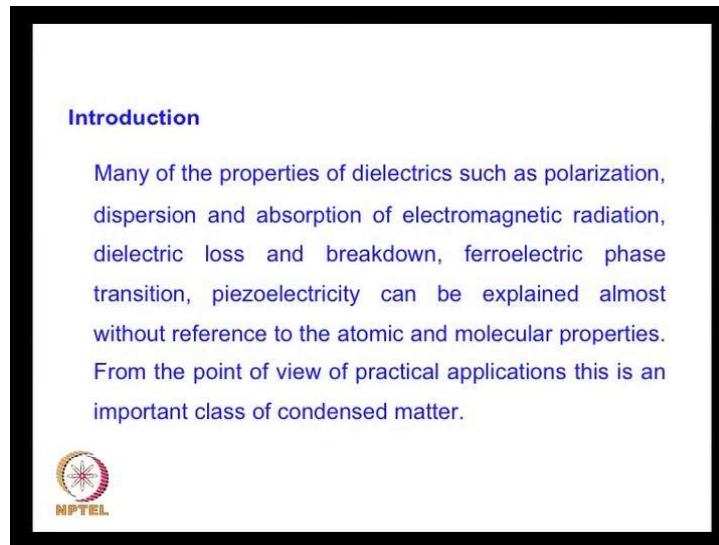
therefore, since it is logarithm, it will be and we know that $k_B N_A$ is just the universal gas constant R and therefore, it is $R \ln 2$ plus 1.

So, this is the magnetic entropy that is available in a system of paramagnetic ions and in an applied magnetic field. So, you can have the technique of adiabatic demagnetisation by means of which we can remove the applied magnetic field suddenly keeping the spins in thermal isolation and this entropy causes a cooling of the spins or the paramagnetic ions by this technique, which takes these two very low temperatures. This technique has been used to achieve extremely low temperatures, it is a refrigeration technique.

Condensed Matter Physics
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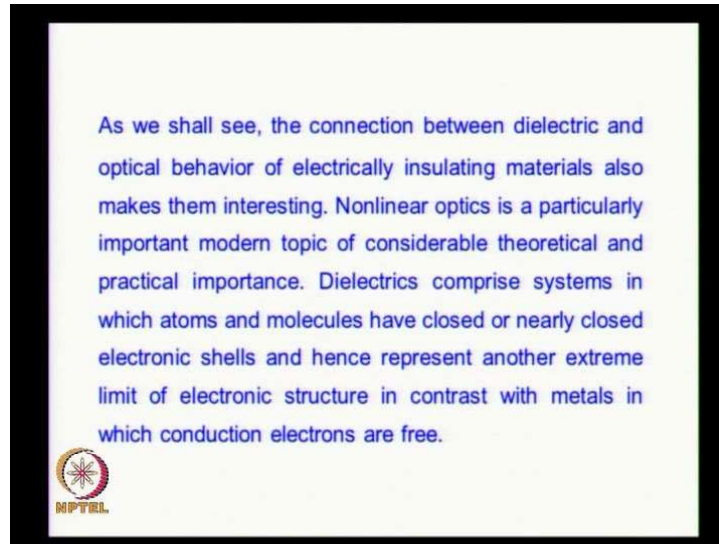
Lecture - 16
Dielectric (Insulating) Solids

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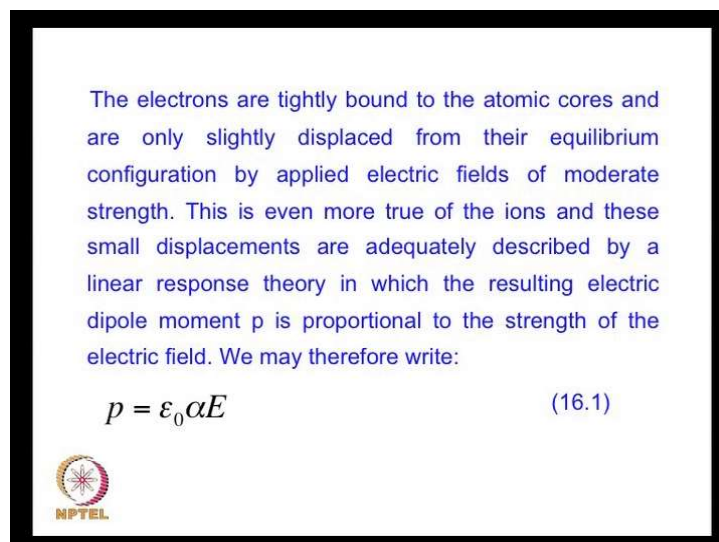
Today, we will talk about insulators are dielectrics now many of the properties have to dielectrics such as electric polarization dispersion, and absorption of electromagnetic radiation by insulating materials dielectric loss dielectric break down, ferroelectric phase transition, piezoelectricity etcetera can be explained almost without reference to the atomic and molecular properties.

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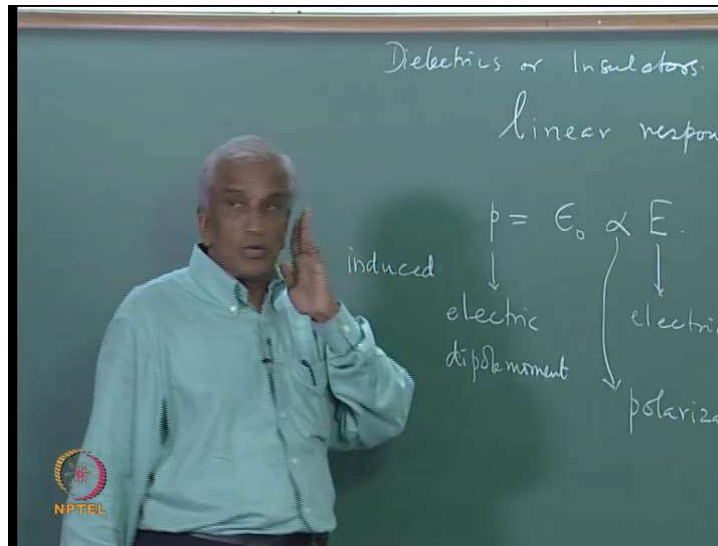
And the point of view of practical applications, they insulators are dielectric constitute an important class of condensed matter. The connection between dielectric and optical behavior as we will see of such electrically insulating materials also makes them interesting from the point of view of modern areas such as non-linear optics which is a particularly important modern topic of considerable theoretical and practical importance dielectric comprise systems. In which atoms and molecules have closed on nearly closed shells structure for electrons. And therefore, they represent another extreme limit of electronics structure in contrast with metals in which conduction electrons are free.

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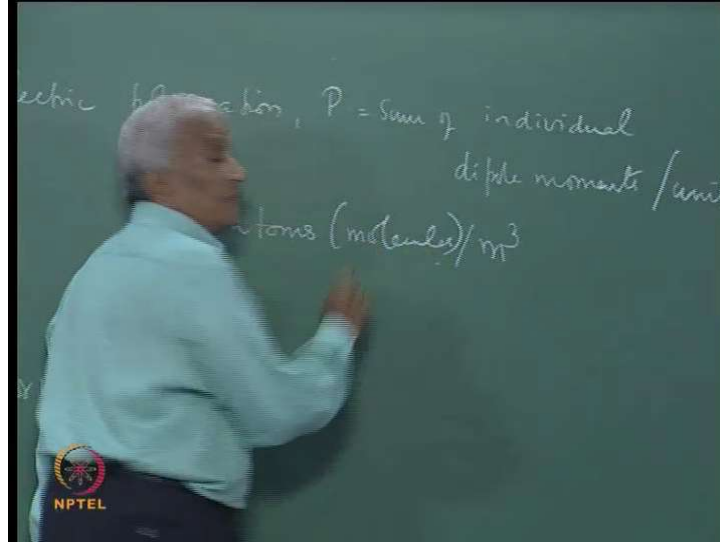
The electrons in dielectric materials are tightly bound to the atomic core and are only slightly displaced from that equilibrium configuration by applied electric fields of moderate strength, this is even more true of ions and these smaller displacements are adequately described by a linear response theory.

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In which the linear response theory assumes that the electric dipole moment which results from the application of an electric field of moderate strength is proportional to the strength of the electric field. Therefore, if p is electric dipole moment. We can write $p = \epsilon_0 \alpha E$ now here E is the electric field p is electric dipole moment and α is the constant of proportionality is known as polarizability. The atom or molecule that is a constant which is measured in units of ϵ_0 the permittivity of free space. So, this p is the induced dipole moment induced by the applied electric field. So, dielectric polarization this is the individual dipole moment induced per atom or molecule.

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Dielectric polarization capital P is the vector sum of the individual dipole moments some of individual dipole moments and usually defined per unit volume of the material. So, if there are n such atoms are molecules n atoms or molecules per meter cube.

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where p is the induced dipole moment, ϵ_0 is the so-called dielectric permittivity of space which has the value $8.84 \times 10^{-12} \text{ F/m}$ and α is known as the polarizability.

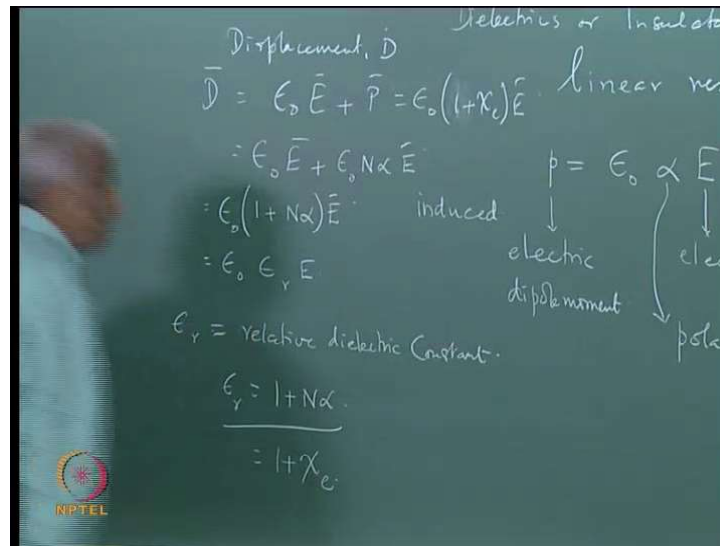
The dielectric polarization P is the (vector) sum of the induced dipole moments (linear response).

The dielectric susceptibility, χ_e , is given by:

$$P = \epsilon_0 \chi_e E \quad (16.2)$$


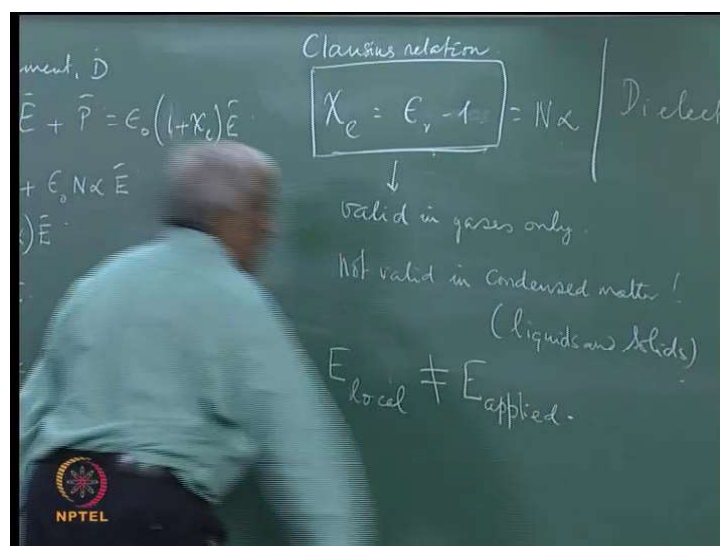
So, and then polarization is also related to the applied electric field by the so-called electric susceptibility therefore, combining these relations we get immediately χ_e .

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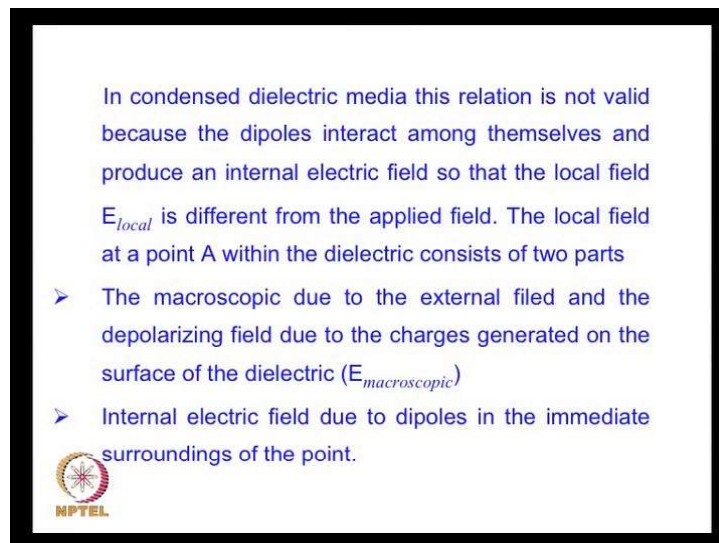
There is an important vector call the displacement D which is be defined by d equals epsilon naught e plus p. Therefore, plugging our epsilon naught e plus p is epsilon naught epsilon naught n alpha e therefore, I have epsilon naught times one plus n alpha times e and this is usually defined as epsilon naught epsilon naught e where epsilon r is the relating dielectric constant. So, that we arrive at epsilon r equal to one plus n alpha and and we also known that p is x I e epsilon naught x I e. Therefore, we can write here epsilon naught xi e one plus x I e times e. So, comparing this and this we also get one plus x I e.

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Therefore we get χ_e is equal to $\epsilon_r - 1$ of course, this is relation which is valid only in gases. In this case, we assume that what we are applying here is the same as the field is seen by the atom or molecule this assumption is not valid in condensed matter such as liquids and solids. So, this relationship between the electric susceptibility and the dielectric constant, why are these so, χ_e also equal to $n^2 - 1$. So, this has been verified to a great extent in many gases, but in a condensed material like a solid dielectric this assumption this relation is not valid this is known as the Clausius relation this is not valid because the dipoles which are present the atomic or molecular dipole interact themselves. There is dipole-dipole interaction, which produces internal electric fields. So, the local electric field seen by a solid or liquid atom or molecule is different from the field which is actually applied, so the local field differs. So, E_{local} is not equal to $E_{applied}$ that is the reason why this relationship is not valid how does it differ?

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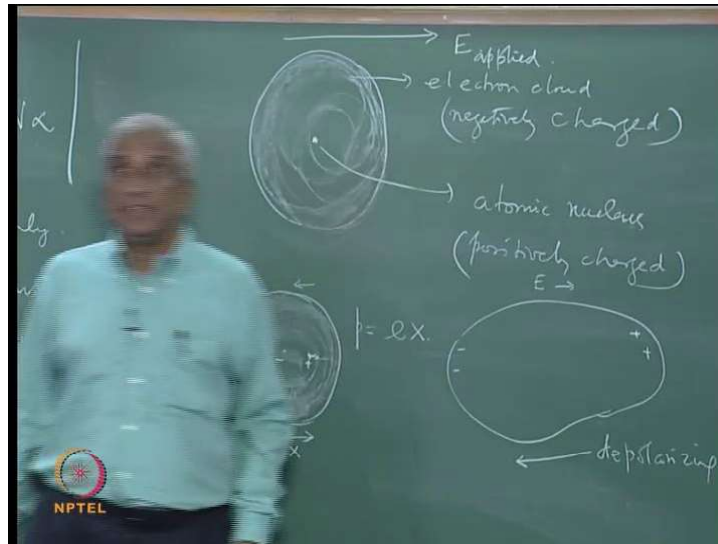
In condensed dielectric media this relation is not valid because the dipoles interact among themselves and produce an internal electric field so that the local field E_{local} is different from the applied field. The local field at a point A within the dielectric consists of two parts

- The macroscopic field due to the external field and the depolarizing field due to the charges generated on the surface of the dielectric ($E_{macroscopic}$)
- Internal electric field due to dipoles in the immediate surroundings of the point.



Now, the local electrical field as two contributions, let us look at the process of polarization carefully.

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So, I have an atom in which there is an electronic clouds uniform charge density of electron and there is a central nucleus now this is a spherical atom we are assuming it to spherical atom to keep the discussions simple such that centre's of positive and negative electric charge is the electron clouds negatively charged and this is the atomic nucleus which is positively charged and these two are equals wire. So, that is atom or molecule is electrically neutral to start with the centre of the negative charge distribution coincides with the central positive atomic charge.

Therefore, negative and positive charge that coincide and therefore, there is no dipole moment to start with, but when you apply electric field say this along this direction then the the negative electronic clouds moves away. So, this is the way the electronic clouds is displaced in this direction from the original position we assumed that it is a rigid displacement without any distortion. So, that this moves like this and the positive nucleus moves a little bit this is the nuclear charge.

So, this is displays to much smaller extent because the nucleus is much more massive therefore, it get displays to a much smaller extent, but still what is happening is the central of the negative charge and deposited charge here there is the relative displacement and this is what gives you a net dipole moment which is induced, and it is present only as long as the electric field this existing the moment you remove the electric field is dipole moment will also vanish because the everything will relax back to the

original situation. So, this is really induced dipole moment. So, this is the mechanism of polarization. So, what really happened is that you have in a microscope dielectric you have positively charged going in one way and the negative charge is going in another way in the presence of an applied electric field. So, this is what gives you a net electric polarization, but because of this displacement there is also an electric field due to the presence of the positive and negative charges here. So, that gives you an internal electric field which depolarizes the original field because it is acting in the opposite direction from the positive to the negative charge. So, this is the direction of the depolarizing field.

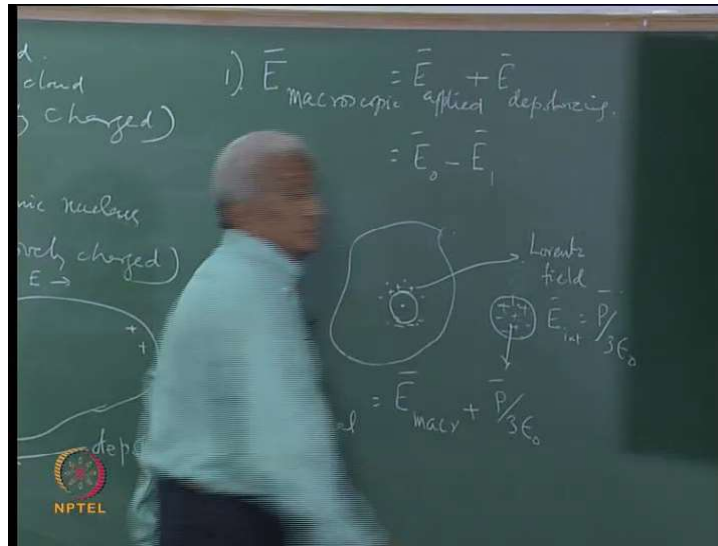
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$$\vec{E}_{\text{macroscopic}} = \vec{E}_{\text{applied}} + \vec{E}_{\text{depolarizing}}$$

$$= \vec{E}_0 - \vec{E}_1$$

So, the macroscopic electric field due to the applied field and depolarizing field due to the charges, which have been produced on the surface. And the dielectric that gives you a net macroscopic electric field which is the sum of the applied vector sum and the depolarization, but in addition to that there is an interaction among the dipoles as I told you which produces another contribution to this internal local field. Now this therefore, let us call it E_0 since this is opposing the applied field let us call it E_1 . If you have an ellipse solid specimen, if you are dielectric is in the shape of an ellipse solid, this depolarizing field can be shown to vanish. But in all other geometries in the specimen shape is different from an ellipse solid Debye depolarizing field is not vanishes, but even when it is not zero it can be determined for standard geometries such as a rectangular specimen or a long thin rod and so on. So, we can find the depolarizing field and add iteratively to it to obtain the macroscopic electric field.

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So, this is the first part the second part is due to the dipoles which are in the immediate neighborhood of suppose you have a dielectric and you have a given atomic dipoles sum were here it its immediate neighborhood there are other dipoles. So, these are all different dipoles. So, these dipoles interact with this dipoles and therefore, we have de pole de pole interaction which produce and internal electric fields this situation can be considered quantitatively by considering spherical cavity we assume that we scot out dielectric material of course, a spherical plug and their four now you have charges on this surface this cavity.

So, these charges on the surface will produce an electric field and then you have scooped out this material, but it within this cavity there are dipoles. So, these produced an internal electric field this electric field is known as the Lorentz field who calculated its value and it can be shown that this field is e internal is p by three epsilon naught for sphere and then this this is due to the surface charge. And in addition this, field due to the charges in this spherical cavity can be shown to be zero for a cubic material. So, we have all these various contribution and the macroscopic electric field e local the local electric field is e macroscopic p by three epsilon naught. So, it is this local electric field which is seen by the atom or molecule the dipole and therefore, this is what we have two here right here.

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$$\bar{p} = \epsilon_0 \alpha \bar{E}_{local}$$

$$\bar{P} = \epsilon_0 N \alpha \bar{E}_{local}$$

So, the individual dipole is now dipole moment is epsilon naught alpha e local where e local is given by this therefore, correspondingly p also will change accordingly. So, p is n alpha e local. So, let the substitute this value of pin c what we get.

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$$\bar{D} = \epsilon_0 \epsilon_r \bar{E}_{applied}$$

$$\bar{D} = \epsilon_0 \bar{E} + \bar{P}$$

$$\bar{P} = \epsilon_0 N \alpha \left(\bar{E}_{macro} + \frac{\bar{P}}{3\epsilon_0} \right)$$

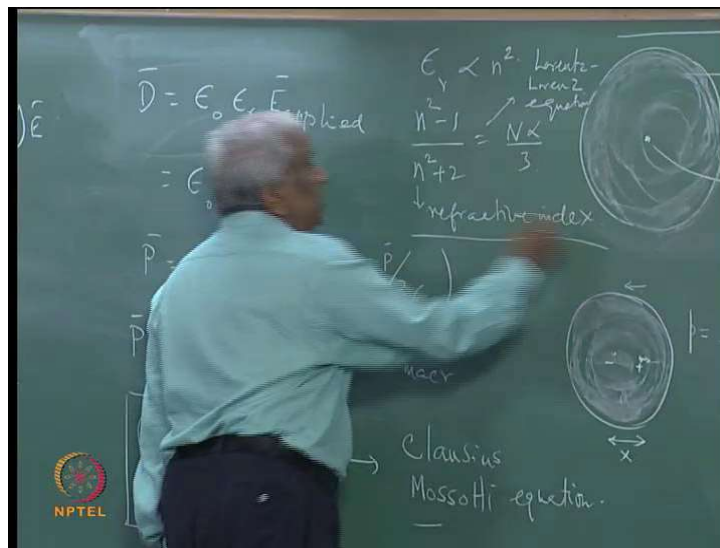
$$\bar{P} \left(1 - \frac{N\alpha}{3} \right) = \epsilon_0 N \alpha \bar{E}_{macro}$$

$$\frac{(\epsilon_r - 1)}{(\epsilon_r + 2)} = \frac{N\alpha}{3} \rightarrow \text{Clausius-Mossotti}$$

So, we will get d is epsilon naught epsilon r e applied and this is equal to epsilon naught e plus p. So, this will give me a relation p will be now epsilon naught n alpha into e local which is e macroscopic plus p three epsilon naught. So, collecting the p terms there is a together p times one minus epsilon naught n alpha by three epsilon naught cancels equals

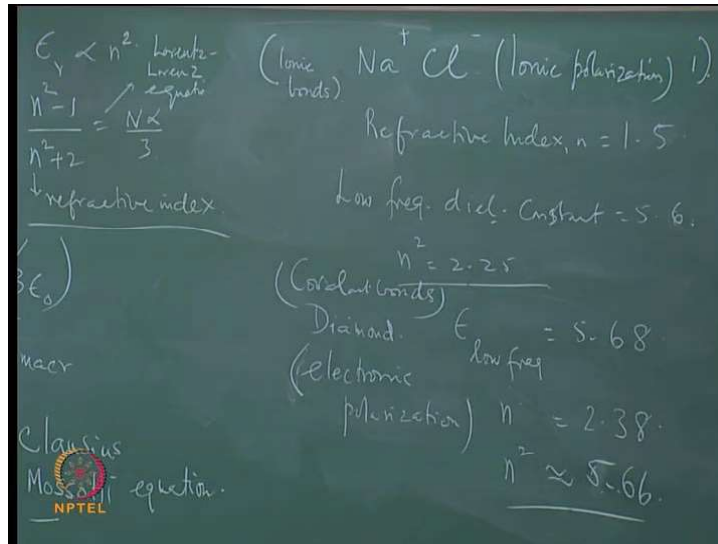
epsilon naught alpha e macroscopic. So, because of this we get a modification in the Clausius relation between dielectric constant. All these are and the and this therefore, we can show straightforward to show that epsilon or minus one by epsilon on plus two equals n alpha by three this is the relation which replaces the Clausius relation for a gas the case of a solid or liquid dielectric this relation is known as the Clausius Mossotti equation. So, the presence of internal electric field produces this term in the denominator that is all this is change.

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And since the relative dielectric constant is proportional to the square or therefore, we can also write this relation the Clausius relation in this form where n is the refractive index say an optical frequencies. So, in this form this is known as the Lorentz equation. So, these are the basic equations which govern the relative dielectric constant in the refractive index in the case of condensed matter in the case of solids, so this situation.

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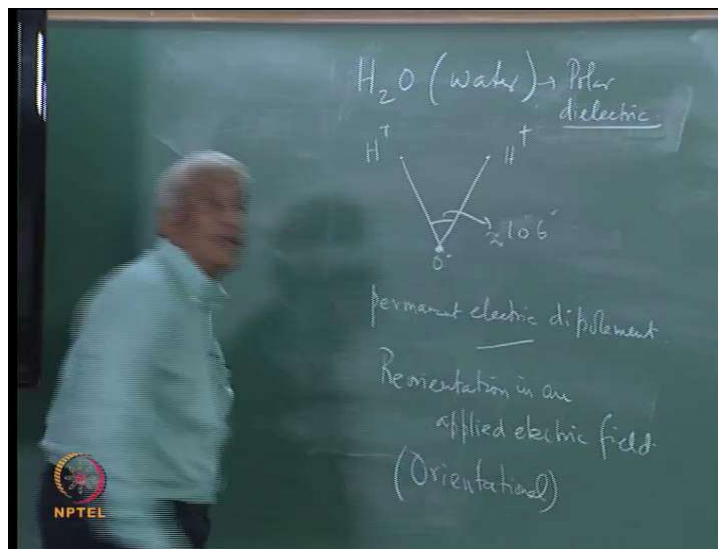


So, for example, if you take a material like sodium fluoride common salt, so this as a refractive index n which is one point five whereas, this low frequency dielectric constant are starting dielectric constant is 5.5, 5.6. So, if you require the refractive index n require it is only 2.25, so in going from low frequency carrier-high to a frequency the value of dielectric constant as comedown. Whereas, the case of material diamond in which the bonds are stronger therefore, the low frequency dielectric constant low frequency dielectric constant is five point six eight the refractive index at optical frequencies two point two three eight. So, require is almost close to the epsilon. So, there is not. So, much difference n require is 5.66. So, you can see that in the case of diamond polarization mechanism is entirely electronic the electrons contribute polarization whereas, in the case of sodium chloride you have sodium ions and chlorine ions forming alternate alternatively occupying a cubic lattice. So, these ions also have dipole moments. So, there is an ionic polarization in addition to the electronic polarization only.

So, these are all readily how the electrons this start to produce give rise to here dipole moment that is the electron is polarization mechanism. So, we have two different polarization mechanism one of electron due to the displacement and the electronic cloud and the other it is due to displacement at the positive and negative ion as in allowing. So, when both are simultaneously present then the ions being massive are not able follow the frequency variations such as high frequencies therefore, ionic polarization drops of become decreases as the frequency increase.

So, at high-frequency the electronic contribution alone remains whereas, at low frequencies both the ionic and electronic contribution give rise to a large dielectric constant. In the case of diamond it is exclusively electronic polarization there are no ions there is a covalent solid covalent bonds in the case of diamond whereas, here these are ionic bonds. So, when there are covalent bond it is only electronic polarization which contributes to the polarization, dielectric polarization and hence it is the dielectric constant when you consider material like water water as in addition to these the water molecule as a very large dipole moment ordinary water.

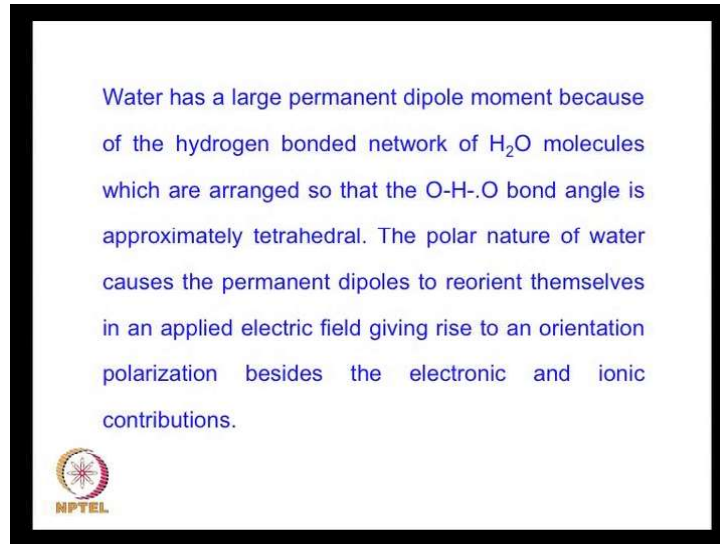
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So, it has an oxygen and two hydrogen's. So, this is the structure as the water molecule. So, the o h o bond angle is approximately tetrahedron something like hundred six degree approximately. So, this has a net permanent dipole moment it is because to have the positive and negative charges do not coincide and therefore, there is a net dipole moment even in the absence of an electric dipole electric field unlike the case of the spherical atoms which we saw in the case of electronic cloud.

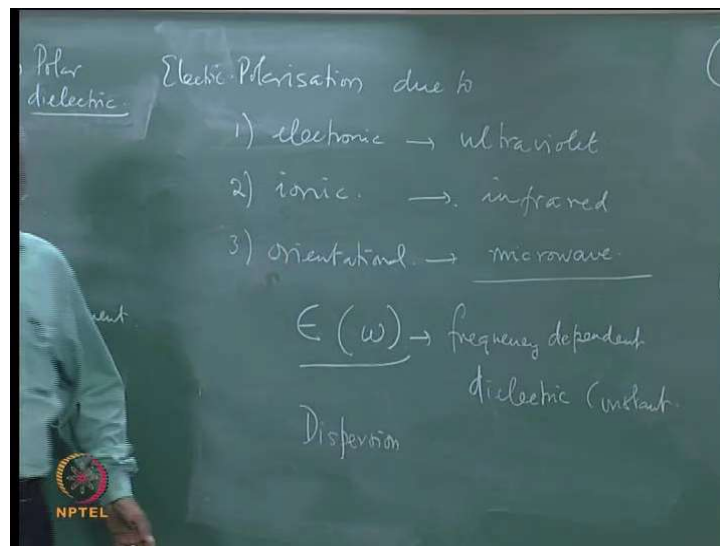
So, this is known as the polar molecule polar dielectric which is an inherent non vanishing dipole moment and these dipoles due to the water molecules tend to reorient themselves in an applied field. So, reorientation in an applied electric field. So, this gives you in addition to the ionic and electronic contribution this gives us an additional orientation all contribution to the polarization and then and the dielectric constant.

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So, you have in all three contributions arising from different mechanism to the net direct polarization and Hensley dielectric constant.

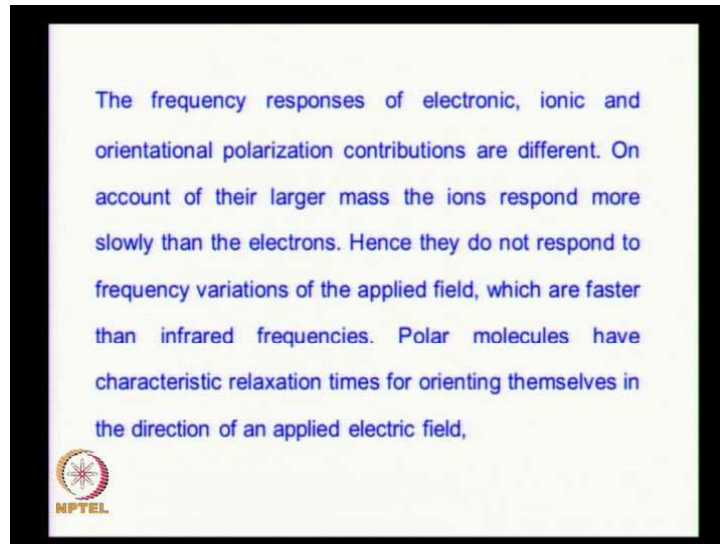
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What are the polarization electric polarization due to one an electronic polarization two and ionic contribution and three orientation all contribution in general all three will be present for example, in the case of the water molecule, but when there are no permanent dipole moments the electric field induces only electronic ionic contributions the ionic contribution is there is only when there are ions which get displays and therefore, give

you a polarization otherwise when there are no ions the structure like that of diamond the electric polarization mechanism is completely electronic.

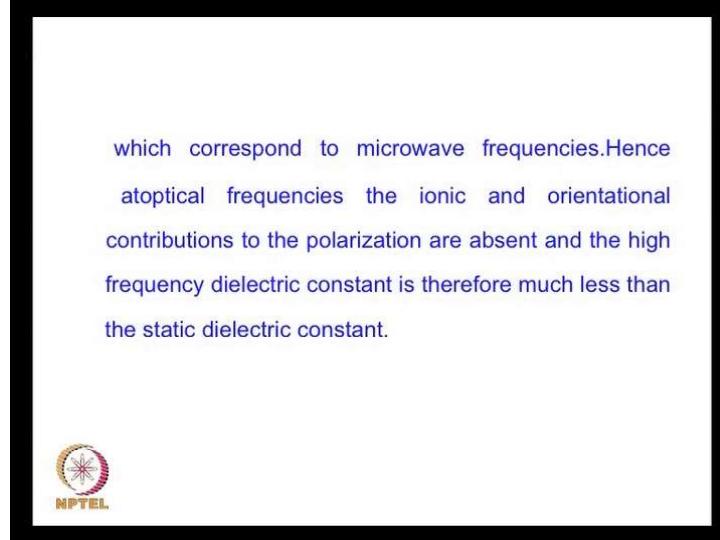
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So, these are even among dielectric there are different kinds of dielectric and since the electrons respond rapidly to even rapid frequency variation of the applied electric field the electronic contribution continues very high frequencies of the applied electric field. Therefore, ions being more massive they are unable to follow the rapid field variation of a certain frequency therefore, this ionic contributions are present only at will relatively low frequency similarly the orientation and the permanent dipole I gain happen at a relatively lower frequencies at the applied electric field.

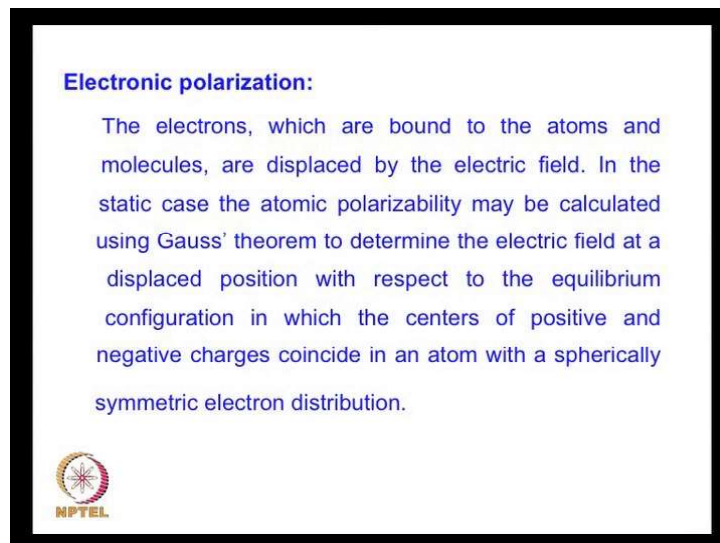
So, the electronic contribution is usually the ultraviolet range ultraviolet frequencies the ionic contribution are in the infrared range and this is orientation contribution occurs the microwave frequency. So, as you change the frequency at the applied electric field and go through micro-wave infrared and ultraviolet ranges of frequencies these various contribution will give rise to polarization and n contribute to the dielectric constitute.

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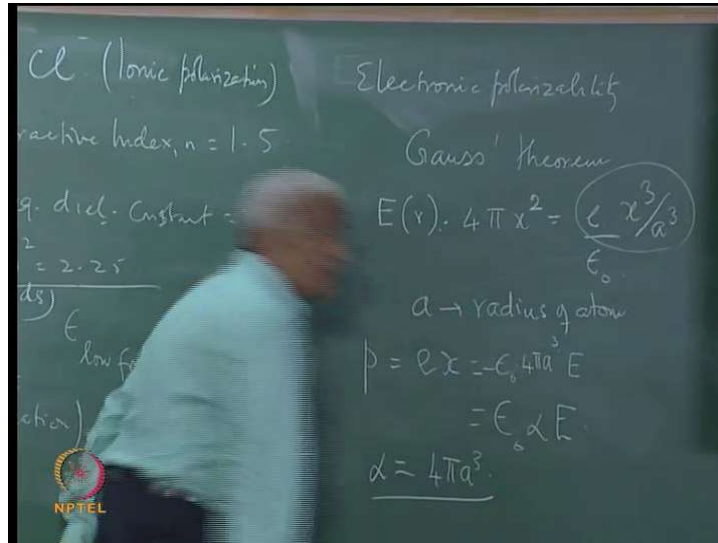
So, the dielectric constant is frequency dependent and it is frequency dependence which gave rise to a frequency dependent refractive index because ϵ is proportional to n^2 and therefore, refractive index determines the phase velocity of electromagnetic wave in any given dielectric medium therefore, you can see that the phase velocity changes and this is what we know as dispersion. So, the phenomena of dispersion give rise to dispersion which arises from the frequency dependence of the dielectric constant.

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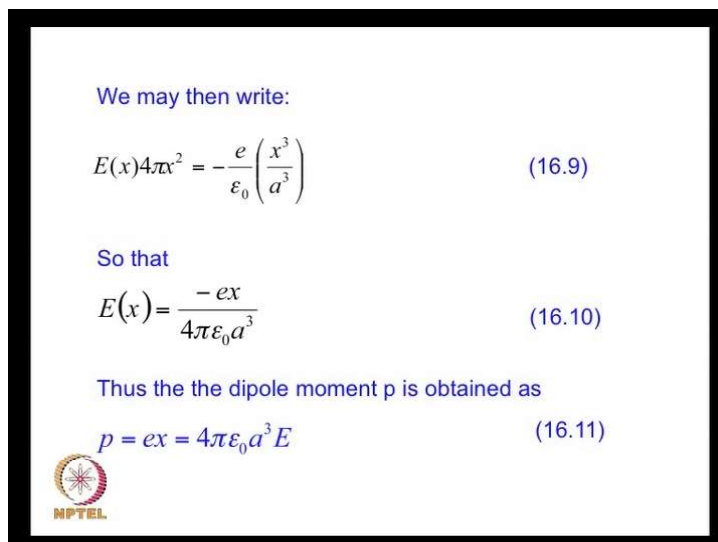
Now, let us consider this electronic polarization mechanism in a little detail when we already saw that the electrons in a dielectric material or bound to the parent atoms and molecules under displaced by the electric field. So, in the static limit when the electric field is a static electric field the atomic polarized ability can be calculated using cause theorem.

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So, we assume a spherical atom and we apply Gauss theorem it is well-known in electrostatics.

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So, if we consider Gauss theorem we can write $E = \frac{q_{enc}}{4\pi\epsilon_0 r^2}$ for a spherical atom the electric field is radially directed that is why I am writing times four pi x cube where x is the relating displacement between the electron and the nuclear charges. Therefore, this will be this will be the electric field and this is Gauss theorem giving you the flux density the electric field and this is given by the charge divided by epsilon naught. Now if the charge electronic charges is distributed over an atom of radius a a is the radius at the atom. Then the electronic charge is sphere over a volume four third pi a cube of which we want to calculate the fraction of this charge intersected by spherical region of radius x therefore, this will be fourth third pi x cube.

Therefore, that is how you get the fourth third pi cancels leaving you this fraction this is the fractional as the electronic charge which is enclosed. And therefore, this gives me the $E = \frac{q_{enc}}{4\pi\epsilon_0 r^2}$ as $E = \frac{e}{4\pi\epsilon_0 a^3} \times \frac{4\pi x^3}{3}$ times epsilon naught into for pi a cube with a minus sign in order to show the moment as the negative sign the charges negative. So, the dipole moment this is the induced dipole moment. So, this gives me comparing it with epsilon naught alpha e where alpha is polarized ability we arrive at polarized ability as four pi a cube. So, the polarized ability at the atom a spherical atom is simply four pi times the cube of the atomic radius the polarizability is proportional to the atomic volume let us now consider we will consider in the next discussion what happens when we go from a static field to be a frequency dependency in applied alternating field we will consider this.

UNIT-III-Dielectric and Magnetic properties of materials

Syllabus:

Dielectric constant and polarization of dielectric materials - Types of polarization – Equation for internal field in liquids and solids (one dimensional) – Clausius Mosotti equation – Ferro and Piezo electricity (qualitative) – Frequency dependence of dielectric constant- Important applications of dielectric materials.

Classification of dia, para and ferromagnetic materials. Curie Temperature Hysterisis in ferromagnetic materials. Soft and Hard magnetic materials. Applications.

Introduction

Dielectrics are insulating or non-conducting ceramic materials and are used in many applications such as capacitors, memories, sensors and actuators. Dielectrics are insulating materials that exhibit the property of electrical polarization, thereby they modify the dielectric function of the vacuum. A dielectric material is any material that supports charge without conducting it to a significant degree. In principle all insulators are dielectric, although the capacity to support charge varies greatly between different insulators. Although these materials do not conduct electrical current when an electric field is applied, they are not inert to the electric field. The field may cause a slight shift in the balance of charge within the material to form an electric dipole.

Thus the materials is called dielectric material.

Dielectric materials are used in many applications, from simple electrical insulation to sensors and circuit components.

Faraday was carried out the first numerical measurements on the properties of insulating materials when placed between the two parallel plates (capacitor), those materials, he called as dielectrics. He has found that the capacity of a condenser was dependent on the nature of the material separating the conducting surface. This discovery encouraged further empirical studies of insulating materials aiming at maximizing the amount of charge that can be stored by a capacitor. In search of suitable dielectric materials for specific applications, these materials have become increasingly concerned with the detailed physical mechanism governing the behavior of these materials.

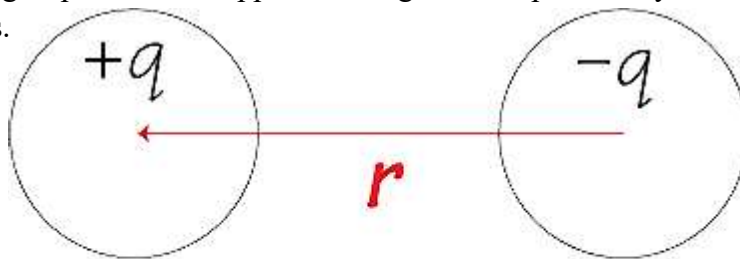
The difference between dielectric material and insulator depends on its application. Insulating materials are used to resist flow of current through it, on the other hand dielectric materials are used to store electrical energy. In contrast to the insulation aspect, the dielectric phenomena have become more general and fundamental, as it has the origin with the dielectric polarization.

Electric dipoles:

Upon application of a dc or static electric field, there is a long range migration of charges. However, there is a limited movement of charges leading to the formation of charge dipoles and the material, in this state, is considered as polarized. These dipoles are aligned in the direction of the applied field. The net effect is called Polarization of the material.

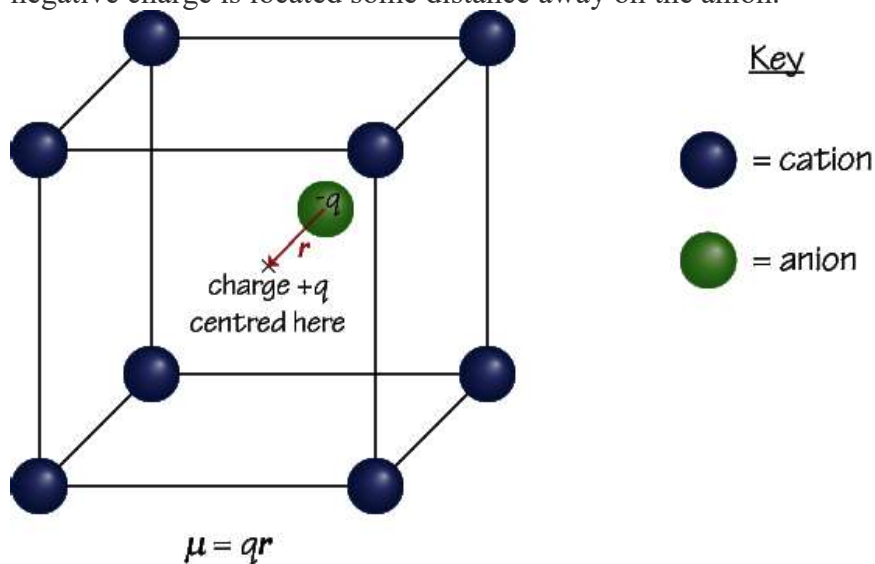
A dielectric supports charge by acquiring a polarisation in an electric field, whereby one surface develops a net positive charge while the opposite surface develops a net negative charge. This is made possible by the presence of electric dipoles – two opposite charges separated by a certain distance – on a microscopic scale.

1. If two discrete charged particles of opposite charges are separated by a certain distance, a dipole moment μ arises.



$$\mu = qr$$

2. If the centre of positive charge within a given region and the centre of negative charge within the same region are not in the same position, a dipole moment μ arises. For example, in the diagram below the centre of positive charge from the 8 cations shown is at X, while the centre of negative charge is located some distance away on the anion.



The second view of dipole moment is more useful, since it can be applied over a large area containing many charges in order to find the net dipole moment of the material.

The dipoles can be aligned as well as be induced by the applied field.

Note that in the equation for dipole moment, \mathbf{r} is a vector (the sign convention is that \mathbf{r} points from negative to positive charge) therefore the dipole moment $\boldsymbol{\mu}$ is also a vector

Electric field intensity or electric field strength (E)

The force experienced by a unit test charge is known as electric field strength E

$$E = \frac{Q}{4\pi\epsilon r^2} \dots\dots(1)$$

where ϵ is the permittivity or dielectric constant of the medium in which electric charge is placed. For vacuum $\epsilon = \epsilon_0 = 8.854 \times 10^{-12} \text{Fm}^{-1}$

Electric flux density or electric displacement vector (D)

The electric flux density or electric displacement vector is the number of flux lines crossing normal to a unit surface area. The electric flux density at a distance from the point charge Q is

$$D = \frac{Q}{4\pi r^2} \dots\dots(2)$$

then from (1) and (2) $D = \epsilon E \dots\dots (3)$

Dielectric constant (ϵ_r)

The dielectric constant of a material is defined as the ratio of the permittivity of the medium (ϵ) to the permittivity of free space (ϵ_0). It can also defined as the ratio of the capacitance with dielectric (C_d) and with air (C_A) between the plates.

$$\epsilon_r = \frac{\epsilon}{\epsilon_0} = \frac{C_d}{C_A} \dots\dots(4)$$

Capacitance: The property of a conductor or system of conductor that describes its ability to store electric charge.

$$C = q / V = A \epsilon / d \quad \text{where}$$

- C is capacitance of capacitor
- q is charge on the capacitor plate
- V is potential difference between plates
- A is area of capacitor plate
- ϵ is permittivity of medium
- d is distance between capacitor plates

Units: Farad .

Polarization

When an electric field is applied to a material with dielectrics, the positive charges are displaced opposite to the direction of the field and negative charges displaced in the direction of the field. The displacement of these two charges create a local dipole, creation of dipole by applying electric field is called as polarization.

Polarization is defined as induced dipole moment per unit volume.

$$P = \frac{\mu}{\text{Volume}} \quad \dots(5)$$

Polarisability

The polarization P is directly proportional to the electric field strength E

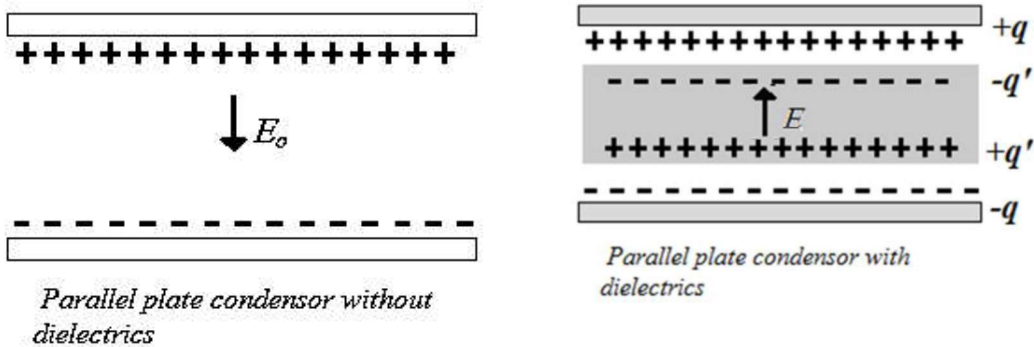
$$P \propto E$$

$$P = \alpha E \quad \dots(6)$$

Where α proportionality is constant called as polarisability. The polarisability is defined as polarization per unit applied electric field. If the material contains N number of dipoles per unit volume then

$$P = N\alpha E \quad \dots(7)$$

Relation between polarization and dielectric constant



Let us apply Gauss theorem for parallel plate condenser.

$$\int E_0 dA = \frac{q}{\epsilon_0}$$

$$E_0 A = \frac{q}{\epsilon_0}$$

$$E_0 = \frac{q}{A\epsilon_0} = \frac{\sigma}{\epsilon_0} \quad \dots(1)$$

Where σ is the charge per unit area.

Let a dielectric slab placed between two plates. Due to polarization, charges appear on the two faces of the slab, and establish yet another field within the dielectric media. Let this field be E' . The direction of E' will be opposite to that of E_0 .

The resultant field E in the material can be written as,

$$E = E_0 - E' \quad \dots\dots\dots(2)$$

If σ_p is the charge/unit area on the inserted dielectric slab surfaces, then by following equation (1), we write,

$$E' = \frac{q'}{A\epsilon_0} = \frac{\sigma_p}{\epsilon_0} \dots\dots\dots(3)$$

From (1), (2) and (3),

$$E = \frac{\sigma}{\epsilon_0} - \frac{\sigma_p}{\epsilon_0}$$

or

$$\epsilon_0 E = \sigma - \sigma_p \dots\dots\dots(4)$$

Since the magnitude of polarization $P =$ dipole moment/ Unit Volume

But dipole moment = induced charge X distance

Therefore

$$P = \text{induced charge/ Area} = \sigma_p$$

We know that electric displacement field or electric flux density D is given by charge /unit area

$$D = q/A = \sigma$$

Therefore Equation 4 becomes

$$\epsilon_0 E = D - P$$

$$P = D - E\epsilon_0 \dots\dots(5)$$

in free space where there is no dielectric $P=0$

$$D = E\epsilon_0$$

But in dielectric media the D changes. From electrostatics

$$D = \epsilon_0 \epsilon_r E$$

From (3)

$$P = E\epsilon_0 \epsilon_r - E\epsilon_0$$

$$P = E\epsilon_0 (\epsilon_r - 1) \dots\dots\dots(6)$$

$$(\epsilon_r - 1) = \frac{P}{E\epsilon_0} = \chi$$

Where χ is electric susceptibility of the dielectric medium. It doesn't have any units.

Since P and E are vectors eqn (6) can be written as

$$\vec{P} = \vec{E}\epsilon_0(\epsilon_r - 1) \dots(7)$$

This equation represents polarization vector.

Types of polarization

Dielectric polarization is the displacement of charge particles with the applied electric field. The displacement of electric charges results in formation of electric dipole moment in atoms, ions or molecules of the material. There are four different types of polarization, they are listed below.

1. Electric polarization,
2. Ionic polarization,
3. Orientation polarization
4. Space charge polarization

Electric polarization

The displacement of the positively charged nucleus and the negatively charged electrons of an atom in opposite directions, on application of an electric field, result in electronic polarization.

On applying a field, the electron cloud around the nucleus shifts towards the positive end of the field. As the nucleus and electron cloud are separated by a distance, dipole moment is created within each atom. The extent of this shift is proportional to the field strength.

Induced dipole moment

$$\mu_e \propto E$$

$$\mu_e = \alpha_e E$$

Where α_e is called electronic polarizability. The dipole moment per unit volume is called electronic polarization.

- It increases with increase of volume of the atom.
- This kind of polarization is mostly exhibited in monoatomic gases.(e.g. He, Ne, Ar, Kr, Xe etc..)

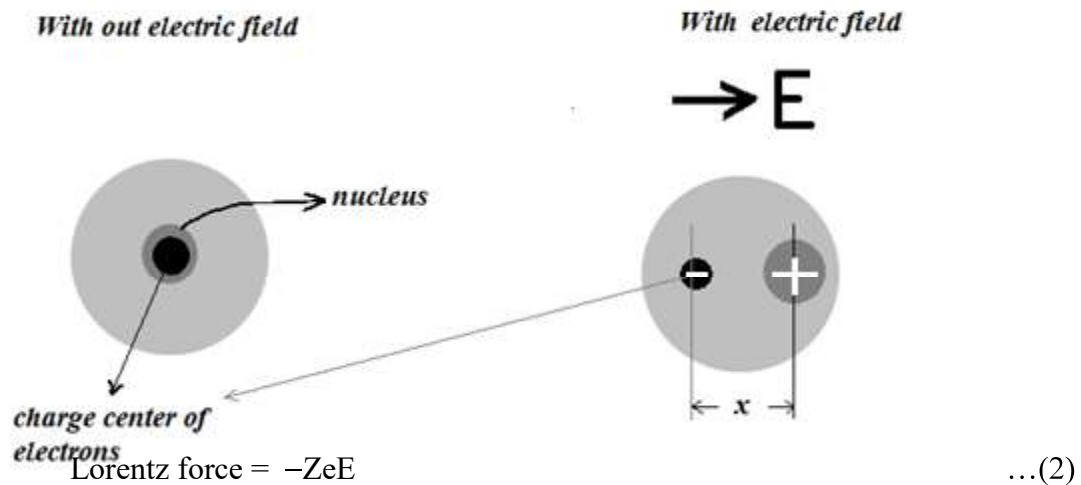
- It is independent of temperature.
- It occurs only at optical frequencies (10^{15} Hz)
- Vast fast process: $10^{-15} \sim 10^{-16}$ s.

Calculation of electronic polarizability:

Electronic polarization can be explained by classical model of an atom in gasses. In gases the atoms are assumed that the interaction among the atoms is negligible. Here the nucleus of charge Ze is surrounded by an electron cloud of charge $-Ze$ distributed in the sphere of radius R .

$$\text{Charge density, } \rho = -\frac{Ze}{(4/3)\pi R^3} \quad \dots(1)$$

When an electric field E is applied, the nucleus and electrons experience Lorentz force of magnitude ZeE in opposite direction. Therefore the nucleus and electrons are pulled apart. As they are pulled apart a Coulomb force develops between them. At equilibrium these two forces are equal and nucleus and electron cloud are separated by a small distance x .



$$\text{Coulomb Force} = Ze \times \frac{\text{Charg eenclosed int hesphereofradius } x}{4\pi\epsilon_0 x^2}$$

The charge enclosed = $\frac{4}{3}\pi x^3 \rho$

$$\begin{aligned} \text{From equation (1)} &= \frac{4}{3}\pi x^3 \left[-\frac{3}{4} \left(\frac{Ze}{\pi R^3} \right) \right] \\ &= -\frac{Zex^3}{R^3} \end{aligned}$$

$$\text{Hence Coulomb force is} = \frac{Ze}{4\pi\epsilon_0 x^2} \times \frac{Zex^3}{R^3} = -\frac{Z^2 e^2 x}{4\pi\epsilon_0 R^3} \dots(3)$$

At equilibrium Lorentz force = Coulomb force (equation (2) equal to (3))

$$-ZeE = -\frac{Z^2 e^2 x}{4\pi\epsilon_0 R^3}$$

$$x = -\frac{4\pi\epsilon_0 R^3 E}{Ze} \dots(4)$$

The displacement of the electron cloud is proportional to applied electric field.

$$\text{The electric dipole moment } \mu_e = Zex = \frac{Ze4\pi\epsilon_0 R^3 E}{Ze}$$

$$\mu_e = 4\pi\epsilon_0 R^3 E$$

$$\mu_e \propto E$$

$$\mu_e = \alpha_e E \dots(5)$$

Where $\alpha_e = 4\pi\epsilon_0 R^3$ is called electronic polarizability.

$$P_e = N\mu_e = N\alpha_e E$$

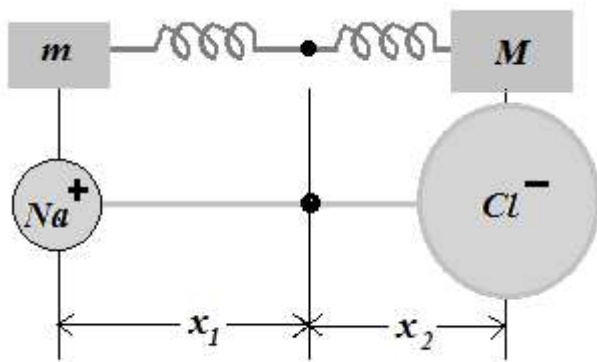
Where N is the number of atoms/m³

$$\text{But polarization } P = E\epsilon_0(\epsilon_r - 1) = N\alpha_e E$$

$$(\epsilon_r - 1) = \frac{N\alpha_e}{\epsilon_0} \text{ or } \alpha_e = \frac{(\epsilon_r - 1)\epsilon_0}{N}$$

Ionic Polarization

Ionic polarization occurs in ionic solids such as NaCl, KBr, and LiBr. When an electric field is applied to an ionic solid the positive and negative ions displace to their respective polarities creating an electric dipole this is called as ionic polarization.



In the absence of an electric field there is no displacement of ions. When an electric field is applied an induced dipole moment μ_i is produced.

Let x_1 and x_2 be the displacement of positive and negative ion respectively. Then the induced dipole moment.

$$\mu_i = e(x_1 + x_2) \quad \dots(6)$$

Let F be restoring force F

$$\begin{aligned} &\propto x_1 \\ &\propto x_2 \\ &= \beta_1 x_1 \\ &= \beta_2 x_2 \end{aligned}$$

From mechanics the spring constant of mass attached to a spring is given by $\beta = m\omega^2$

At equilibrium the Lorentz force = restoring force

$$eE = m\omega_0^2 x$$

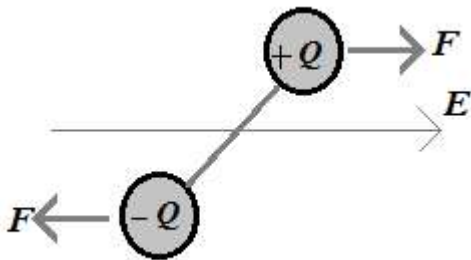
Therefore $x = \frac{eE}{m\omega_0^2}$ Then $x_1 = \frac{eE}{m\omega_0^2}$ $x_2 = \frac{eE}{M\omega_0^2}$

$$\mu = \frac{e^2}{\omega_0^2} \left[\frac{1}{m} + \frac{1}{M} \right] E = \alpha_i E \quad \dots(7)$$

Where $\alpha_i = \frac{e^2}{\omega_0^2} \left[\frac{1}{m} + \frac{1}{M} \right]$ is called as ionic polarisability ... (8)

Orientation Polarization

Orientation polarization occurs only in polar molecules (the molecules which have permanent dipole moment eg H₂O, Phenol, etc.). When an electric field is applied to a polar molecule, the dipoles experience a torque and try to align parallel to the applied field.



Consider a polar molecule subjected to an electric field E . The alignment of electric dipole with the electric field is similar to the alignment of magnetic dipole with the applied magnetic field in paramagnetic material.

The expression for polarization can be obtained from the theory of paramagnetism.

The orientation polarization is given as

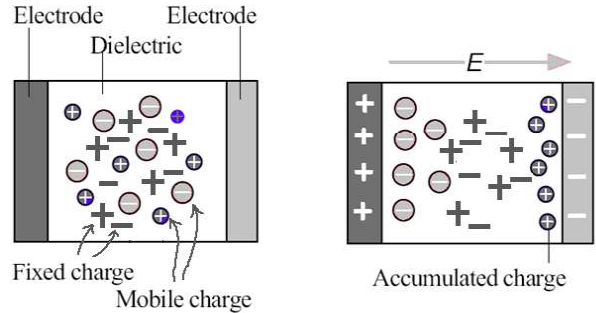
$$P_0 = \frac{N\mu_o^2 E}{3KT} = \alpha_o E \quad \dots(9)$$

Where $\alpha_o = \frac{\mu_o^2}{3KT}$ is called as orientation polarisability ... (10)

Space charge polarization

Space charge polarization occurs due to the accumulation of charges at the electrodes or at interfaces in a multiphase materials.

In the presence of an applied field, the mobile positive ions and negative ions migrate toward the negative electrode and positive electrode respectively to an appreciable distance giving rise to redistribution of charges, but they remain in the dielectric material (electrode is blocking). The space charge polarization can be defined as the redistribution of charges due to the applied electric field and the charges accumulate on the surface of the electrodes. It occurs when the rate of charge accumulation is different from rate of charge removal. Space charge polarization is not significant in most of the dielectric materials.



Internal field in liquids and solids (one dimensional)

In gases state the atoms are separated by large distances and the interaction between the atoms can be neglected. When an external electric field E is applied, the intensity of the electric field experienced by an atom in gases state will be equal to the applied electric field E .

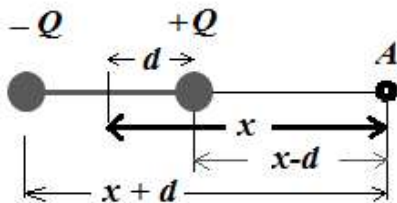
In solids and liquids, the atoms are close to each other leading to strong interaction between them. In solids and liquids the intensity of the electric field at a given point of the material is not equal to the applied electric field but equal to internal field which is the sum of applied electric field and field due to other dipoles present in the material.

$$\text{Internal field } E_i = E + E' \quad \dots(1)$$

The internal field can be calculated by Epstein model in the case of one dimensional atomic array.

Electric field along the axis of an electric dipole

Consider an electric dipole of length $2d$ and charge Q , the field along the axis of the dipole at point A is the sum of the electric field due to $+Q$ and $-Q$.



$$\text{The electric field due to } +Q \text{ at point A is } E^+ = \frac{Q}{4\pi\epsilon_0(x-d)^2}$$

$$\text{The electric field due to } -Q \text{ at point A is } E^- = \frac{Q}{4\pi\epsilon_0(x+d)^2}$$

$$\text{Electric field of dipole at A is } E_A = E^+ - E^- = \frac{Q}{4\pi\epsilon_0} \left[\frac{1}{(x-d)^2} - \frac{1}{(x+d)^2} \right]$$

$$= \frac{2Q}{4\pi\epsilon_0} \left[\frac{2dx}{(x-d)^2(x+d)^2} \right]$$

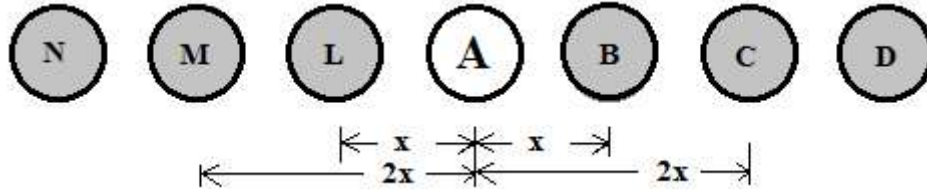
since $x \gg d$ $(x-d)^2 \approx (x+d)^2 \approx x^2$ then

$$= \frac{2Q}{4\pi\epsilon_0} \left[\frac{2dx}{x^4} \right] = \frac{4dQ}{4\pi\epsilon_0 x^3}$$

since $2dQ = \mu_i$

$$E_A = \frac{2\mu_i}{4\pi\epsilon_0 x^3} \quad \dots(2)$$

Consider an array of one dimensional atoms along x- axis. The all the atoms are similar, equally spaced and have induced electric dipole moment μ_i in an applied electric field E . The electric field experienced at the A is the sum of electric fields of other dipoles and applied electric field E .



The field at

the induced dipole B and L which are at a distance x is

$$E_B = E_L = \frac{2\mu_i}{4\pi\epsilon_0 x^3}$$

The electric field at A due to the induced dipole C and M which are at a distance $2x$ is

$$E_C = E_M = \frac{2\mu_i}{4\pi\epsilon_0 (2x)^3}$$

Therefore the field due to other dipoles is

$$E' = E_B + E_L + E_C + E_M + E_D + E_N + \dots \quad \dots(3)$$

$$E' = \frac{2\mu_i}{4\pi\epsilon_0 x^3} + \frac{2\mu_i}{4\pi\epsilon_0 x^3} + \frac{2\mu_i}{4\pi\epsilon_0 (2x)^3} + \frac{2\mu_i}{4\pi\epsilon_0 (2x)^3} + \frac{2\mu_i}{4\pi\epsilon_0 (3x)^3} + \frac{2\mu_i}{4\pi\epsilon_0 (3x)^3} + \dots$$

$$E' = \frac{4\mu_i}{4\pi\epsilon_0 x^3} + \frac{4\mu_i}{4\pi\epsilon_0 (2x)^3} + \frac{4\mu_i}{4\pi\epsilon_0 (3x)^3} + \dots$$

$$E' = \frac{\mu_i}{\pi\epsilon_0 x^3} \left[1 + \frac{1}{2^3} + \frac{1}{3^3} + \frac{1}{4^3} + \frac{1}{5^3} + \dots \right] \quad \text{where } 1 + \frac{1}{2^3} + \frac{1}{3^3} + \frac{1}{4^3} + \frac{1}{5^3} + \dots = 1.2$$

$$E' = \frac{1.2\mu_i}{\pi\epsilon_0 x^3}$$

$$\text{Therefore the internal field } E_i = E + \frac{1.2\mu_i}{\pi\epsilon_0 x^3} \quad \dots(4)$$

The local field in a three dimensional solid is similar the above equation the number density N of atoms replaces $1/a^3$. Since $N\mu_i=P$ and $1.2/\pi$ is replaced by γ . Then the internal field is

$$E_i = E + \frac{1.2N\mu_i}{\pi\epsilon_o} = E + \frac{1.2P}{\pi\epsilon_o} = E + \frac{\gamma P}{\epsilon_o}$$

γ depends on the internal structure For a cubic symmetry crystal γ value is $1/3$

$$E_i = E + \frac{P}{3\epsilon_o} \quad \dots(5)$$

The field given by the above equation is called Lorentz field.

Clausius - Mosotti equation

Let us consider elemental solid dielectric which exhibits only electronic polarization. If α_e is the electronic polarisability per atom, it is related to the bulk polarization P through the relation

$$P = N\alpha_e E_i \quad \dots(6)$$

$$\alpha_e = \frac{P}{NE_i} \quad \dots(7)$$

Where N is the number of atoms per unit volume and E_i is the local field using the relation (5)

$$\alpha_e = \frac{P}{N \left[E + \frac{P}{3\epsilon_o} \right]} \quad \dots(8)$$

By using the relation between the polarization and permittivity we have

$$P = E\epsilon_o(\epsilon_r - 1) \quad \dots(9)$$

$$E = \frac{P}{\epsilon_o(\epsilon_r - 1)} \quad \dots(10)$$

Substitute the value of E from (10) in (8)

$$\alpha_e = \frac{P}{N \left[\frac{P}{\epsilon_o(\epsilon_r - 1)} + \frac{P}{3\epsilon_o} \right]}$$

$$\frac{N\alpha_e}{\epsilon_o} = \frac{1}{\left[\frac{1}{(\epsilon_r - 1)} + \frac{1}{3} \right]} = \frac{1}{\left[\frac{\epsilon_r + 2}{3(\epsilon_r - 1)} \right]}$$

$$\frac{\epsilon_r - 1}{\epsilon_r + 2} = \frac{N\alpha_e}{3\epsilon_0} \quad \dots(11)$$

The above equation is known as Clausius Mosotti equation which is valid for nonpolar solids

Dielectric loss:

Dielectric loss is the dissipation of energy through the movement of charges in an alternating electromagnetic field as polarisation switches direction.

An efficient dielectric supports a varying charge with minimal dissipation of energy in the form of heat is called dielectric loss. There are two main forms of loss that may dissipate energy within a dielectric. In conduction loss, a flow of charge through the material causes energy dissipation.

Dielectric loss is especially high around the relaxation or resonance frequencies of the polarisation mechanisms as the polarisation lags behind the applied field, causing an interaction between the field and the dielectric's polarisation that results in heating. This is illustrated by the diagram below (recall that the dielectric constant drops as each polarisation mechanism becomes unable to keep up with the switching electric field.)

Dielectric loss quantifies a dielectric material's inherent dissipation of electromagnetic energy into, e.g., heat.

It can be represented in terms loss tangent $\tan \delta$ and is defined:

$$\tan \delta_e = \frac{\epsilon''}{\epsilon'}$$

Dielectric Breakdown : The dielectric breakdown is the sudden change in state of a dielectric material subjected to a very high electric field , under the influence of which , the electrons are lifted into the conduction band causing a surge of current , and the ability of the material to resist the current flow suffers a breakdown .

Or

When a dielectric material loses its resistivity and permits very large current to flow through it, then the phenomenon is called dielectric breakdown

Or

At high electric fields, a material that is normally an electrical insulator may begin to conduct electricity – i.e. it ceases to act as a dielectric. This phenomenon is known as dielectric breakdown.

Frequency dependence of polarizability:

On application of an electric field, polarization process occurs as a function of time. The polarization $P(t)$ as a function of time t is given by

$$P(t) = P[1 - \exp(-t/t_r)]$$

Where P – max. Polarization attained on prolonged application of static field. t_r - relaxation time for particular polarization process

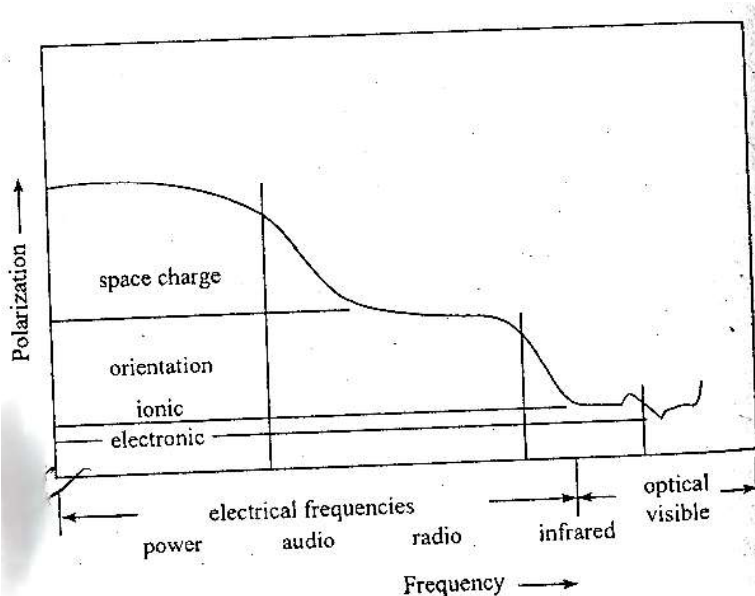
The relaxation time t_r is a measure of the time scale of polarization process. It is the time taken for a polarization process to reach 0.63 of the max. value.

Electronic polarization is extremely rapid. Even when the frequency of the applied voltage is very high in the optical range ($\approx 10^{15}$ Hz), electronic polarization occurs during every cycle of the applied voltage.

Ionic polarization is due to displacement of ions over a small distance due to the applied field. Since ions are heavier than electron cloud, the time taken for displacement is larger. The frequency with which ions are displaced is of the same order as the lattice vibration frequency ($\approx 10^{13}$ Hz). Hence, at optical frequencies, there is no ionic polarization. If the frequency of the applied voltage is less than 10^{13} Hz, the ions respond.

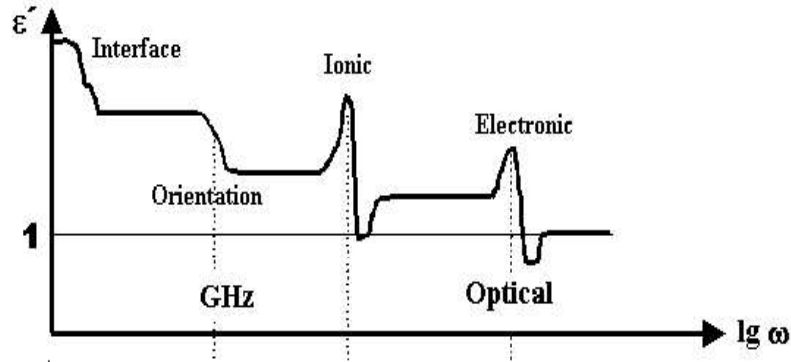
Orientation polarization is even slower than ionic polarization. The relaxation time for orientation polarization in a liquid is less than that in a solid. Orientation polarization occurs, when the frequency of applied voltage is in audio range (10^4 Hz).

Space charge polarization is the slowest process, as it involves the diffusion of ions over several interatomic distances. The relaxation time for this process is related to frequency of ions under the influence of applied field. Space charge polarization occurs at power frequencies (50-60 Hz).



Frequency Dependence of dielectric constant

When a dielectric material is subjected to an alternating field, the polarization component required to follow the field in order to contribute to the total polarization of the dielectrics. The relative permittivity which is a measure of the polarization also depends on the frequency. The dependence of ϵ_r on frequency of the electric field is shown in the figure.



At very low frequency, the dipoles will get sufficient time to orient themselves completely with the field and all types of polarization exist. Since the dielectric is characterized by polarisability α ($\alpha = \alpha_e + \alpha_i + \alpha_o$) at low frequency i.e at radiofrequency region the dielectric constant will be due to all polarisability.

The orientation polarization, which is effective at low frequencies, is damped out for higher frequencies. In the microwave region the dipoles fail to follow the field and the polarisability reduces to ($\alpha = \alpha_e + \alpha_i$), as a result ϵ_r decreases to some amount.

In the IR region the ionic polarization fails to follow the field so the contribution of ionic polarization dies away. In this region only electronic polarization contributes to the total polarization. Therefore ($\alpha = \alpha_e$) the ϵ_r still decreases and only electronic polarization exist.

We know that

$$P = N\alpha_e E = \epsilon_o (\epsilon_r - 1)E$$

Then the relative permittivity is
$$\epsilon_r = 1 + \frac{N\alpha_e}{\epsilon_o} \quad \dots(1)$$

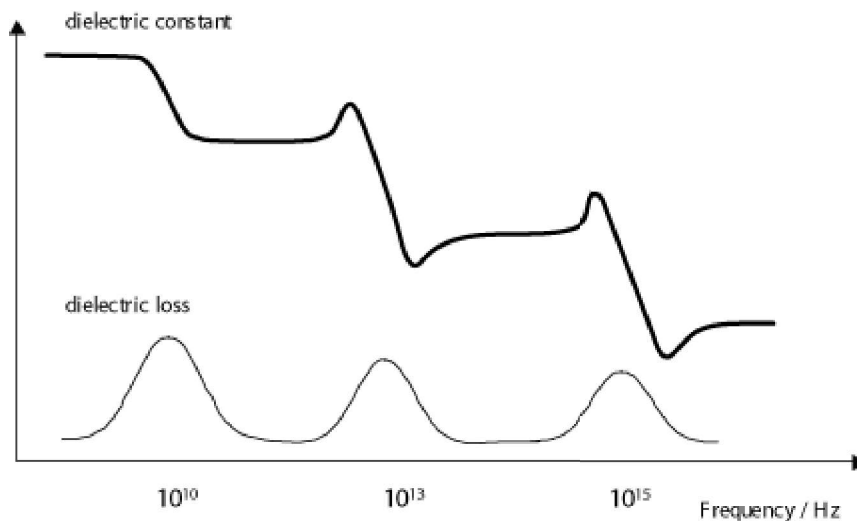
In the ultraviolet region even the electron cloud could not follow the field and electronic polarizability becomes almost zero and the permittivity becomes one.

$$[\epsilon_r]_{X\text{-ray}} = 1$$

For example at low frequency the dielectric constant of water at room temperature is about 80, but it fall to about 1.8 in the optical region.

Frequency Dependence of dielectric loss:

Dielectric loss tends to be higher in materials with higher dielectric constants. This is the downside of using these materials in practical applications. Dielectric loss is utilised to heat food in a microwave oven: the frequency of the microwaves used is close to the relaxation frequency of the orientational polarisation mechanism in water, meaning that any water present absorbs a lot of energy that is then dissipated as heat. The exact frequency used is slightly away from the frequency at which maximum dielectric loss occurs in water to ensure that the microwaves are not all absorbed by the first layer of water they encounter, therefore allowing more even heating of the food.



Ferroelectrics

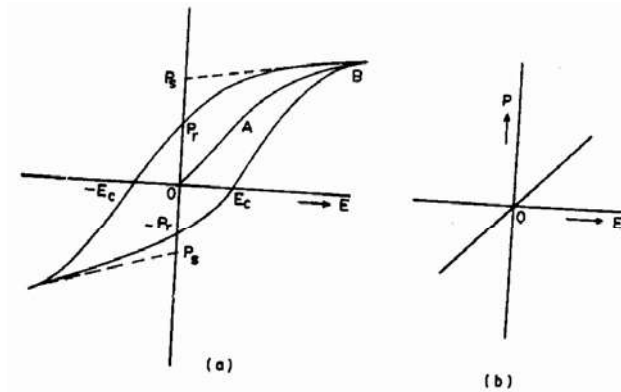
Below certain temperature it is found that some materials spontaneously acquire an electric dipole moment. These materials are called as ferroelectric materials or ferroelectrics. The temperature at which ferroelectric property of the material disappears is called as ferroelectric Curie temperature.

Ferroelectric materials are anisotropic crystals which exhibit a hysteresis curve P versus E which can be explained by domain hypothesis.

Ferro electricity: Ferro electric materials are an important group not only because of intrinsic Ferro electric property, but because many possess useful piezo electric, birefringent and electro optical properties.

The intrinsic Ferro electric property is the possibility of

reversal or change of orientation of the polarization direction by an electric field. This leads to hysteresis in the polarization P , electric field E relation, similar to magnetic hysteresis. Above a critical temperature, the Curie point T_c , the spontaneous polarization is destroyed by thermal disorder. The permittivity shows a characteristic peak at T_c .



Piezo – Electric Materials and Their Applications: Single crystal of quartz is used for filter, resonator and delay line applications. Natural quartz is now being replaced by synthetic material.

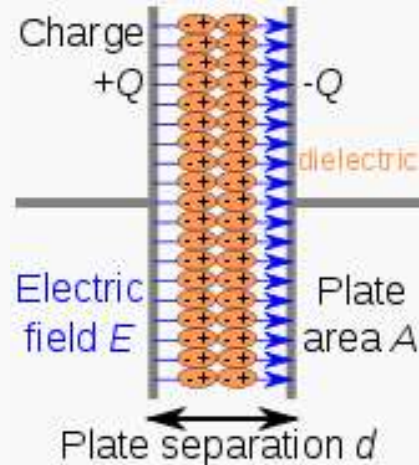
Rochelle salt is used as transducer in gramophone pickups, ear phones, hearing aids, microphones etc. the commercial ceramic materials are based on barium titanate, lead zirconate and lead titanate. They are used for high voltage generation (gas lighters), accelerometers, transducers etc. Piezo electric semiconductors such as GaS, ZnO & CdS are used as amplifiers of ultrasonic waves.

Applications of Dielectric Materials:

Almost any type of electrical equipment employs dielectric materials in some form or another. Wires and cables that carry electrical current, for example, are always coated or wrapped with some type of insulating (dielectric) material. Sophisticated electronic equipment such as rectifiers, semiconductors, transducers, and amplifiers contain or are fabricated from dielectric materials. The insulating material sandwiched between two conducting plates in a capacitor is also made of some dielectric substance.

Liquid dielectrics are also employed as electrical insulators. For example, transformer oil is a natural or synthetic substance (mineral oil, silicone oil, or organic esters, for example) that has the ability to insulate the coils of a transformer both electrically and thermally.

1. Capacitors



Charge separation in a parallel-plate capacitor causes an internal electric field. A dielectric (orange) reduces the field and increases the capacitance.

Commercially manufactured capacitors typically use a solid dielectric material with high permittivity as the intervening medium between the stored positive and negative charges. This material is often referred to in technical contexts as the *capacitor dielectric*.

The most obvious advantage to using such a dielectric material is that it prevents the conducting plates, on which the charges are stored, from coming into direct electrical contact. More significantly, however, a high permittivity allows a greater stored charge at a given voltage. This can be seen by treating the case of a linear dielectric with permittivity ϵ and thickness d between two conducting plates with uniform charge density σ_ϵ . In this case the charge density is given by

$$\sigma_\epsilon = \epsilon \frac{V}{d}$$

and the capacitance per unit area by

$$c = \frac{\sigma_\epsilon}{V} = \frac{\epsilon}{d}$$

From this, it can easily be seen that a larger ϵ leads to greater charge stored and thus greater capacitance.

Dielectric materials used for capacitors are also chosen such that they are resistant to ionization. This allows the capacitor to operate at higher voltages before the insulating dielectric ionizes and begins to allow undesirable current.

2. Dielectric resonator

A *dielectric resonator oscillator* (DRO) is an electronic component that exhibits resonance of the polarization response for a narrow range of frequencies, generally in the microwave band. It consists of a "puck" of ceramic that has a large dielectric constant and a low dissipation factor. Such resonators are often used to provide a frequency reference in an oscillator circuit. An unshielded dielectric resonator can be used as a Dielectric_Resonator Antenna (DRA).

3. Insulators-

Required Qualities of Good Insulating Materials: The required qualities can be classified as under electrical, mechanical, thermal and chemical applications.

- i) Electrical:
 1. electrically the insulating material should have high electrical resistivity and high dielectric strength to withstand high voltage.
 2. The dielectric losses must be minimum.
 3. Liquid and gaseous insulators are used as coolants. For example transformer oil, hydrogen and helium are
- ii) Mechanical:
 1. insulating materials should have certain mechanical properties depending on the use to which they are put.
 2. When used for electric machine insulation, the insulator should have sufficient mechanical strength to withstand vibration.
- iii) Thermal: Good heat conducting property is also desirable in such cases. The insulators should have small thermal expansion and it should be non-ignitable.
- iv) Chemical:
 1. chemically, the insulators should be resistant to oils, liquids, gas fumes, acids and alkali's.
 2. The insulators should be water proof since water lowers the insulation resistance and the dielectric strength.

Other Applications:

Solid dielectrics are perhaps the most commonly used dielectrics in electrical engineering, as very good insulators. Some examples include porcelain, glass, and most plastics.

Air, nitrogen and sulfur hexafluoride are the three most commonly used gaseous dielectrics.

Industrial coatings such as parylene provide a dielectric barrier between the substrate and its environment.

Mineral oil is used extensively inside electrical transformers as a fluid dielectric and to assist in cooling. Dielectric fluids with higher dielectric constants, such as electrical grade castor oil, are often used in high voltage capacitors to help prevent corona discharge and increase capacitance.

Because dielectrics resist the flow of electricity, the surface of a dielectric may retain stranded excess electrical charges. This may occur accidentally when the dielectric is rubbed (the triboelectric effect). This can be useful, as in a Van de Graaff generator or electrophorus, or it can be potentially destructive as in the case of electrostatic discharge.

Piezoelectric materials are another class of very useful dielectrics which are used for transducers and sensors.

Ferroelectric materials often have very high dielectric constants, making them quite useful for capacitors.

Magnetic Materials

Classification of dia, para and ferromagnetic materials. Curie Temperature Hysterisis in ferromagnetic materials. Soft and Hard magnetic materials. Applications.

Introduction

The materials that can be magnetised are called as magnetic materials

Magnetic dipoles and magnetic dipole moment

Any two opposite poles separated by distance constitute an magnetic dipole. A magnet is a dipole which has north pole and south pole and the length of the magnet is the distance of separation.

Magnetic dipole moment is the product of magnetic pole strength (m) and length of the magnet(l)

$$\mu_m = m.l$$

Magnetic field intensity (H)

The force experienced by a unit north pole (of strength 1 Wb) placed at a point in a magnetic field is a measure of the ` field intensity` or `field strength`

Magnetisation or Intensity of magnetisation (M)

Magnetization may be defined as the process of converting a non magnetic bar into a magnetic bar

Magnetic Induction Or Flux Density(B)

Magnetic induction or magnetic flux density in an any material is the number of lines of magnetic force passing through unit area perpendicular . Wb/m^2

$$B = \frac{\phi}{A} = \mu_0 (M + H)$$

Magnetic Susceptibility (χ)

The ratio of the magnetization to the field strength

$$\chi = \frac{M}{H}$$

Permeability (μ)

The ration of the amount of magnetic density B to the applied magnetic field . It is used to measure magnetic lines of forces passing through the material

$$\mu = \frac{B}{H}$$

Origin of Magnetic moment – Bohr Magneton

When ever a charged particle has an angular momentum , it contributes to permanent dipole moment. Consider an hydrogen atom, electron revolving around the nucleus is equal to a current loop. Orbital angular momentum arises due this current loop. The electron spin angular momentum and nuclear spin angular momentum arises due to spin of the electron and nucleus respectively.

There are three angular momentum of an atom

1. Orbital angular momentum of the electron
2. Electron spin angular momentum
3. Nuclear spin angular momentum

Total angular magnetic momentum

Bohr Magneton

The orbital angular momentum of an electron in an atom can be expressed in terms of atomic unit of magnetic moment called Bohr Magneton.

$$\mu_B = \frac{eh}{4\pi m}$$

Classification of the magnetic materials

The magnetic materials are broadly classified in to two types. They are 1. Those atoms or molecules do not have permanent dipole moments and 2. Those atoms or molecules have permanent dipole moments even in the absence of external magnetic field.

Based on the magnetic moments the materials are classified as

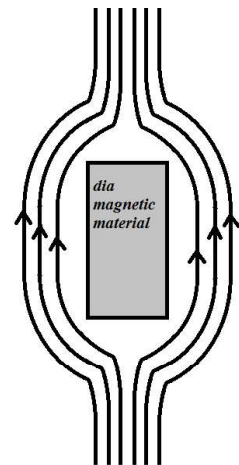
- Diamagnetic materials
- Paramagnetic materials
- Ferromagnetic materials
- Anti ferromagnetic materials
- Ferri magnetic materials

Diamagnetic materials

Dia magnetic materials has completely filled sub shell electronic structure resultant magnetic moment is zero. There are no permanent dipoles and hence the magnetic effect are small. When a diamagnetic material is placed in a magnetic field, there will be a small induced magnetic moment which always oppose the applied field(accordance with Lenz's Law). Due to this effect the magnetic lines of forces expelled from the materials.

Mostly the covalent and ionic crystals exhibits the diamagnetic properties. The magnetic susceptibility is small and negative and is independent of temperature.

The examples of diamagnetic materials are 1. Covalent materials such as Si,Ge, diamond, ii) some metals such as copper, silver, gold.

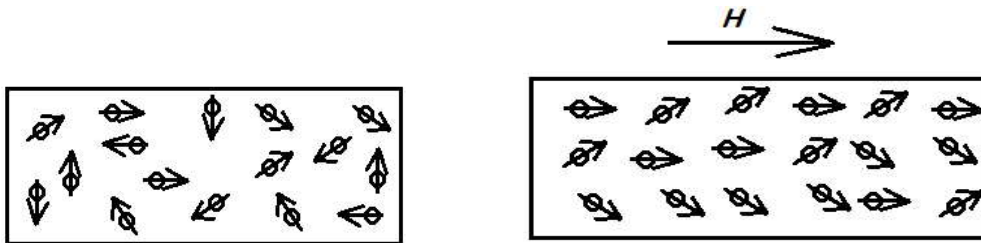


Para magnetic materials.

Atoms or molecules of paramagnetic materials have permanent magnetic moment oriented in random direction. The magnetic interaction between the dipoles try to align themselves but the thermal agitation disturb the alignment. In paramagnetic materials vector sum of magnetic moments is zero in the absence of field.

When an external magnetic field is applied the partial alignment of permanent atomic magnetic moments occur

When a magnetic field is applied , the individual magnetic moment takes the alignment along the applied field as shown in figure . The magnetization of a paramagnetic material increases with the increase in the applied field. Increase in temperature it reduces the magnetization and destroys the alignment of dipoles with applied field.



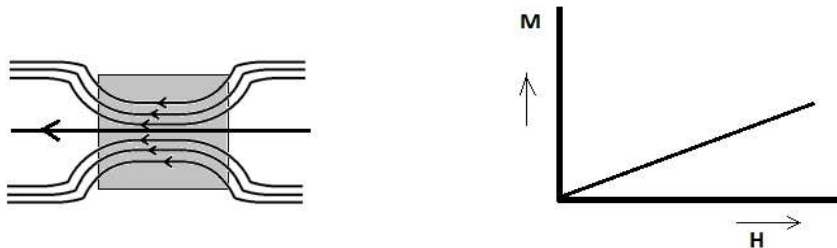
Consider a paramagnetic material placed in non-uniform magnetic field. The paramagnetic materials experience a net magnetic force towards the greater field. The magnetic susceptibility is small and positive and is dependent on temperature. The susceptibility of the magnetic field is given by

$$\chi = \frac{C}{T}$$

Where C is the curie temperature and T is the temperature in Kelvin scale.

The magnetization in ferromagnetic material is linear and gets saturated when a large magnetic field is applied at low temperature.

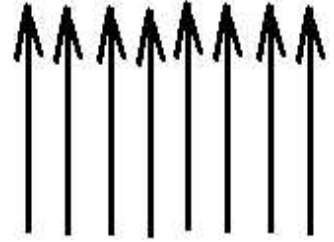
The examples of paramagnetic materials are Mg, gaseous and liquid oxygen , ferromagnetic material (Fe), and anti-ferromagnetic materials at high temperature and



ferromagnetic material (Fe_3O_4) at high temperature.

Ferromagnetic materials

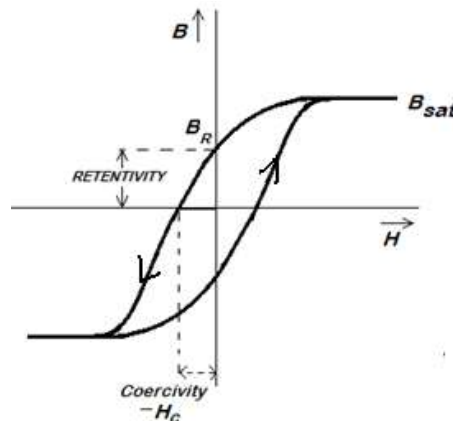
Atoms or molecules of ferromagnetic materials have permanent magnetic moment. In ferromagnetic materials all the dipoles are aligned parallel as shown in the figure if a small value of magnetic field is applied, a large value of magnetization is produced. As the ferromagnetic material have permanent magnetic dipole moment and the susceptibility is positive. The magnetization in ferromagnetic material is non linear and gets saturated when a large magnetic field is applied.



A ferromagnetic materials exhibits two different properties. It behaves as a ferromagnetic material below a certain temperature known as ferromagnetic **Curie temperature**. Above the temperature it behaves as a paramagnetic material. In the ferromagnetic region, it exhibits well known curve known as hysteresis curve as shown in the figure.

The susceptibility of a ferromagnetic material above the ferromagnetic Curie temperature is given by $\chi = \frac{C}{T - \theta_f}$

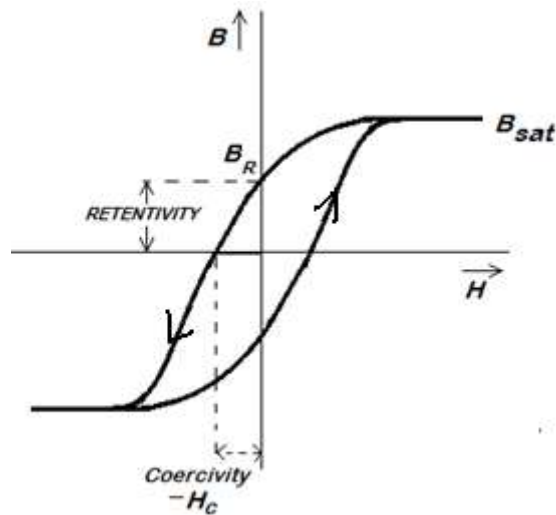
Where C is the Curie constant and θ_f is the ferromagnetic Curie temperature. The transition and rare earth metals such as Fe ,Co, Ni ,Gd are the examples of ferromagnetic materials.



Hysteresis in ferromagnetic materials (B-H curve)

Below the ferromagnetic Curie temperature ($T < \theta_f$) Ferromagnetic material exhibits a well known curve called hysteresis curve. The variation of B(magnetic induction) with H(applied field) can be represented by a closed curve called hysteresis loop or curve. This refers lagging of magnetization behind the magnetising field.

If a magnetic field is increased gradually, the flux density increases and it becomes maximum. The maximum value of flux density is called saturated magnetization. If the field is reversed, the ferromagnetic materials are found to have magnetization in the absence of external field. This is called as retentivity or remanent magnetization (B_R) and this property is called as spontaneous magnetization. If the field is further reduced the flux density reduces to zero. The field required in the opposite direction to bring magnetization to zero is called as coercive field or coercivity ($-H_c$). If the field is increased in opposite direction it attains saturation magnetization. If an alternating field is applied a closed loop as shown in the figure is obtained.



According to Weiss, a virgin specimen of ferromagnetic material consists of a number of regions or domains ($\approx 10^{-6}$ m or above) which are spontaneously magnetized. When magnetic field is not applied the direction of spontaneous magnetization varies from domain to domain. The resultant magnetization may hence be zero or nearly zero.

These domains are separated from each other by a wall known as domain wall or Bloch wall. The domain concept is used to explain the hysteresis property. When an external field is applied two possible ways of alignment domain growth are possible: one by domain wall motion and other by rotation of domain wall and domain growth is also reversible. Hysteresis curve is explained by domain concept.

Antiferromagnetic materials : These are the ferromagnetic materials in which equal numbers of opposite spins with same magnitude such that the orientation of neighbouring spins is in antiparallel manner are present.

Susceptibility is small and positive and it is inversely proportional to the temperature.

$$\chi = C / (T + \theta)$$

the temperature at which anti ferromagnetic material converts into paramagnetic material is known as Neel's temperature.

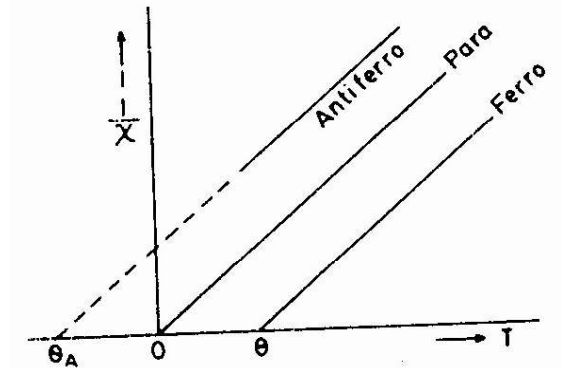
Examples: FeO, Cr₂O₃.

Ferrimagnetic materials: These are the ferromagnetic materials in which equal no of opposite spins with different magnitudes such that the orientation of neighbouring spins is in antiparallel manner are present.

Susceptibility positive and large, it is inversely proportional to temperature

$$\chi = C / (T \pm \theta) \quad T > T_N \text{ (Neel's)}$$

temperature) Examples : ZnFe_2O_4 , CuFe_2O_4



Soft and Hard magnetic materials

Soft magnetic materials

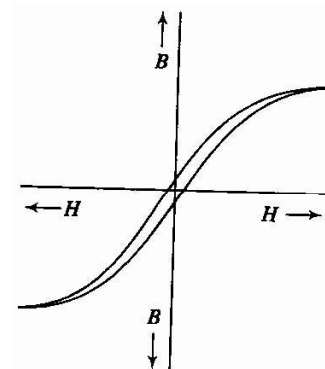
The magnetic materials that are easy to magnetize and demagnetize are called as soft magnetic materials.

Properties:

1. Low remanent magnetization
2. Low coercivity
3. Low hysteresis energy loss
4. Low eddy current loss
5. High permeability
6. High susceptibility

Examples of soft magnetic materials are

- i) Permalloys (alloys of Fe and Ni)
- ii) Si – Fe alloy
- iii) Amorphous ferrous alloys (alloys of Fe, Si, and B)
- iv) Pure Iron (BCC structure)



HYSTERESIS CURVE FOR SOFT MAGNETIC MATERIAL

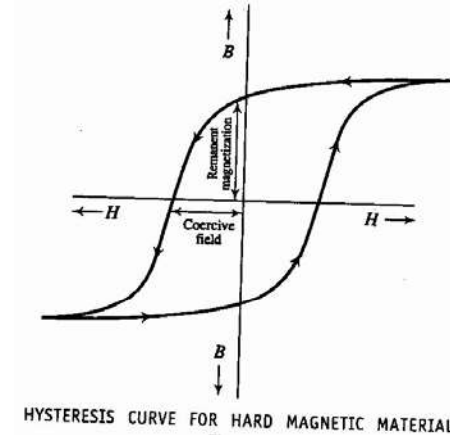
Applications of soft magnetic materials: Mainly used in electro- magnetic machinery and transformer cores. They are also used in switching circuits, microwave isolators and matrix storage of computers.

Hard magnetic materials

The magnetic materials that are difficult to magnetize and demagnetize are called as hard magnetic materials.

Properties:

1. High remanent magnetization
2. High coercivity
3. High saturation flux density
4. Low initial permeability
5. High hysteresis energy loss
6. High permeability



7. The eddy current loss is low for ceramic type and large for metallic type.

Examples of hard magnetic materials are, i) Iron- nickel- aluminum alloys with certain amount of cobalt called Alnico alloy. ii) Copper nickel iron alloys. iii) Platinum cobalt alloy.

Applications of hard magnetic materials: For production of permanent magnets, used in magnetic detectors, microphones, flux meters, voltage regulators, damping devices and magnetic separators.

Hard magnetic materials	Soft magnetic materials
Difficult to magnetize and demagnetize	Easy to magnetize and demagnetize
large hysteresis loop area	small hysteresis loop area
Have large hysteresis loss	Have very low hysteresis loss
The domain wall movement is difficult and it is irreversible in nature	Domain wall movement is relatively easier. Even for small change in the magnetizing field ,magnetization changes by large amount
The coercivity and retentivity are large	The coercivity and retentivity are small
Magnetostatic energy is large	Magnetostatic energy is small.
Small values of permeability and susceptibility	Large values of permeability and susceptibility
Used to make permanent magnets Examples- <i>Iron-nickel-aluminium alloys (alnico)</i> <i>Copper nickel iron (cunife)</i>	Used to make electromagnet Examples- <i>Fe-Si , Ferrous nickel alloys</i> <i>,Ferrites,Garnets</i>

Sample Questions:

Dielectric Materials:

1. Explain the phenomenon of electric polarization in dielectric materials?.
2. Describe in brief
 - (a) electronic polarization
 - (b) ionic polarization
 - (c) Orientation polarization
 - (d) Space charge polarisation with diagrams. Also find the total polarizability.
3. Differentiate between polar and nonpolar dielectrics.
4. Drive an expression for internal field in solids and liquids. Or Derive an expression for internal field by Lorentz method?
5. Derive Clausius-Mossoti equation.
6. What are ferroelectric materials? Describe in detail the Ferroelectric hysteresis.
7. Applications of Dielectric materials.
8. Derive the relation between dielectric polarization and dielectric constant?
9. What is its dielectric constant? Explain its importance in dielectric materials?
10. Which of the following properties are typical for dielectrics?
11. Write a note on
 - (a) Ferroelectricity
 - (b) Dielectric constant
 - (c) internal field in solids
12. Discuss the frequency dependence of various polarization processes in dielectric materials.
13. What is dielectric loss? And also explain *Dielectric Breakdown*.
14. Describe the frequency dependence of dielectric constant.
15. Explain the applications of dielectric materials as insulators.

Magnetic Materials:

1. Define magnetization and show that $B = \mu_0(H + M)$
2. Explain the classification of magnetic materials in detail?
3. Give important features of ferromagnetic materials. Explain the hysteresis curve on the basis of domains.
4. What is hysteresis loss? Explain.
5. Distinguish between soft and hard magnets.
6. What is ferromagnetic Curie temperature? Discuss the behaviour of a ferromagnetic material below the Curie temperature.
7. What are ferrites? Explain the magnetic properties of ferrites and mention their industrial applications.
8. Write the importance of hard magnetic materials in engineering applications?
9. What are the applications of soft and hard magnetic materials?

Important formulae:

1. Dipole moment, $\mu = ql$.
2. Flux density, $D = \epsilon_0 \epsilon_r E$.
3. $D = \epsilon_0 E + P$.
4. Dielectric susceptibility, $\chi = (\epsilon_r - 1)$.
5. Polarization, $P = \epsilon_0 (\epsilon_r - 1) E$, $P = N \alpha E$.
6. Induced dipole moment, $\mu = \alpha E$; $\mu_e = \alpha_e E$.
7. Electronic polarizability, $\alpha_e = \frac{\epsilon_0 (\epsilon_r - 1)}{N}$.
8. Orientational polarizability, $\alpha_o = \frac{\mu^2}{3kT}$.
9. Internal field, $E = E + \left(\frac{\gamma}{\epsilon_0}\right) P$
10. Lorentz field, $E_{\text{Lorentz}} = E + \frac{P}{3\epsilon_0}$
11. Clausius - Mossotti relation

$$\frac{(\epsilon_r - 1)}{(\epsilon_r + 2)} = \frac{N \alpha_e}{3\epsilon_0}$$
12. The energy loss in a dielectric material is due to the phase lag of voltage behind the current under a.c. conditions. It is also called tangent loss given by, $\tan \delta = \frac{\epsilon_r''}{\epsilon_r'}$.
13. Curie-Weiss law, $\epsilon_r = \frac{C}{T - \theta}$ for $T > T_c$.
 2. $B = \mu_o \mu_r H$, $\mu_o = 4\pi \times 10^{-7} \text{ H/m}$.
 3. $\chi = \frac{M}{H}$.
 4. $B = \mu_o (H + M)$.
 5. Curie Weiss law, $\chi = \frac{C}{T - \theta}$ for $T > \theta$.

Problems

An air-filled parallel plate capacitor has a capacitance of 1.5 pF. If the separation between the plates is doubled and wax is inserted between them, the capacitance increases to 3 pF. Compute the dielectric constant of wax.

Data :

Capacitance when air is dielectric, $C_1 = 1.5 \text{ pF} = 1.5 \times 10^{-12} \text{ F}$.

Capacitance when wax is dielectric, $C_2 = 3 \text{ pF} = 3 \times 10^{-12} \text{ F}$.

To find :

For wax, $\epsilon_r = ?$

Solution :

Let the capacitance be C_1 while air is the dielectric,

$$\therefore C_1 = \frac{\epsilon_0 \epsilon_r s}{d}$$

But for air, $\epsilon_r = 1$.

$$\therefore 1.5 = \frac{\epsilon_0 \times 1 \times s}{d} \quad \dots\dots(1)$$

When d is doubled and wax is inserted,

let the capacitance be C_2 .

$$\therefore C_2 = \frac{\epsilon_0 \epsilon_r s}{2d}$$

$$\text{or,} \quad 3 = \frac{\epsilon_0 \epsilon_r s}{2d} \quad \dots\dots(2)$$

Dividing Eq(2) by Eq(1), we have,

$$\frac{3}{1.5} = \frac{\epsilon_0 \epsilon_r s}{2d} \times \frac{d}{\epsilon_0 s}$$

$$\therefore \epsilon_r = 4.$$

\therefore Dielectric constant of wax is 4.

2.

A parallel plate capacitor has an area of $7.45 \times 10^{-4} \text{ m}^2$ and its plates are separated by a distance of $2.45 \times 10^{-3} \text{ m}$ across which a potential of 10 V is applied. If a material with dielectric constant 6 is introduced between the plates, determine the capacitance, the charge stored in each plate, the dielectric displacement D and the polarization.

Data :

Area of the plates, $s = 7.45 \times 10^{-4} \text{ m}^2$.

Distance of separation of the plates, $d = 2.45 \times 10^{-3} \text{ m}$.

Applied potential, $V = 10 \text{ V}$.

Dielectric constant of the material, $\epsilon_r = 6$.

To find :

Capacitance of the capacitor, $C = ?$,

Displacement, $D = ?$

Charge stored, $Q = ?$

Polarization, $P = ?$

Solution :

We have for capacitance of a capacitor,

$$C = \frac{\epsilon_0 \epsilon_r s}{d} = \frac{8.85 \times 10^{-12} \times 6 \times 7.45 \times 10^{-4}}{2.45 \times 10^{-3}},$$

$$= 16.147 \times 10^{-12} \text{ F} = 16.147 \text{ pF}.$$

Charge stored on the plates,

$$Q = CV = 16.147 \times 10^{-12} \times 10,$$

$$= 16.147 \times 10^{-11} \text{ C}.$$

Polarization is given by,

$$P = \epsilon_0 (\epsilon_r - 1) E.$$

But,

$$E = \frac{V}{d}.$$

\(\therefore\)

$$P = \epsilon_0 (\epsilon_r - 1) \frac{V}{d},$$

$$= \frac{8.85 \times 10^{-12} (6-1) 10}{2.45 \times 10^{-3}}$$

$$= 1.806 \times 10^{-7} \text{ Cm}^{-2}.$$

Displacement D is given by,

$$D = \epsilon_0 \epsilon_r E = \epsilon_0 \epsilon_r \frac{V}{d} = \frac{8.85 \times 10^{-12} \times 6 \times 10}{2.45 \times 10^{-3}},$$

$$= 2.167 \times 10^{-7} \text{ Cm}^{-2}.$$

\(\therefore\) The capacitance, the charge stored, the polarization and the displacement are 16.147 pF, $16.147 \times 10^{-11} \text{ C}$, $1.806 \times 10^{-7} \text{ Cm}^{-2}$, and $2.167 \times 10^{-7} \text{ Cm}^{-2}$ respectively.

3. The dielectric constant of Sulphur is 3.4. Assuming a cubic lattice for its structure, calculate the electronic polarizability for Sulphur.

Given: Sulphur density = 2.07 gm/cc, and atomic weight = 32.07

Given data:

Dielectric constant, $\epsilon_r = 3.4$.

Density, $D = 2.07 \text{ gm/cc} = 2.07 \times 10^3 \text{ kg/m}^3$.

Atomic weight = 32.07.

Crystal structure of sulphur is cubic.

find :

Electronic polarizability for sulphur, $\alpha_e = ?$

Solution :

Since the crystal structure of sulphur is cubic we can apply Clausius - Mossotti equation,

$$\frac{(\epsilon_r - 1)}{(\epsilon_r + 2)} = \frac{N\alpha_e}{3\epsilon_0}$$

$$\therefore \alpha_e = \frac{3\epsilon_0}{N} \left[\frac{\epsilon_r - 1}{\epsilon_r + 2} \right] \dots\dots(1)$$

Now, N the number of atoms/unit volume can be written as,

$$N = \frac{N_A \times 10^3 \times D}{\text{atomic weight}}$$

$$\therefore N = \frac{6.025 \times 10^{26} \times 2.07 \times 10^3}{32.07}$$

$$\therefore N = 3.89 \times 10^{28} / \text{m}^3$$

By substituting the values for various parameters, Eq(1) becomes,

$$\alpha_e = \frac{3 \times 8.854 \times 10^{-12}}{3.89 \times 10^{28}} \left[\frac{3.4 - 1}{3.4 + 2} \right]$$

$$\therefore \alpha_e = 3.035 \times 10^{-40} \text{ Fm}^2$$

\therefore Electronic polarizability of sulphur is $3.035 \times 10^{-40} \text{ Fm}^2$.

4. Find the polarization produced in a dielectric medium of relative permittivity 15 in presence of an electric field of 500 V/m.

Given data:

Relative permittivity, $\epsilon_r = 15$.

Strength of electric field, $E = 500 \text{ V/m}$.

find :

Polarization, $P = ?$

We have,

$$\begin{aligned} P &= \epsilon_0 (\epsilon_r - 1) E, \\ &= 8.854 \times 10^{-12} (15 - 1) 500, \\ &= 6.198 \times 10^{-8} \text{ cm}^{-2}. \end{aligned}$$

Polarization produced in the medium is $6.198 \times 10^{-8} \text{ cm}^{-2}$.

1. In a magnetic material, the field strength is 10^6 Am^{-1} . The magnetic susceptibility of the material is 0.5×10^{-5} . Calculate the intensity of magnetization and the flux density of the material.

$$\chi = \frac{M}{H}$$

$$M = \chi H = 0.5 \times 10^{-5} \times 10^6 = 5$$

$$B = \frac{\phi}{A} = \mu_0 (M + H)$$

$$= 4\pi \times 10^{-7} (5 + 10^6)$$

$$= 1.257 \text{ Wbm}^{-2}$$

2. If a magnetic field of 1800 Am^{-1} produces a magnetic field $3 \times 10^{-5} \text{ Wb}$ in an iron bar of cross sectional area 0.2 cm^2 , Calculate permeability.

$$B = \frac{\phi}{A} = 1.5 \text{ Wbm}^{-2}$$

$$B = \mu_0 \mu_r H$$

$$\mu_r = \frac{B}{H \mu_0} = \frac{1.5}{4\pi \times 10^{-7} \times 1800} = 663.14$$

3. Calculate the saturation magnetization for Ni ferrite. The lattice parameter of a cubic unit cell of Ni ferrite is 0.835 nm and the magnetic moment per unit cell is $18.4 \mu_B$.

$$(\mu_B = 9.27 \times 10^{-24})$$

$$M = \frac{\text{Magnetic moment}}{\text{volume}} = \frac{18.4 \times 9.27 \times 10^{-24}}{(0.835 \times 10^{-9})^3} = 2.929 \times 10^5$$

Lecture 1: Electronic materials

Contents

1 Introduction	1
2 Molecular orbital formation	3
3 Molecular orbitals in extended systems	7
4 Energy bands in metals	9

1 Introduction

When we think of electronic materials the physical property that is important is *resistance*. Based on resistance materials can be classified into 3 major types

- Conductors
- Semiconductors
- Insulators

The unit of resistance is Ohm (Ω) and is related to the applied voltage and current by Ohm's law

$$V = IR \tag{1}$$

where V is the applied voltage (units Volts), I is the current (units Amperes) and R is the resistance. Resistance depends on the sample dimensions. Reducing the thickness of a film or decreasing the diameter of a wire would lead to an increase in resistance. We can define a material independent property

Table 1: Resistivity values of some typical metals

Metal	ρ ($\times 10^{-9} \Omega m$)
Copper	15.7
Gold	22.8
Platinum	98
Silver	14.6

Table 2: Resistivity values of some typical semiconductors

Semiconductor	ρ (Ωm)
Germanium	$10^{-3} - 10$
Silicon	$0.1 - 10^3$
Zinc oxide	$10^{-2} - 10^{-4}$
Gallium Arsenide	$10^{-6} - 10^{-2}$

called **resistivity** (ρ) which removes this dependence on sample dimensions. Resistance is related to resistivity by

$$R = \frac{\rho l}{A} \quad (2)$$

where l is the length of the sample and A is the cross sectional area. The unit of resistivity is Ωm . **Conductivity** is defined as the inverse of resistivity. The symbol for conductivity is σ and unit is $\Omega^{-1} m^{-1}$. We can compare typical values for resistivity for conductors, semiconductors, and insulators. When we deal with conductors they will mostly be metals. Some typical values of metal conductivity are shown in table [1](#).

Metals are characterized by low resistivity. The values quoted in table [1](#) are in the range of nano Ωm . Compared to metals, semiconductors have higher resistivity values, as seen in table [2](#). Semiconductor resistivities usually have a range since they depend on the impurity level and also on the crystalline state (single or poly crystalline). Overall, semiconductor resistivities are 5-6 orders of magnitude higher than metals. Insulators have the highest resistivities, these are tabulated in [3](#).

Thus, we have a range of values for resistivity for different materials and this is related to their electronic properties. To understand this range we need to understand how band gap evolves in materials. We will look at a basic picture of how band gap forms, with a focus mainly on metals and then semiconductors. We will also introduce some concepts on density of states, Fermi energy, and Fermi-Dirac statistics to understand the difference in conductivity.

Table 3: Resistivity values of typical insulators

Insulator	ρ (Ωm)
Wood (damp)	$10^3 - 10^4$
Deionized water	10^5
Glass	$10^{10} - 10^{14}$
Fused quartz	10^{17}

2 Molecular orbital formation

Energy levels in an atom are sharp and well defined. To understand what happens when these atoms come together to form solids, we can start with the simplest atom, hydrogen (H). Hydrogen has 1 electron located in the K shell with electronic configuration $1s^1$. When 2 H atoms come together there are 2 $1s$ atomic orbitals (AOs) and these form 2 molecular orbitals (MOs). For simplicity, let us denote the atomic orbital of H atom by ψ_{1s} . In quantum mechanics ψ refers to the wave function of the electron in the $1s$ orbital. The wave function can be solved using Schrödinger's equation in spherical coordinates and the wavefunction solution for the $1s$ orbital is

$$\psi(r) = \frac{1}{\sqrt{\pi}} \left(\frac{1}{a_0}\right)^{3/2} \exp\left(-\frac{r}{a_0}\right) \quad (3)$$

Here, a_0 refers to the Bohr radius and has a value of 0.53 \AA . This is an exponential function with the maximum when $r = 0$, corresponding to the nucleus and exponentially decreasing away from the nucleus.

Consider two H atoms A and B, with AOs ψ_{1s}^A and ψ_{1s}^B . There are two ways in which the 2 AOs can come together to form two MOs. The two AOs can be added, this the bonding orbital, σ or they can be subtracted, giving the anti-bonding orbital, σ^* . This can be represented by

$$\begin{aligned} \sigma &= \psi_{1s}^A + \psi_{1s}^B \\ \sigma^* &= \psi_{1s}^A - \psi_{1s}^B \end{aligned} \quad (4)$$

The bonding orbital represents the symmetric MO while the anti-bonding orbital represents the anti-symmetric MO. They are shown pictorially in figure [1](#). The bonding orbital shows a local minimum in the wavefunction in the region between the 2 H atoms but the function does not go to zero. Thus there is a finite probability of finding the electron between the 2 atoms. The anti-bonding orbital wavefunction goes to zero between the 2 atoms and hence the probability of finding the electron between the 2 atoms is zero. This is called a **node**. Thus, the bonding orbital has zero nodes and the

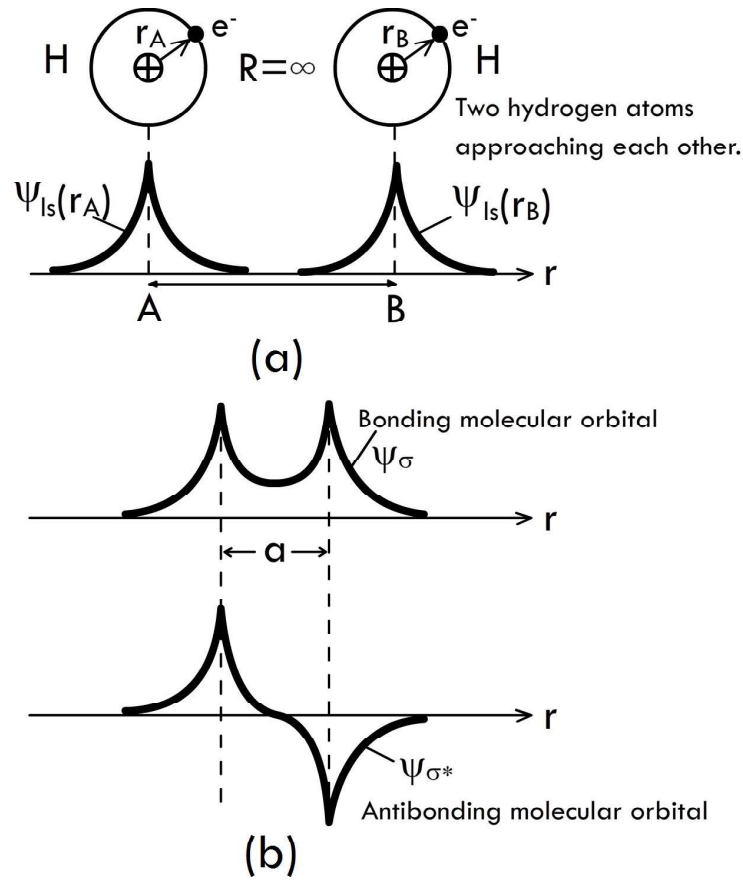


Figure 1: Formation of (a) bonding and (b) anti-bonding orbitals when 2 H atoms come together. Adapted from *Principles of Electronic Materials* - S.O. Kasap.

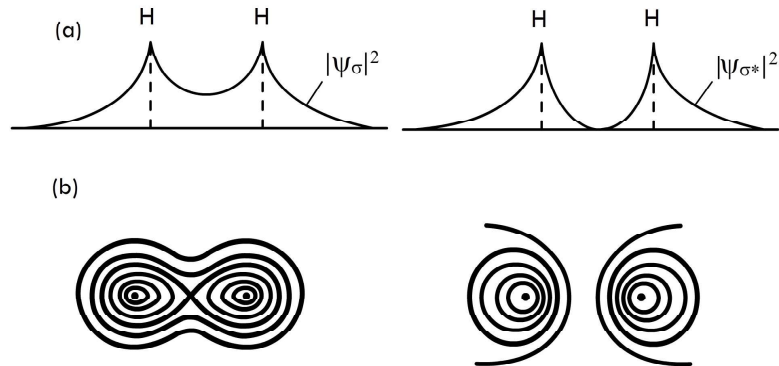


Figure 2: Electron probability distribution in (a) bonding (σ) and (b) anti-bonding (σ^*) orbitals. Lines of constant probability are shown. For the anti-bonding orbital there is zero probability of finding the electron between the two atoms i.e. node. Adapted from *Principles of Electronic Materials - S.O. Kasap*.

anti-bonding orbital has 1 node. The electron probability distribution is shown in figure 2.

In terms of energy the bonding orbital has a lower energy than the individual orbitals which have a lower energy than anti-bonding orbital. This is because in σ the electron can be influenced by both the nuclei which leads to a lower energy. If two H atoms come together then both electron will go to the bonding σ orbital (with opposite spin) since this will lower the overall energy of the system. This can be represented by a energy vs. bond length plot. This is shown in figure 3. At large interatomic distances the H atoms have individual atomic energy levels. When they start to come together (R starts to reduce) the 2 atoms start to 'see' the influence of each other. The atomic orbitals start to mix and form the MOs σ and σ^* . There is an equilibrium distance of separation when the energy of the system is at a minimum. Both electron occupy this minimum in the bonding orbital. Figure 3 also shown the schematic representation of the formation of the MOs and the energy minimization using horizontal lines to represent the orbitals. From this diagram it is clear why He_2 will not form. Each He atom has 2 electrons so that there are a total of 4 electrons. Out of these 2 electrons will go to σ and two to σ^* since each MO can only take a maximum of 2 electrons (opposite spin). The overall energy of the He_2 molecule will then be higher than the energy of 2 individual He atoms and hence He_2 will

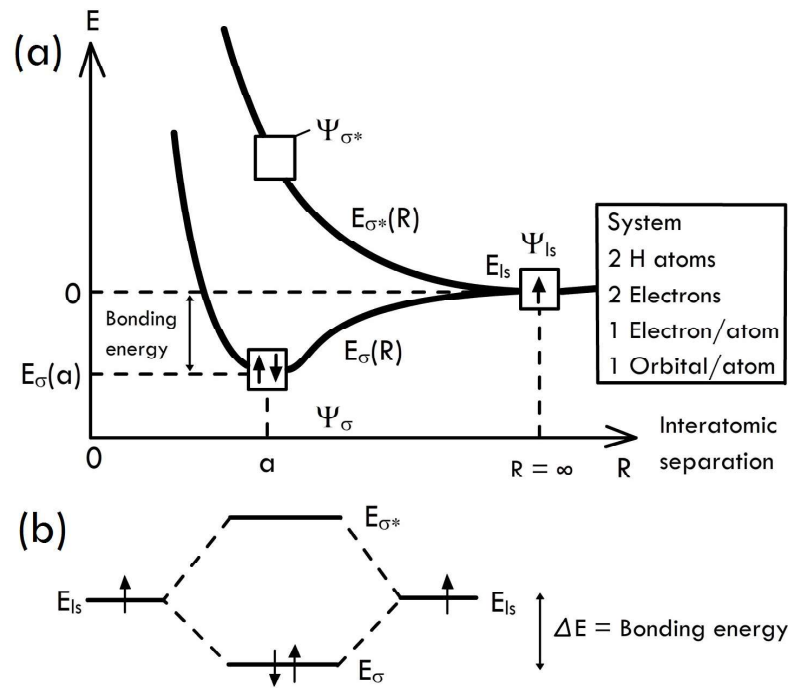


Figure 3: (a) Energy vs. bond length plot for 2 H atoms. (b) The schematic representation of the formation of the H₂ molecule. Both electrons go to the bonding orbital while the anti-bonding orbital is empty. Adapted from *Principles of Electronic Materials* - S.O. Kasap.

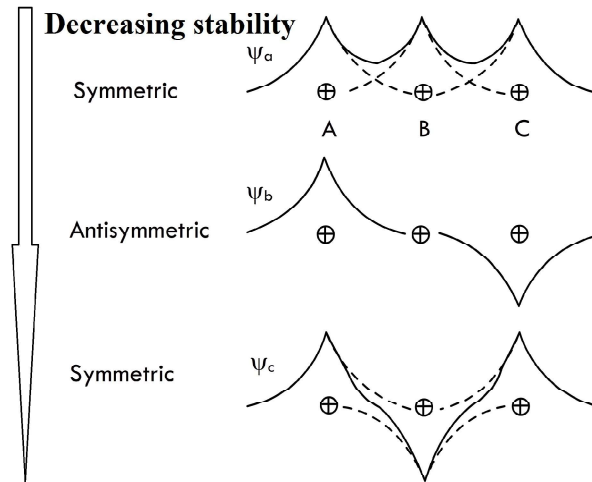


Figure 4: MOs formed when 3 H atoms come together. The number of nodes increases while the stability decreases. The configuration with the lowest number of nodes is most stable. Adapted from *Principles of Electronic Materials* - S.O. Kasap.

not form.

3 Molecular orbitals in extended systems

Consider the case when we have 3 H atoms. Each atom has a ψ_{1s} atomic orbital and these 3 AOs will come together to form 3 MOs. Let the 3 H atoms be A, B, and C with atomic orbitals ψ_{1s}^A , ψ_{1s}^B , and ψ_{1s}^C . The 3 AOs can be put together to form 3 MOs while maintaining symmetry of the resultant wave function in the following way.

$$\begin{aligned}\sigma_a &= \psi_{1s}^A + \psi_{1s}^B + \psi_{1s}^C \\ \sigma_b &= \psi_{1s}^A - \psi_{1s}^C \\ \sigma_c &= \psi_{1s}^A - \psi_{1s}^B + \psi_{1s}^C\end{aligned}\tag{5}$$

σ_a , σ_b , and σ_c are the 3 MOs that are formed. This formation is shown in figure 4. σ_a is the symmetric solution with no nodes. σ_b is the antisymmetric solution with a node in the location of the middle atom (this is the reason for no contribution from B in equation 5). σ_c is again a symmetric solution but with 2 nodes, between the 2 sets of atoms. In terms of energy σ_a is the lowest followed by σ_b and then σ_c . The energy vs. bond length diagram for

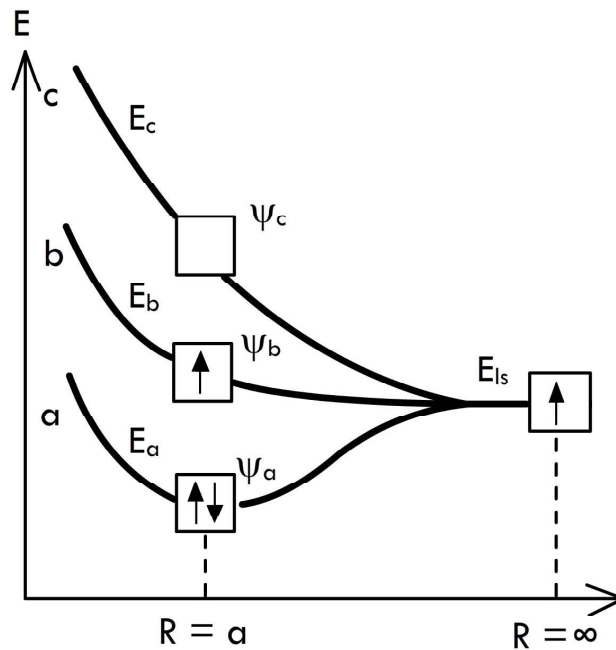


Figure 5: Energy vs. bond length diagram for 3H atoms forming 3 MOs. The 3 electrons occupy the configuration with 0 nodes (2 electrons) and 1 node (1 electron). Adapted from *Principles of Electronic Materials* - S.O. Kasap.

3 H atoms is shown in figure 5. Out of the 3 electrons that are available 2 go to the lowest energy MO i.e. σ_a with 0 nodes and the remaining one goes to σ_b with 1 node. More the number of nodes greater is the energy of the MO. Instead of 3 H atoms, if we have 4 atoms then there are 4 AOs which form 4 MOs with 0, 1, 2, and 3 nodes (arranged in order of increasing energy). Each of these MOs can take 2 electrons (opposite spin) so that there are a total of 8 **energy states** (including spin). The argument can be generalized saying that if there are N atoms, there are N atomic orbitals, and hence N molecular orbitals, and $2N$ energy states. As long as the energy of the configuration is lower than the individual atoms the solid will be stable.

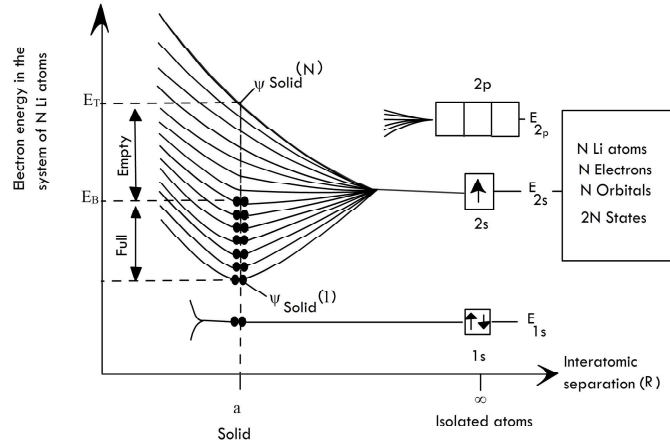


Figure 6: Energy vs. bond length diagram for N Li atoms. There are a total of $2N$ energy states (including spin) out of which N are occupied, half full. This makes Li a metal. Adapted from *Principles of Electronic Materials - S.O. Kasap*.

4 Energy bands in metals

We can try to extend this model beyond H. Consider the case of Li, which has 3 electrons. The electronic configuration is $1s^2 2s^1$. The $1s$ electrons form the inner shell and are usually not involved in bonding. Hence, for bonding we have the $2s$ shell with 1 electron and this can be considered similar to the H atom. So, if we have N Li atoms, there will be N MOs, and $2N$ energy states (including spin). This is shown schematically in figure 6.

The spacing between the individual MOs depends on the equilibrium interatomic spacing and the value of N . Larger the value of N , closer the individual levels. For really large N (e.g. if we have 7 g of Li then N will be 6.023×10^{23} or Avogadro's number) the individual MOs are so close that we can consider them to be continuous. Thus an **energy band** will be obtained. This band is called the **valence band** since it is obtained from the outermost shell. The band formation for Li is shown in figure 7. In the case of Li the band formed from the outer $2s$ levels is half full since there are a total of $2N$ energy states and only N electrons.

The *separation between the filled states and the empty states* is called the **Fermi energy** and is denoted by E_F . Another way of defining the Fermi energy is that *this is the highest filled state in a metal at 0 K*. The energy required to remove an electron from the Fermi level to vacuum level (where

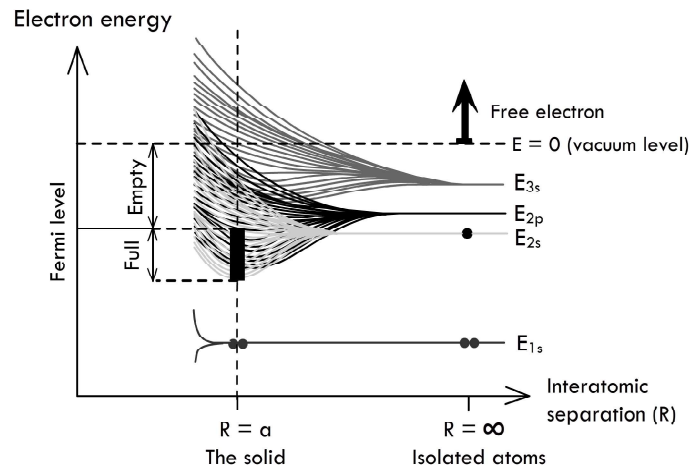


Figure 7: Energy vs. bond length diagram for large values of N showing a continuous band formation. The overlap between the $2s$, $2p$, and $3s$ levels are also shown. Adapted from *Principles of Electronic Materials* - S.O. Kasap.

the electron is free of the influence of the metal) is called the **work function**. The Fermi level and work function for Li are shown in figure 8. Energy levels can be referenced either with respect to the vacuum level (takes as zero) or with respect to the bottom of the valence band. Thus, metals like Li have a partially filled valence band.

We can extend this model to Be, which has 2 electrons in the outer $2s$ shell. According to the model we developed for Li where we have a band with $2N$ energy states and N electrons we have now have $2N$ states and $2N$ electrons so that the band should be completely full. So how is Be a metal? The reason is because Be has empty $2p$ shells which are close in energy to the $2s$ shell and they overlap. Because of this overlap there are empty states available for conduction. There are overlap states in Li as well, but we do not need these to explain conduction since the starting band is half full anyway. We can call the band formed by the empty $2p$ shell as the **conduction band**. In metals, the valence and conduction band overlap to give a continuous band of filled and empty states. This is shown schematically in figure 9. In the presence of an electric field electrons can move by occupying these empty energy states and this makes metals good conductors of electricity.

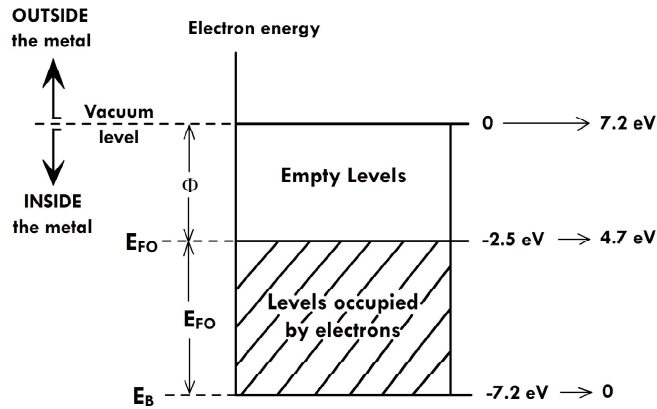


Figure 8: Fermi energy and work function in Li. By convention the vacuum level is taken as zero and energy levels within the metal are shown as negative. If the lowest energy level in the valence band is used as reference all these energy levels become positive. Adapted from *Principles of Electronic Materials - S.O. Kasap*.

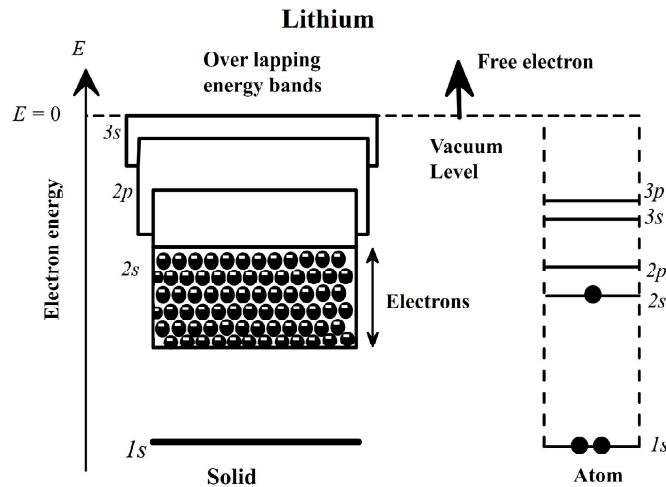
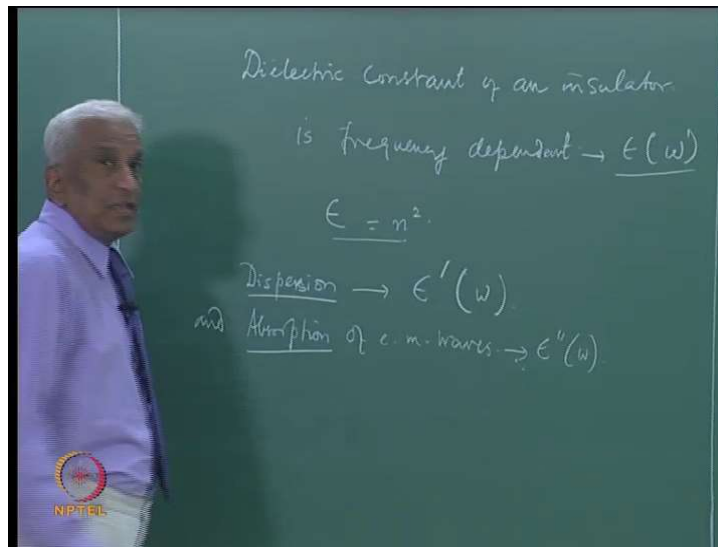


Figure 9: Energy band in a metal formed through overlap of individual bands. This diagram is similar to figure 7 at the equilibrium distance. Adapted from *Principles of Electronic Materials - S.O. Kasap*.

Condensed Matter Physics
Prof. G. Rangarajan
Department of Physics
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Lecture - 18
Optical Properties of Metals;
Ionic Polarization in Alkali Halides;
Piezoelectricity

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We have discussed the mechanism by which the dielectric constant of an insulator becomes frequency dependent. So, we represent this by the function - the dielectric function $\epsilon(\omega)$. We also noted that this ϵ since this is equal to n^2 as a refractive index, so this frequency dependence also leads to a frequency dependence of the refractive index. In particular, this leads to the phenomenon of dispersion and absorption of electromagnetic waves when propagating through a medium, which is characterized by this dielectric function $\epsilon(\omega)$. So, this is related to the real part of the dielectric constant, while absorption is related to the imaginary part which in turn leads to an absorption. So, we discuss the theory of this in a dielectric media.

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Optical Properties of metals.

The remarkable properties of metals can only be understood by considering their collective behaviour on account of their large concentration.

The skin effect results in the electrons being able to penetrate the metal only up to a skin layer of thickness

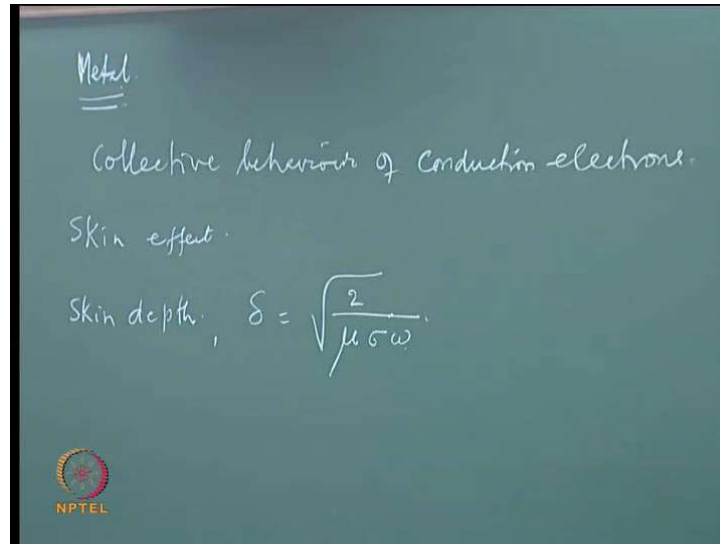
$$\delta = \sqrt{\frac{2}{\mu \sigma \omega}} \quad (18.1)$$

where μ is the permeability,
 σ is the conductivity and
 ω is the angular frequency



Just by way of digression, we will just read up back to the case of a metal which we have been discussing in some detail earlier and the incidentally want to see how this behavior this dispersive and absorptive nature is reflected in the properties of metals, metals as you know are highly conducting media. So, the question is, whether there will be any change, any difference in the optical behavior of metals in the response to light, because these are all know it is a matter of common experience that metals are highly reflective in contrast to insulate existences which are not so. We would like to see whether this treatment of dispersion and absorption can lead to a detailed understanding of the optical behavior of metals. Now one thing we know is that the metals have a very large concentration of conduction electrons.

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And therefore, the main properties of metals are usually understood in terms of the collective behavior of conduction electrons. In particular, one remembers there is a phenomenon called skin effect, which is characteristic of metals and this result in the electrons being able to penetrate the metal only up to a short distance named our skin layer or skin depth. And this depth is usually given by the root of 2 by mu sigma omega; mu is the permeability, sigma is the conductivity and omega is the angular frequency.

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The equation of motion of a free electron is given by:

$$d^2x / dt^2 - \gamma dx / dt = - (eE_0 / m) \exp (i\omega t) \quad (18.2)$$

Here γ is the damping constant per unit mass for the oscillatory response of the electron.

The solution of this differential equation is of the form:

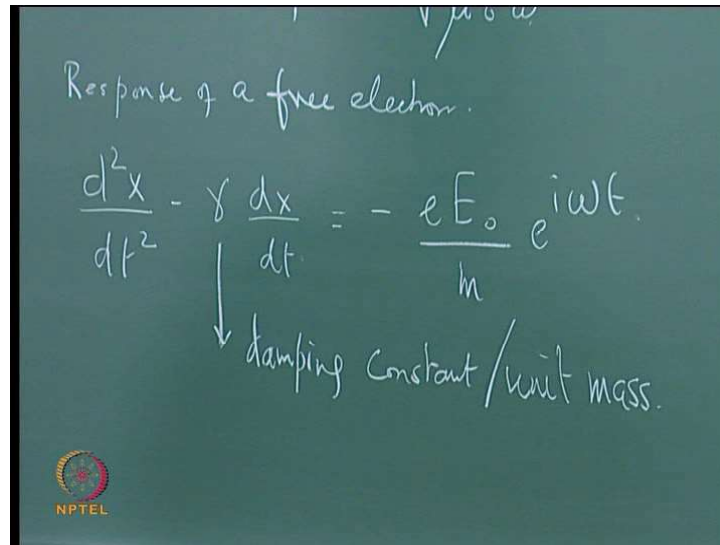
$$x_0 = eE_0 / m \omega (\omega + i\gamma) \approx eE_0 / m \omega^2 \quad (18.3)$$

γ is small. Here x_0 is the amplitude of oscillation.

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So, this is one thing you will keep in mind before discussing this, and going back to our discussion of the response of a free electron unlike the response of a bound electron in an insulator.

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Response of a free electron.

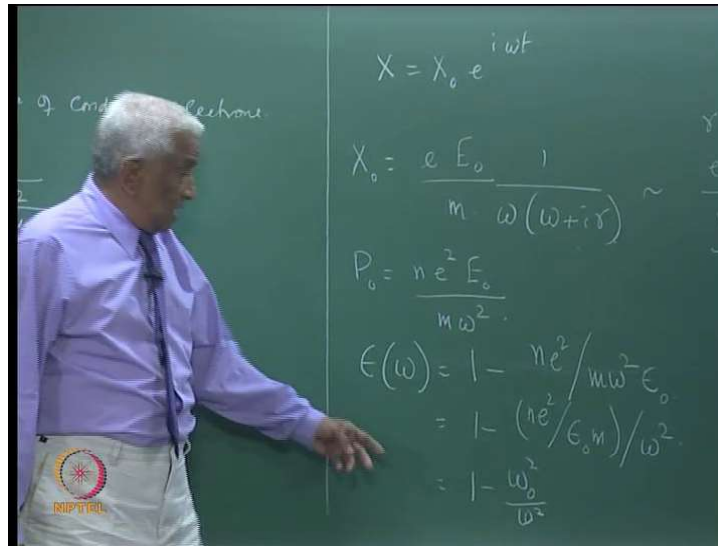
$$\frac{d^2x}{dt^2} - \gamma \frac{dx}{dt} = - \frac{eE_0}{m} e^{i\omega t}.$$

damping constant/unit mass.

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The response of a free electron, in what way is it different from that of the bound electron insulator. We will see by writing the equation of motion as before as d^2x/dt^2 minus $\gamma dx/dt$, this is the dissipative or resistive term. So, there was in addition in the case of a bound electron, there was a natural frequency of oscillation which is in the form a restoring force here in the equation of motion. Now in the case of a frequency electron, there is no restoring force, there is no natural frequency, it free to move under the influence of an applied electric field. So, this term we seen in the equation of motion and we have. So, this is the equation of motion in case of a free electron in a metal this γ is the damping constant per unit mass and be seek a solution to this differential equation in the usual way by saying that.

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The response is also of the form of $x_0 e^{i\omega t}$ to the power I at ω p the probably a phase shift and as plugging this back we get x_0 equal to and this for a small γ then γ is very small compared to ω we can just write this as e_0 by $m \omega^2$.

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The amplitude of the polarisation due to the displacement of n electrons per unit volume is:

$$P_0 = -n e^2 E_0 / m \omega^2 \quad (18.4)$$


and the frequency dependent dielectric constant is given by:

$$\epsilon(\omega) = 1 - n e^2 / \epsilon_0 m \omega^2 \quad (18.5)$$

Let $n e^2 / \epsilon_0 m = \omega_0^2$.

ω_0 is known as *plasma frequency*.

Then $\epsilon(\omega) = 1 - (\omega_0^2 / \omega^2) \quad (18.6)$



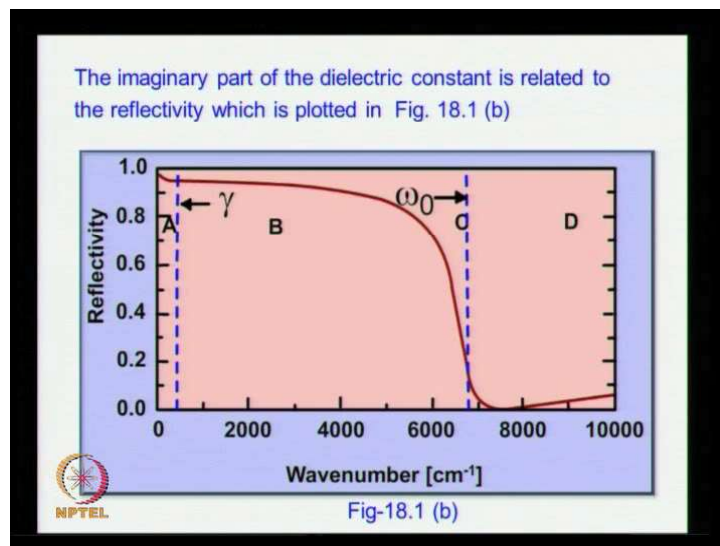
This will lead to a polarization usual way once we have the displacement we can write the polarization and that take this form and... So, this leads to the frequency dependent dielectric constant if the case of a metal following the same procedure we get now this

$\epsilon'' = \frac{ne^2}{m} \left(\frac{\gamma}{\omega^2 + \gamma^2} \right)$

dump $n e$ square by $m \times$ let me rewrite this. So, that this can be written let $n e$ square by m epsilon naught d call this is a term which has the concentration of the electrons the electronic charge mass and the permutative of this space. So, this is the constant which is characteristic in the metal.

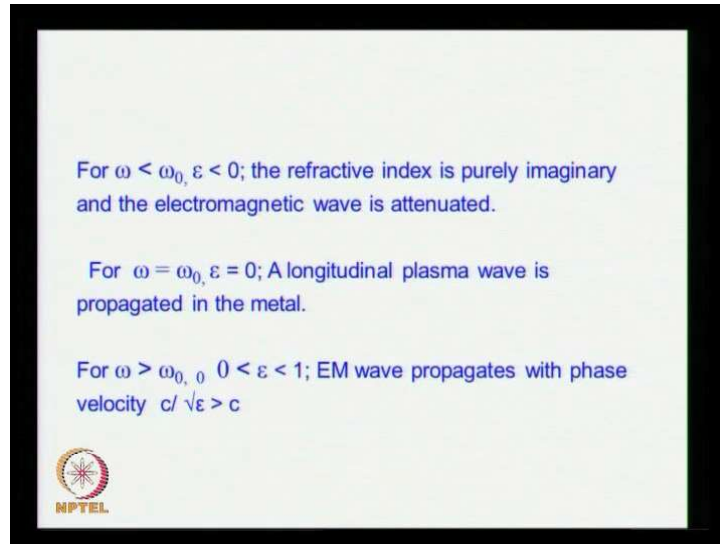
Now, that is take as ω naught is known as plasma frequency once you have that substitution is simply arrive at a simple relation like this and. So, what is this say. So, it the dielectric function of as metal as simply form one minus ω naught square by ω square. So, this can be again related to the real and to the imaginary part of the dielectric constant this has the form which is shown in the figure. At very low frequencies, the epsilon one is a there negative and then it rises to 0 then ω approaches the frequency and then you goes up to the value one at high frequencies. So, that the behavior which is shown in the figure.

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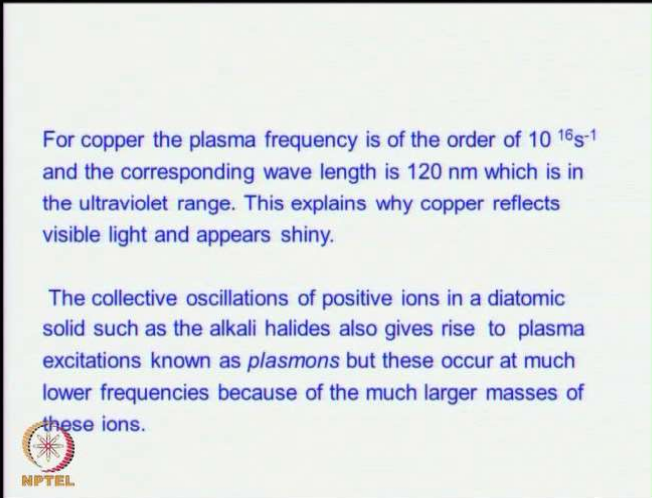
Next figures show the imaginary part of the dielectric constant which is related to the reflectivity. So, in this figure the reflectivity is blocked as a function of a frequency. So, this shows that the reflectivity is one at low frequencies which means that at low frequency the metal reflects all the incidental electromagnetic radiation except when the frequency approaches the plasma frequency.

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Then this behavior is did explain for omega very small compared to omega naught there is a negative dielectric constant and a correspondingly the refractive index which is the square of the dielectric constant is purely imaginary and I imaginary effective index means that the electromagnetic wave is attenuated. So, it does not propagate inside the medium inside the metal and it is perfectly reflected which is the reason why a metallic surface highly reflective shines. When the frequency approaches the plasma frequency, the dielectric constant approaches zero and you have a longitudinal plasma wave which is propagated in the metal. And for higher frequencies, higher than the plasma frequency, the dielectric function change the varies from 0 to 1. And this is the usual behavior in which they are electromagnetic real propagates to the phase velocity which is given c by root epsilon.

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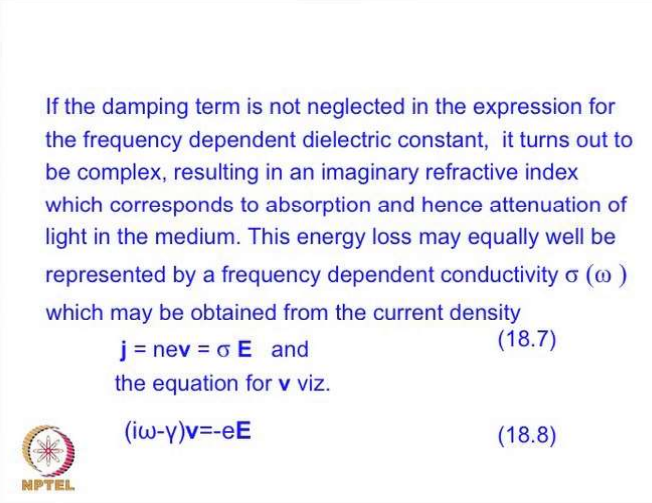
For copper the plasma frequency is of the order of 10^{16}s^{-1} and the corresponding wave length is 120 nm which is in the ultraviolet range. This explains why copper reflects visible light and appears shiny.

The collective oscillations of positive ions in a diatomic solid such as the alkali halides also gives rise to plasma excitations known as *plasmons* but these occur at much lower frequencies because of the much larger masses of these ions.



So that is the overall behavior and in the case copper corresponding wave length is about 120 nanometers. This is in the ultra violet rate that is way copper reflects visible light and appears shine. The collective oscillations also take place in the case of the past two iomans a diatomic solid that at the which also give rise to plasma executions which are known plasmas, but these plasmas occur at much lower frequency because the ion masses much larger it is shifted with the infrared range.


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If the damping term is not neglected in the expression for the frequency dependent dielectric constant, it turns out to be complex, resulting in an imaginary refractive index which corresponds to absorption and hence attenuation of light in the medium. This energy loss may equally well be represented by a frequency dependent conductivity $\sigma(\omega)$ which may be obtained from the current density

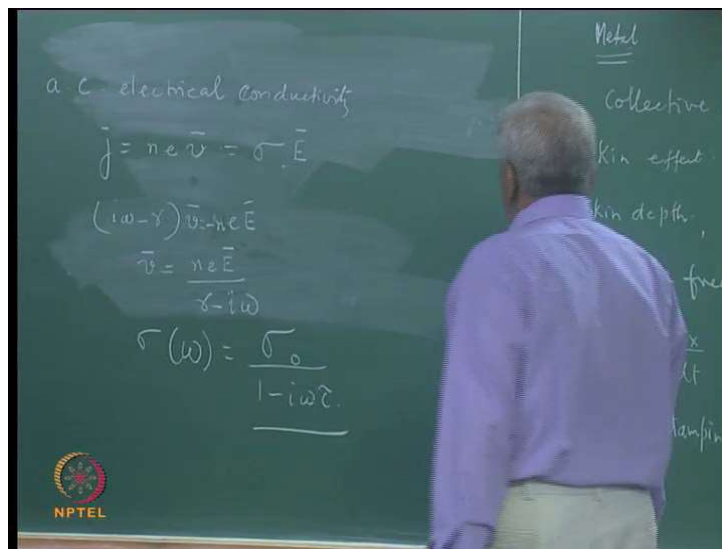
$$\mathbf{j} = ne\mathbf{v} = \sigma \mathbf{E} \quad \text{and} \quad (18.7)$$

the equation for \mathbf{v} viz.

$$(i\omega - \gamma)\mathbf{v} = -e\mathbf{E} \quad (18.8)$$


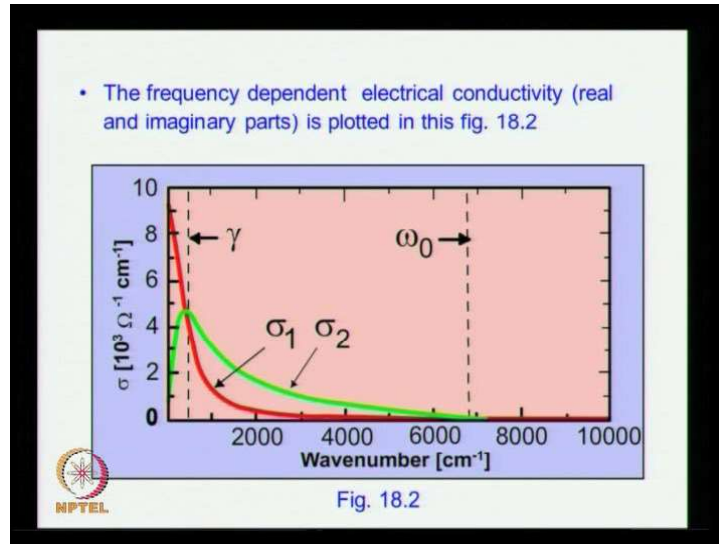
Now, we have in this treatment taken γ as very small compared to one and neglected the damping term. Now if the damping term is not neglected in the frequency dependent direct constant then ϵ turns out to be complex, and this results again in an imaginary ineffective index this corresponds to absorption and hence attenuation like the media, because this light is attenuated. There is an energy loss and this energy loss may equally well be described in terms of a frequency dependent conductivity. So, this is known as the a c electrical conductivity then the when the incident radiation incident wave has a time dependence.

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So, you have an a c response and therefore, this known as the a c electrical conductivity in contrast to the d c connected which we have already discussed. If a metal now this can be discussed again the same formalism that which we discussed the conductivity in terms of the current density which is $n e v$ and this is equal to the σe by Ohm's law. And the for we the velocity is $\frac{1}{i\omega - \gamma}$ and v equals $\frac{neE}{\gamma - i\omega}$ to take it account to sign of the charge is the. So, this means that v is and the $\frac{neE}{\gamma - i\omega}$. So, this can be a rewritten because we know that γ is $\frac{1}{\tau}$ we remember τ is the relaxation time for connecting trans. So, this can be written in terms of using this and this we can write the σ the frequency dependent conductivity as $\sigma_0 \frac{1}{1 - i\omega\tau}$. So, that is the expression for the frequency dependent a c conductivity of a metal.

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And this again displayed in the next figure where the real and imaginary parts of the electrical conductivity of a metal you shown again as a function of frequency are very not well and you can see the hole behavior is the different from that the d c conductivity.

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Ionic polarization in alkali halides

We have already considered the vibration amplitudes of the positive and negative ions in a diatomic lattice.

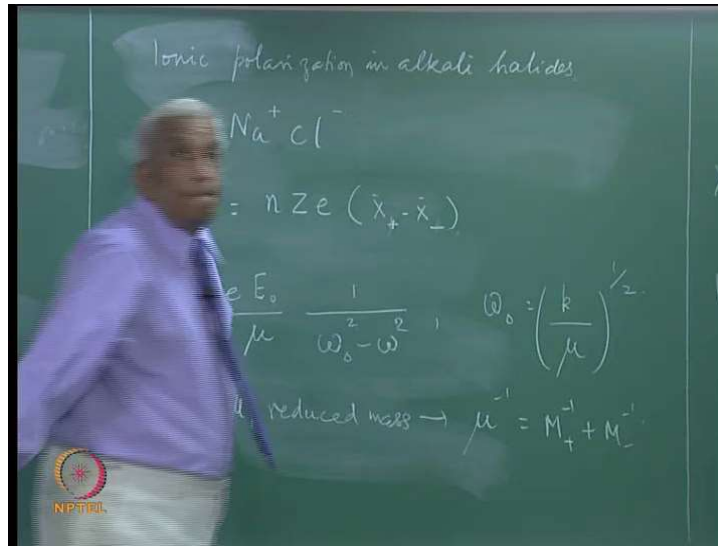
The ionic polarization is given by:

$$\mathbf{P} = n Z e (\mathbf{x}_+ - \mathbf{x}_-) \quad (18.9)$$

Here we are considering a diatomic solid such as Na Cl in which the positive Na^+ and the negative Cl^- ions carry one electronic charge each and have displacements \mathbf{x}_+ and \mathbf{x}_- from their equilibrium positions respectively.

Now, we turned to a discussion of the ionic polarization we discuss. So, for the electronic polarization and be now turned to a discussion of the ionic polarization.

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So, in simple alkali halides an example sodium chloride we have sodium plus chlorine ions sitting alternately in a diatomic. Now the ionic polarization is again given by $nZe(x_+ - x_-)$ where x_+ and x_- are the relative net displacement of the ion from the equilibrium position. We can write plus and again following the same procedure.

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The normal modes of vibration have already been discussed. The vibrational amplitudes of the acoustic and optic branches are given by:

$$x_0 = (eE_0/\mu) / (\omega_0^2 - \omega^2) \text{ where } \omega_0 = (k/\mu)^{1/2}, \quad (18.10)$$

k being the force constant between adjacent ions and μ is the reduced mass given by :

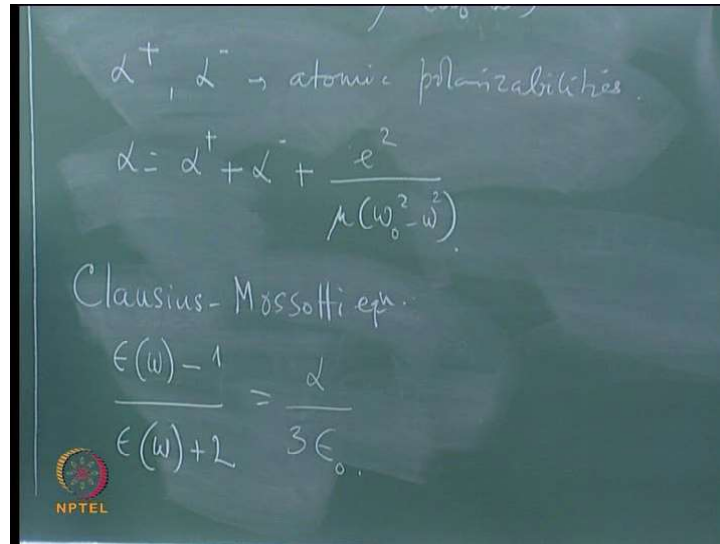
$$\mu = mM/(m + M) \quad (18.11)$$

Hence the ionic polarizability due to the displacement of the ions is:

$$\alpha_{\text{displacement}} = e^2 / \mu (\omega_0^2 - \omega^2) \quad (18.12)$$


We arrived a situation where x naught to the equation motion and solid salt it and law where this ω_0 naught this k by μ and μ is reduce mass of the two ions given by from this once we know the displacement.

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We can write the ionic polarizability in the ionic polarizability due to the relative lead to displacements of the two ions of the form following the same procedure which we followed up to now.

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In addition we have also the atomic polarizabilities of the two ions viz. $\alpha^+ + \alpha^-$ so that

$$\alpha = \alpha^+ + \alpha^- + e^2 / \mu (\omega_0^2 - \omega^2) \quad (18.13)$$

The Clausius Mossotti equation leads to a dielectric constant for an ionic crystal which is given by:

$$\{\epsilon(\omega) - 1\} / \{\epsilon(\omega) + 2\} = \alpha / 3\epsilon_0 \quad (18.14)$$

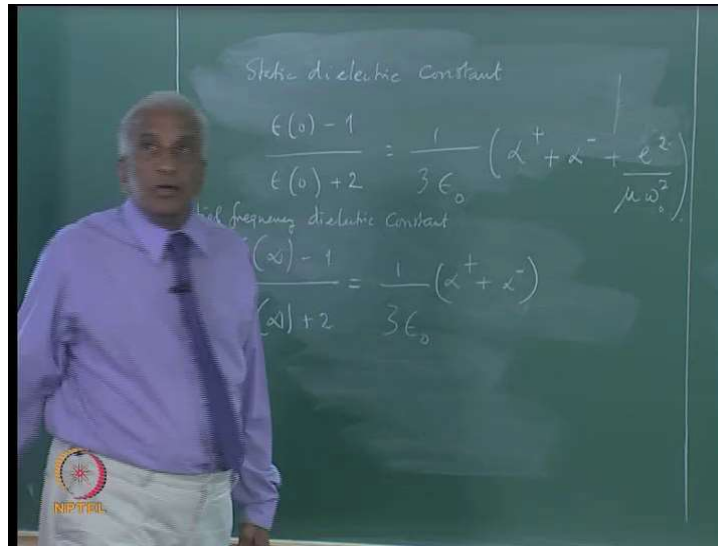
The static dielectric constant is given by:

$$\{\epsilon(0) - 1\} / \{\epsilon(0) + 2\} = (1 / 3\epsilon_0) (\alpha^+ + \alpha^- + e^2 / \mu \omega_0^2) \quad (18.15)$$

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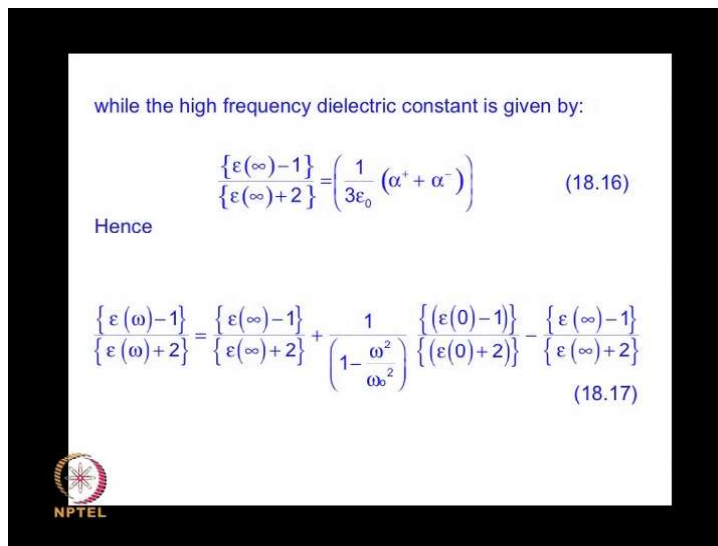
Of course, we have neglected here the individual atomic polarizabilities, which are alpha plus and alpha minus therefore, alpha total polarizability this plus this plus this term and this lead to the clausius mossotti equation we discussed already for the dielectric function an where alpha is given by this and therefore, going to the different limits define.

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For example, the static dielectric constant static omega equal to zero there that will be and we have in this omega becomes zero.

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So, I have whereas, the high frequency dielectric function which we write as epsilon infinity is is one is got in the same way by setting omega equal to infinity there. So, the frequency dependent term goes off. So, using these two limit we can write the epsilon omega the dielectric constant at any frequencies of omega.

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The chalkboard shows the following steps:

$$\frac{\epsilon(\omega) - 1}{\epsilon(\omega) + 2} = \frac{\epsilon(\infty) - 1}{\epsilon(\infty) + 2} + \frac{1}{\left(1 - \frac{\omega^2}{\omega_0^2}\right)} \left\{ \frac{\epsilon(0) - 1}{\epsilon(0) + 2} - \frac{\epsilon(\infty) - 1}{\epsilon(\infty) + 2} \right\}$$

$$\epsilon(\omega) = \epsilon(\infty) + \left\{ \epsilon(\infty) - \epsilon(0) \right\} \left(\frac{\omega^2}{\omega_T^2} - 1 \right)$$

$$\omega_T^2 = \omega_0^2 \left\{ \frac{\epsilon(\infty) + 2}{\epsilon(0) + 2} \right\}$$

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This takes the form that will be plus minus. So, solving for epsilon omega we get? Where omega t square, this is something which we can figure out rather straight forward algebra.

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The slide contains the following text and equations:

- Solving for $\epsilon(\omega)$ we get:

$$\epsilon(\omega) = \epsilon(\infty) + \frac{\{\epsilon(\infty) - \epsilon(0)\}}{\frac{\omega^2}{\omega_T^2} - 1} \quad (18.18)$$

where

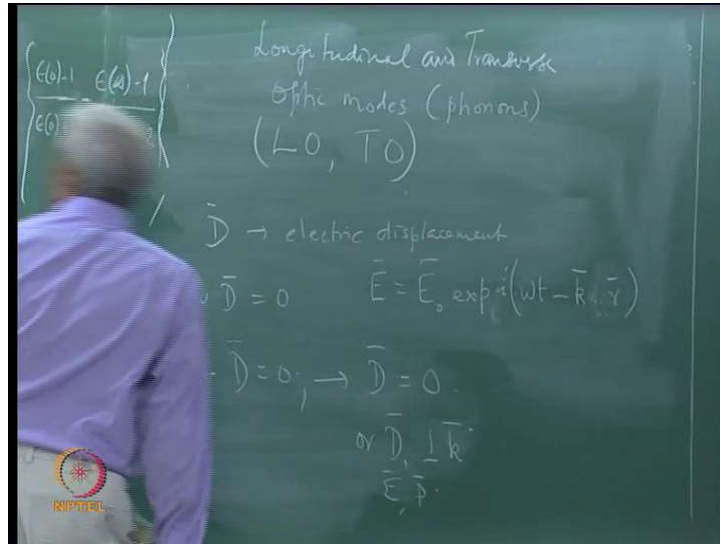
$$\omega_T^2 = \omega_0^2 \left[\frac{\{\epsilon(\infty) + 2\}}{\{\epsilon(0) + 2\}} \right] \quad (18.19)$$

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So, what is the meaning of all this we have now returned the frequency-dependent dielectric constant at any frequency in terms the high-frequency dielectric constant and then the static dielectric constant and have a frequency the defined in this way. So, this

helps us to visualize what is going on in terms of what I have known in the longitudinal and transverse of pick more.

(Refer Slide Time: 24:09)



So, we now go on discuss the longitudinal and transverse optic modes and optic on usually they go by the contraction at the abbreviation l o and t o for us. So, in an optic mode of an ionic crystal, there is a non vanishing polarization \vec{p} and associated with this is the electric displacement \vec{D} and when there are no free charges. We have the general relation $\text{div } \vec{D} = 0$ to be satisfied now we apply an alternating field $\vec{E} = \vec{E}_0 \exp[i(\omega t - \vec{k} \cdot \vec{r})]$ in general. So, using this, you can see \vec{D} gives rise to $\vec{k} \cdot \vec{D} = 0$, because the divergence is going to be zero. Taking the divergence means it would be replacing this by $i \vec{k} \cdot \vec{D} = 0$ goes to the equation $\vec{k} \cdot \vec{D} = 0$. And what the consequences of this this means that \vec{D} itself is zero or \vec{D} need is perpendicular to \vec{k} if \vec{D} is perpendicular to \vec{k} take care \vec{E} also is and \vec{p} also that is the significance of this condition $\text{div } \vec{D} = 0$.

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Longitudinal and Transverse optic (LO and TO)
phonons

In an optical mode of an ionic crystal there is a non vanishing polarization, \mathbf{P} .

If \mathbf{D} is the dielectric displacement $\text{div } \mathbf{D} = 0$ when there are no free charges.

In the presence of an alternating electric field

$$\mathbf{E} = \mathbf{E}_0 \exp i(\omega t - \mathbf{k} \cdot \mathbf{r}) \quad (18.20)$$


this leads to: $\mathbf{k} \cdot \mathbf{D} = 0$

i.e. $\mathbf{D} = 0$ or \mathbf{E} , \mathbf{P} and \mathbf{D} are perpendicular to \mathbf{k} . (18.21)

Similarly since $\text{curl } \mathbf{E} = 0$,
for an oscillatory electric field

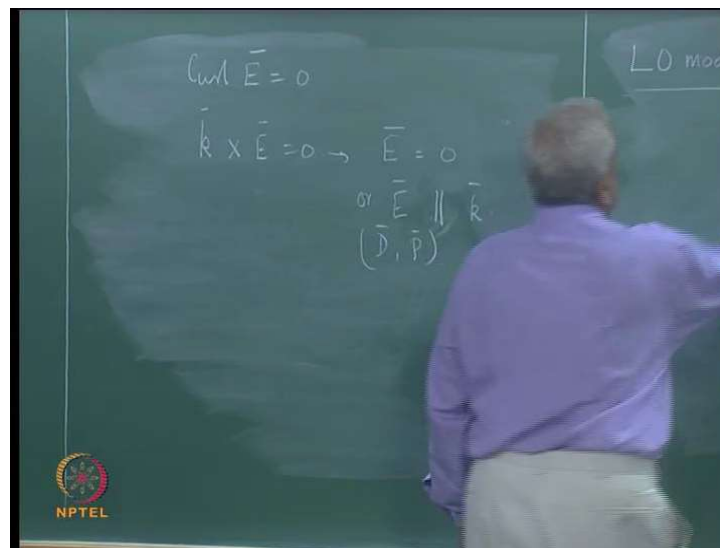
$$\mathbf{k} \times \mathbf{E} = 0$$

i.e. $\mathbf{E} = 0$ or \mathbf{D} , \mathbf{E} and \mathbf{P} are parallel to \mathbf{k} . (18.22)



Now, in addition we also have for the electric case the additional relation $\text{curl } \mathbf{E} = 0$ going equal to zero.

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$\text{curl } \mathbf{E} = 0$

$\mathbf{k} \times \mathbf{E} = 0 \rightarrow \mathbf{E} = 0$

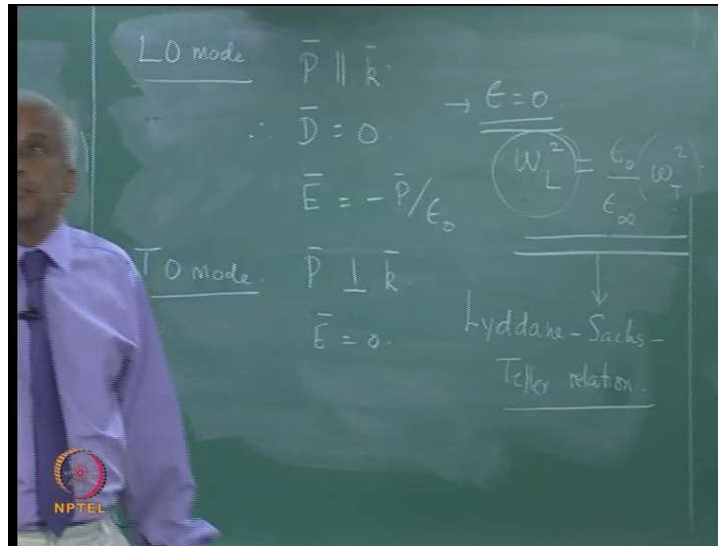
or $\mathbf{E} \parallel \mathbf{k}$
(\mathbf{D}, \mathbf{P})

LO mod



Now, curly cord is you know foreign hostility electric field again give rise to the relation $\mathbf{k} \times \mathbf{E} = 0$ this means either \mathbf{E} itself is zero or \mathbf{E} is perpendicular to \mathbf{k} or \mathbf{E} is parallel to \mathbf{k} . So, is the and \mathbf{P} . So, that these are the two possibilities.

(Refer Slide Time: 27:42)



Now, we can discuss an l o mode a longitudinal in an optic mode in an l o mode what happens it is defined by the polarization being parallel to keep. So, if p is parallel to k naturally this condition is ruled out there for only we have the d equal to zero and therefore, e is minus p value where as for the t o mode p is perpendicular to k which means that p s parallel to k therefore, e zero. So, this is the main difference between l o mode and the t o mode.

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In a longitudinal optic mode **P** is parallel to **k**
and since **D = 0**,

$$\mathbf{E} = -\mathbf{P}/\epsilon_0 \quad (18.23)$$

For a transverse mode in which **P** is perpendicular to **k**
k . D ≠ 0 while **E = 0**.


The dielectric permittivity, $\epsilon = \infty$.

$$\text{Hence } \omega^2 = \omega_T^2. \quad (18.24)$$

The frequency of the LO mode corresponds to $\epsilon = 0$.
This leads to :

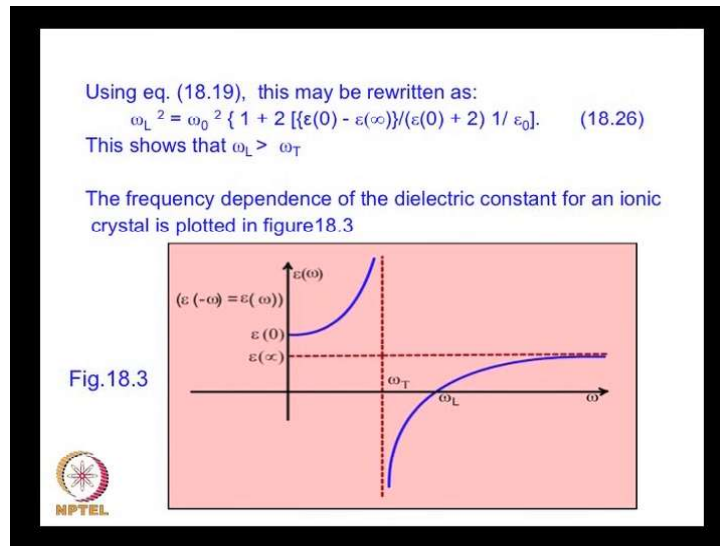
$$\omega_L^2 = (\epsilon_0/\epsilon_\infty) \omega_T^2. \quad (18.25)$$

This is known as *Lyddane -Sachs- Teller relation*.



Therefore you have the frequency of the L O mode corresponds to epsilon equal to zero in; that means, omega l square plugging back into the expression for the along L O mode omega l square is epsilon naught by epsilon in finite a into omega q square. So, this very interesting relation between a frequency of a L O mode and the frequency of a t o mode goes by a special name lezyne sects tailor this can be experimentally verify because no one can measure the static dielectric constant the high frequency the frequency angular frequency that the L O and T O modes. Therefore, one can check this relations now this in general gives back omega is usually greater than omega t. So, the frequency-dependent dielectric constant its variation for an ionic crystal is shown ionic the next figure.

(Refer Slide Time: 30:32)



And one can see that indeed frequency range between omega l and omega t there is a again and negative dielectric constant and on the ionic crystal is highly reflective.

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For frequencies lying between ω_T and ω_L it is negative and hence the refractive index is imaginary, indicating that no radiation can propagate in the crystal in this frequency range.

- Solutions to the dispersion relation $\omega = kc / \sqrt{\epsilon(\omega)}$ for *transverse* electromagnetic modes propagating in a diatomic ionic crystals. In the linear regions one mode is clearly photon-like and one clearly optical phonon-like. In the curved regions both modes have a mixed nature, and are sometimes referred to as "polaritons"

At very low frequencies

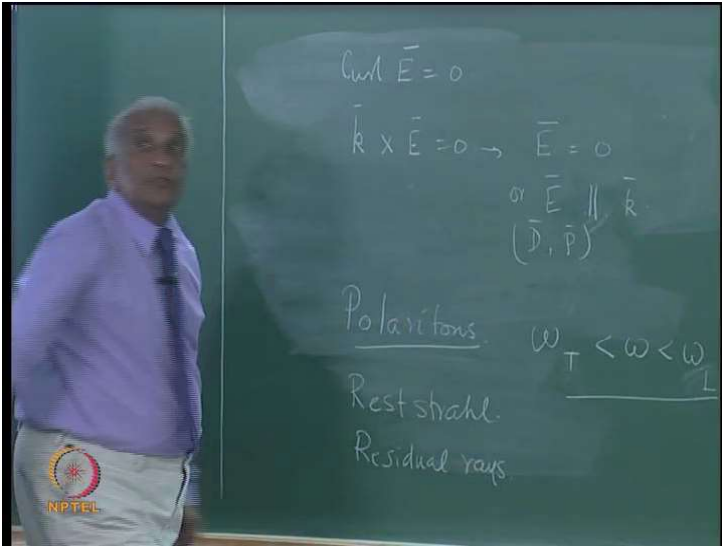
$$\omega = ck / \sqrt{\epsilon_0} \quad (18.27)$$

Then it levels off to ω_T .



So, no radiation can propagate in the crystal this frequency region laying between the transverse and longitudinal optical modes. Now we can also solve for the dispersion relationship for the transfers electromagnetic wave and modes and this gives you dispersion relationship and solved gives you a behavior like this. So, the dispersion relationship has to branches one laying below omega t and another laying above omega l. So, you have in the region there are linear regions one mode is clearly found like and one is clearly optical.

(Refer Slide Time: 31:49)




Chalkboard content:

$$\text{curl } \vec{E} = 0$$
$$\vec{k} \times \vec{E} = 0 \rightarrow \vec{E} = 0$$

$\therefore \vec{E} \parallel \vec{k}$
(D, P)

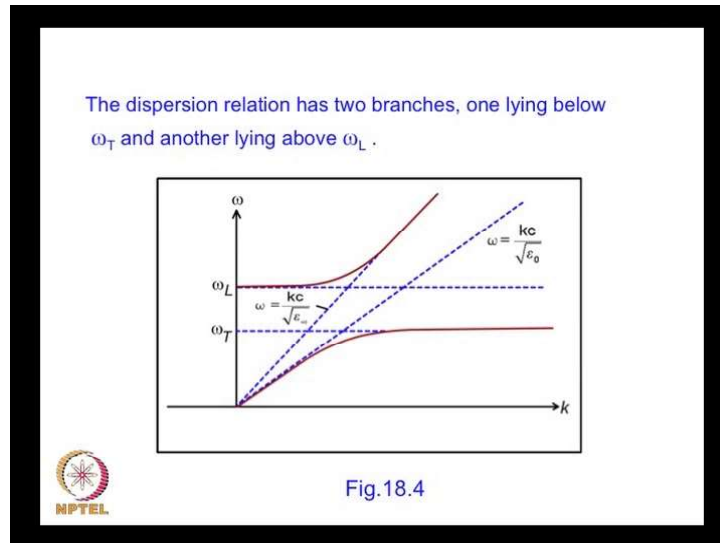
Polaritons $\omega_T < \omega < \omega_L$

Reststrahl.
Residual rays



So, this excitation is known as polarity dance at at very low frequency is we see omega goes as linear and it is levels of the omega t and at highly frequencies about the other branch.

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You have again in linear region at very low frequency is it levels off to omega t and again you have at high frequencies you have a linear region again it levels of up a syntactically to the longitudinal optical.

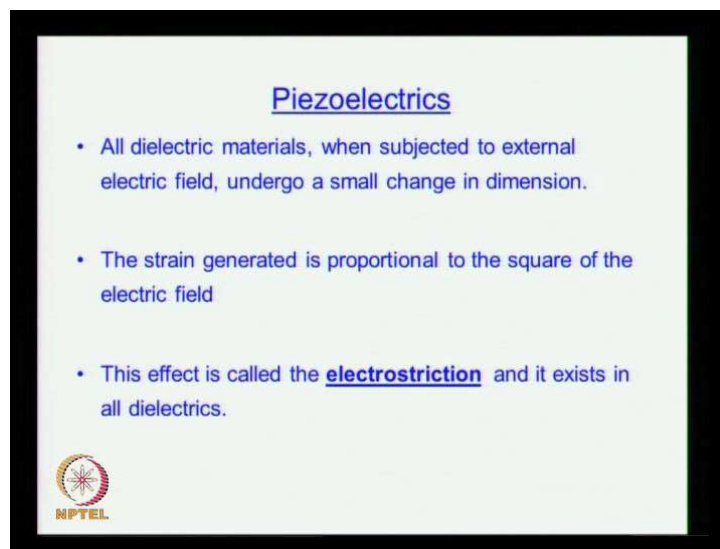
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In the frequency range lying between ω_T and ω_L electromagnetic waves are reflected from the surface of the crystal. After many reflections only the component of radiation with frequencies very close to ω_T will survive. This surviving radiation is called residual ray or reststrahl.

This provides a precise way to measure ω_T and to produce monochromatic radiation in the infrared.

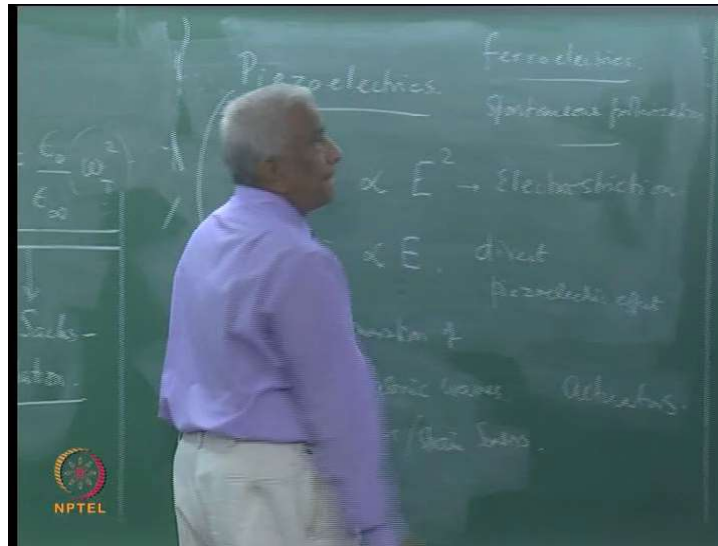
So, in the region between ω_0 in this frequency range you have highly reflected behavior and the e m waves are reflected from the surface at the cut-off. So, you can after many reflections only the component of radiation frequencies very close to ω_0 will survive. So, you can have a surviving radiation which is known as a rest tone or in English is a German-term which means residual tone. So, you can have a very precise to measure the transfers of the pick mode frequency and also produced monochromatic radiation close to ω_0 which lies in the intraband. So, these are some variations of this behavior.

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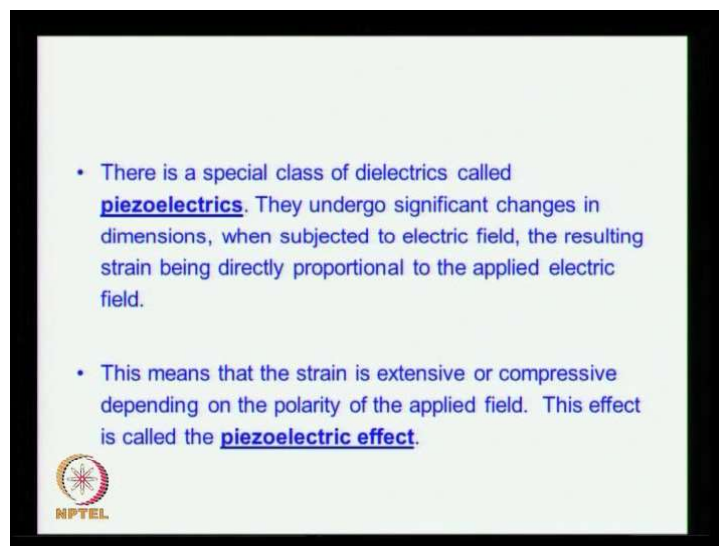
We know all closed is that their discussions brief discussion of very remarkable you kind a substances known as b. So, electric's.

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In general all the dielectric material when you subject it to an external electric field have sufficiently large magnitude undergoing a small strain in a dimension. So, there is a strain generated which is proportional to the square of the electric field at high enough fields.


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So, this effect is known as an electrostriction and this is observed in all the dielectric materials at high enough electric fields, but piezoelectrics is different. This is a special class of dielectric where there is a significant change in dimension in and subjected to an electric field where the piezoelectric strain is proportionately to the field. So, they

strain depending on whether what is the polarity the field you can have a compressive if you are extensive string.


(Refer Slide Time: 35:10)



- The piezoelectric effect masks the feeble electrostrictive effect in these materials. The reverse effect also exists in these materials, that is, when they are subjected to strain by applying pressure/stress, they develop charges on opposite faces, resulting in an electric field.
- **Jaques and Pierre Curie** first observed this effect in quartz crystal in 1880 and called this *Piezoelectricity*, 'piezo' meaning pressure.

So, this is piezoelectric, now usually the electric effect masks the electrostrictive effect. Now you can have not only a direct electric effect but, but also in direct reverse effect in which you have a field resulting and then an electric field resulting from an applied strain. Jacques and Pierre Curie first observed this effect in 1880 and all means, but if that. So, that is the reason for the terminology.

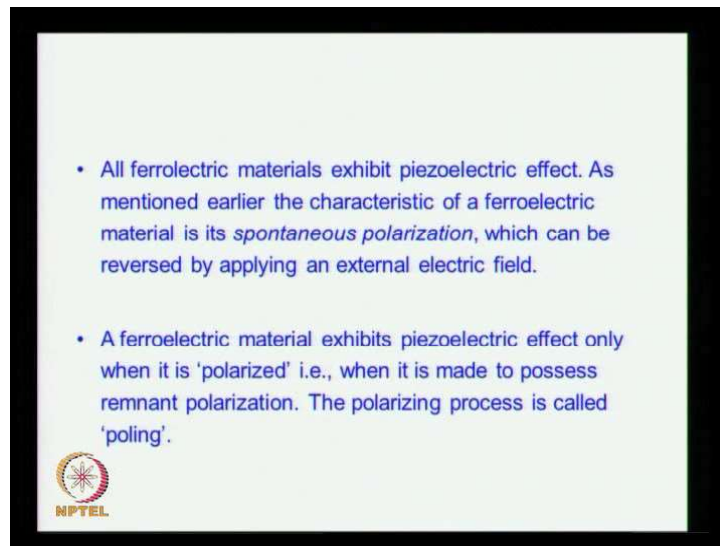
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- The direct and the indirect piezoelectric effects have many applications as the effects involve conversion of mechanical energy into electrical energy and vice versa.
- The applications include generation and detection of ultrasonic waves (sonar), pressure/strain sensors and actuators.
- Materials belonging to the crystal classes which possess center of symmetry do not exhibit piezoelectric effect and so they are only electrostrictive.

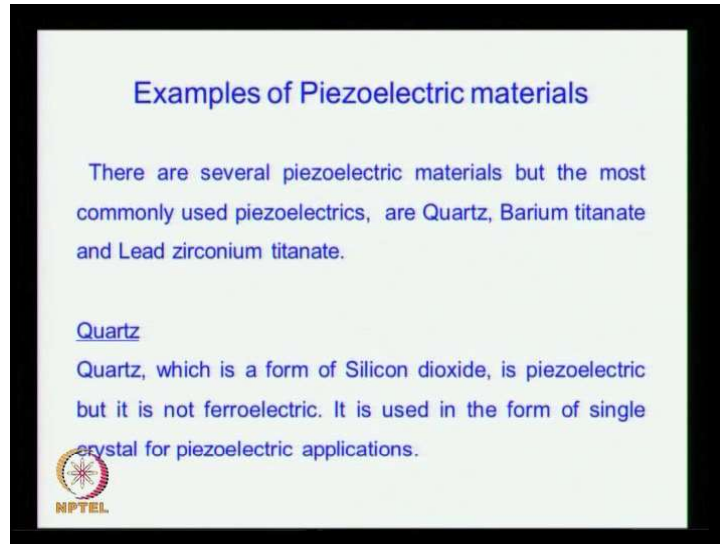
Now, this is interests comes because of the applications apply it well generation all ultra sonic it is because it is trained needs used in sauna and then you can also have pressure are strain since we I based on director reverse piezoelectric effect you can also have actuators these electric actually. So, usually materials belonging to crystal which classes these processes and center of symmetry do not exit it piezoelectric effect because the linearity. So, it is only the non centro symmetric crystals which produce the piezoelectric effect.

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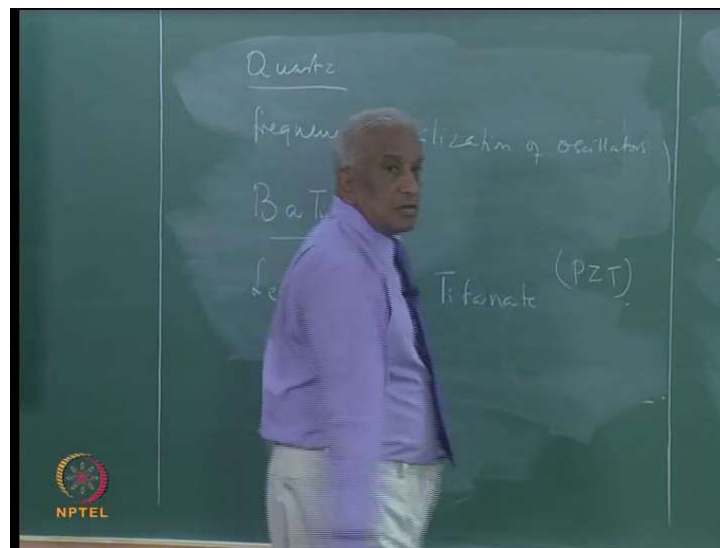
Now, that shown in next couple of figure direct and the indirect is adding effect I and that usually we have already discussed ferro electric all ferro electric display piezoelectric. Now in the case of a ferro electric material the important characteristic is that there exists a spontaneous polarization which can be the reverse by applying the external electric field. So, a ferro electric material exhibits a piezo effect only when it is polarized in this way that is when it is made to process ramnant and polarization the polarizing processes is call only the error that.

(Refer Slide Time: 38:15)



What are the material typical examples one as the material which is very well known a piezoelectric material is quartz.

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Quartz is silicon dioxide usually piezoelectric, but not ferroelectric. It can be used in the form of a single crystal and when we have quartz crystal.

(Refer Slide Time: 38:44)

Quartz

- When mechanical vibrations such as acoustic or ultrasonic waves are applied to the crystal, the sinusoidally varying stress (or strain) generates an alternating electric field. Thus the crystal can be used as a acoustic or ultrasonic detector.
- Alternatively, if an alternating voltage is applied across the faces of the crystal, the crystal gets deformed or strained (indirect piezoelectric effect) getting expanded and contracted at the same frequency as the applied field, thus acting as acoustic or ultrasonic generator.



This is use very often in frequency stabilization of as electives appeaser piezoelectric quad crystal gives you frequency stabilization.

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Quartz

- Schematic diagram of a single crystal of quartz with its natural faces and crystallographic directions is shown here.

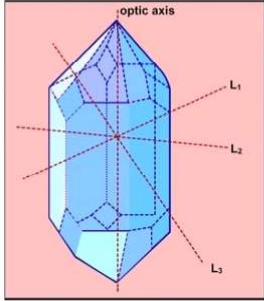

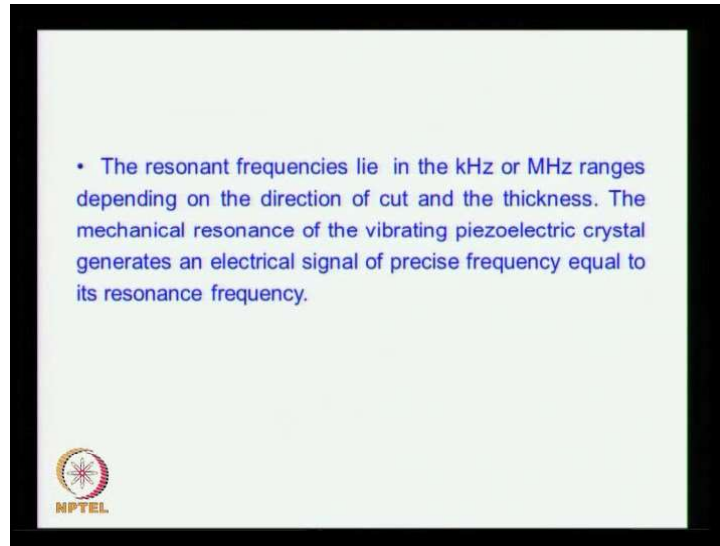


Fig.18.6



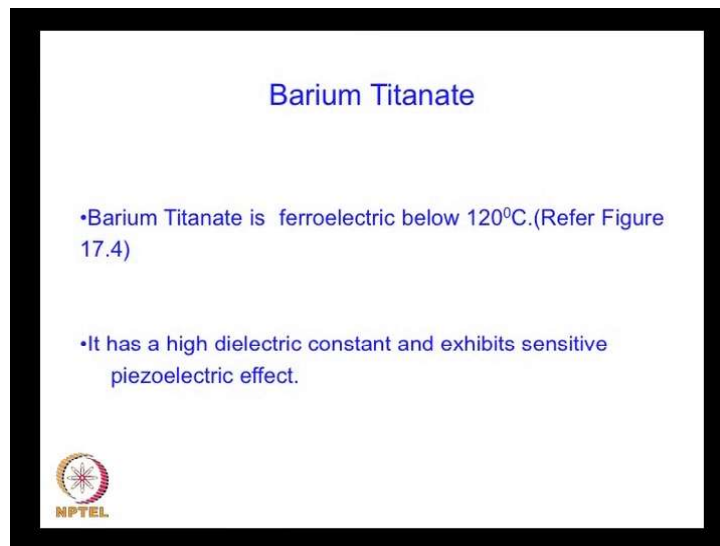
This figure shows a single crystal of quad's in the different cuts type which are implied for production of x piezoelectric slices with the different frequencies are shown in the figure.

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Now, their evident frequencies can lay in the kilohertz or megahertz depending on the direction of cut and the thickness of the crystal.


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So, that is the oscillator the next material is barium titanate. We already discussed this material. It becomes a ferroelectric material below 120°C and as it has a high dielectric constant, it exhibits a very sensitive piezoelectric effect.

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- Unlike quartz, it is used in the polycrystalline form and so has the advantage of easy fabrication in any required shape like disc, cylinder etc.
- The chemical formula of the ceramic is PbZrTiO_3 . It is a mixture of Lead Zirconate and Lead Titanate in nearly equal proportions.
- It crystallizes in the perovskite structure which is the same as that of Barium Titanate with Zr or Ti atoms occupying the body centre of the unit cell.



Another one is lead zirconate well it is lead zirconate titanate is it is that on. So, this is the widely used a piezoelectric it looks at me, but plans to use application now it is also used in the polycrystalline farm.

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Lead Zirconate Titanate

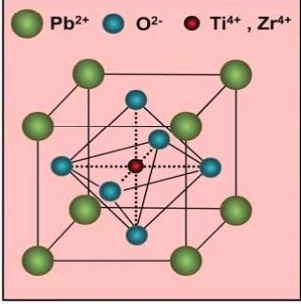



Fig.18.7

Lead Zirconate Titanate (PZT) is the most widely used piezoelectric ceramic for transducer applications.

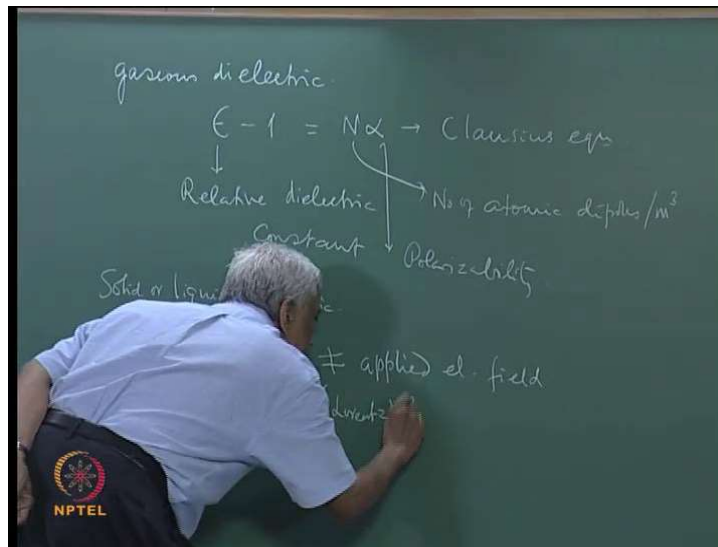


So, it as an advantage of easy fabrication that is the crystal structure of let us open it paid and this there the most widely used piezoelectric material.

Condensed Matter Physics
Prof. G. Rangarajan
Department of Physics
Indian Institute of Technology, Madras

Lecture - 17
Dispersion and Absorption of Electromagnetic Waves
In Dielectric Media:
Ferro-and Antiferroelectrics

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In the last lecture, we discussed the basic mechanism of polarization of a dielectric medium, and we saw that in the case of gaseous dielectric gases so we have the relation $\epsilon - 1 = N\alpha$ this is known as a Clausius equation. Here ϵ is the relative dielectric constant, N is the number of dipoles atomic or molecular dipoles per meter cube, and α is the polarisability. We also saw that when we come to a condensed phase like a solid or liquid, we saw that this equation is not valid anymore, because of the presence a local field which is not equal to the applied electric field because of the presence of an internal field which is also known as the Lorentz field.

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In condensed dielectric media this relation is not valid because the dipoles interact among themselves and produce an internal electric field so that the local field E_{local} is different from the applied field. The local field at a point A within the dielectric consists of two parts

- The macroscopic due to the external field and the depolarizing field due to the charges generated on the surface of the dielectric ($E_{macroscopic}$)
- Internal electric field due to dipoles in the immediate surroundings of the point.



So, it is this E_{local} which has to be used here in this equation, and this leads to a modification of the Clausius equation in the form and this is known as the Clausius-Mossotti equation and this is what is valid for solids and liquid dielectrics.

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Using the relation

$$P = \epsilon_0 (\epsilon_r - 1) E_{macroscopic} \quad (17.1)$$

it is straightforward to obtain the equation

$$\frac{\epsilon_r - 1}{\epsilon_r + 2} = \frac{N\alpha}{3} \quad (17.2)$$

This is known as Clausius- Mossotti relation and is found to hold good for liquids and solids.



So, this is the Clausius Mossotti equation which has to be used for solid and liquid dielectric, and therefore of relevance class.

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From electromagnetic theory we know that

$$\epsilon_r = n^2 \quad (17.3)$$

where n is the refractive index for light. We may therefore write equation (17.2) as:

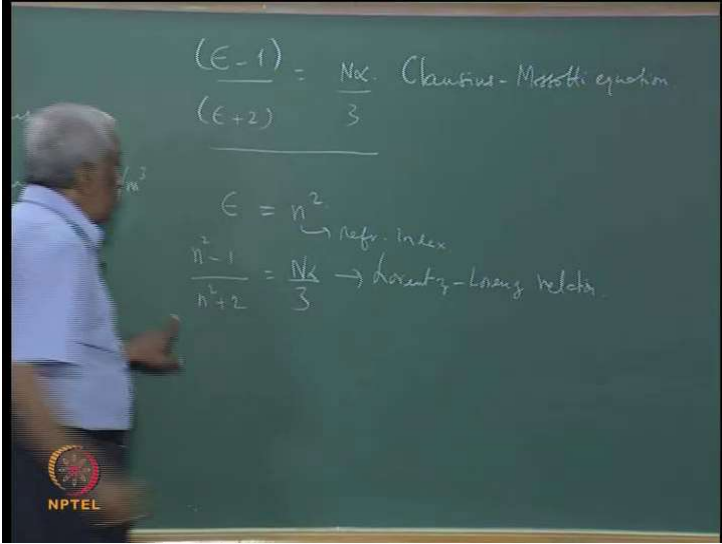
$$\frac{n^2 - 1}{n^2 + 2} = \frac{N\alpha}{3} \quad (17.4)$$

This is known as Lorentz-Lorenz relation and is experimentally observed at optical frequencies.



Since we also know that the dielectric constant is proportional to n square from em theory we can also write this as in this form this is known as the Lorentz relation.


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$$\frac{(\epsilon - 1)}{(\epsilon + 2)} = \frac{N\alpha}{3} \quad \text{Clausius-Mossotti equation}$$

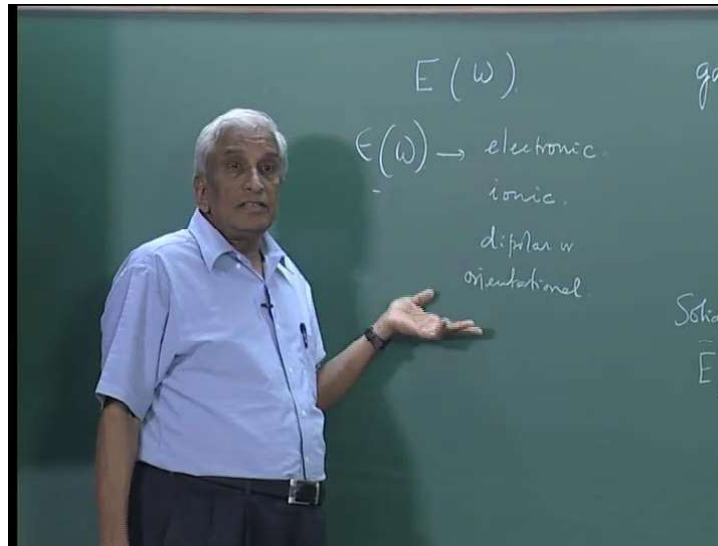
$$\epsilon = n^2 \quad \text{refr. index}$$

$$\frac{n^2 - 1}{n^2 + 2} = \frac{N\alpha}{3} \quad \text{Lorentz-Lorenz relation}$$



So, if you measure the refractive index n , this is the reflective index, you can use this equation at optical frequencies.

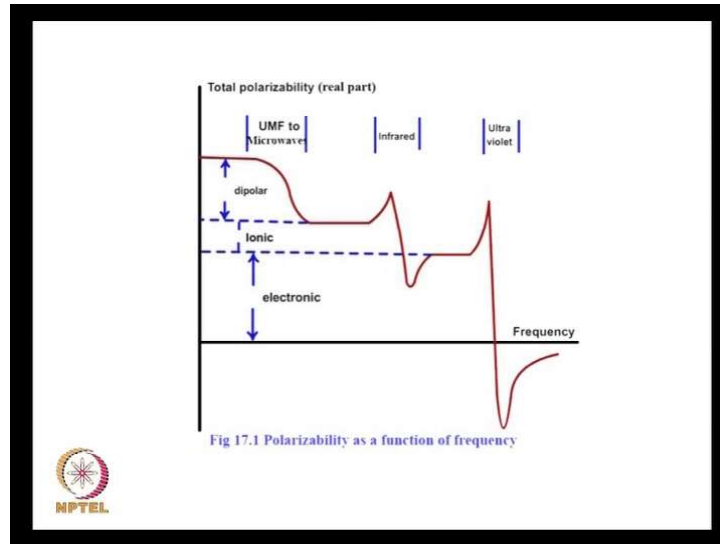
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So, this is the overall situation and we also saw how when we go from the static to the dynamic situation where the applied field is a function of omega the frequency, so it is an alternative field for example, ((Refer Time: 04:36)) field. Then you have the frequency dependent dielectric constant, which is basically because of the polarization of various entities in the medium. The mechanism of polarizations can be different electronic, ionic and dipolar or orientation. Last time we discuss these with specific reference to examples such as sodium chloride and water and so on. Sodium chloride is the ionic material and the ionic contribution will not be able to keep phase, will not be able to follow the past frequency variations of the applied dielectric field. And therefore, it will not contribute to the polarization at high frequencies.

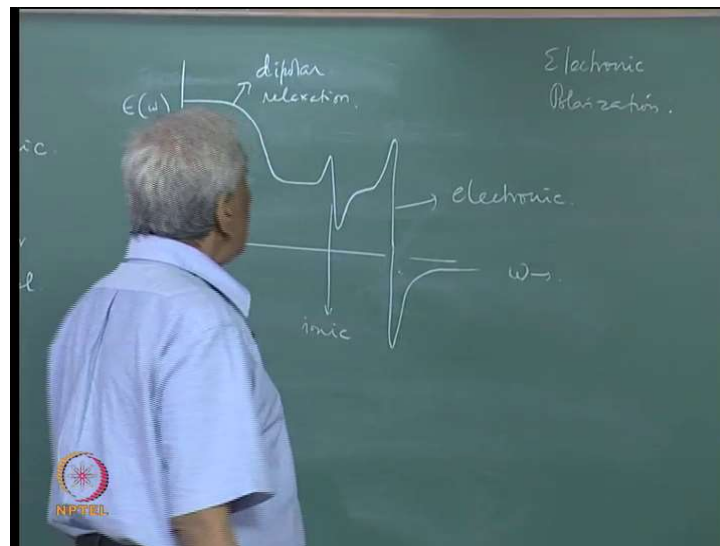
Whereas, the electronic polarization will go all the way will be able to follow the polarizations follows the field variations right up to the ultraviolet across the visible spectrum. And for example, if you have a polar molecule like water in which there is a permanent electric dipole moment then there is an orientation of these dipoles in the applied electric field and this also has a certain time constant. And therefore, depending on the relaxation of these dipoles, this will also contribute only up to a certain frequency such as microwaves.

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So, this is what we saw last time, and all these three together are illustrated in the next figure, where we have plotted the polarisability as a function of frequency. Now what we see is that at low frequencies, you have the dipolar mechanism, so you have something like this.

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So, the epsilon omega function of omega starts from this zero static value and goes down and then there is the region here and then region here. So, that is the overall shape of the polarisability as a function of frequency. So, this is the dipolar region, dipolar relaxation

this is the ionic and this is the electronic, so that is the overall behavior of the polarized ability and we will consider these in some detail next.

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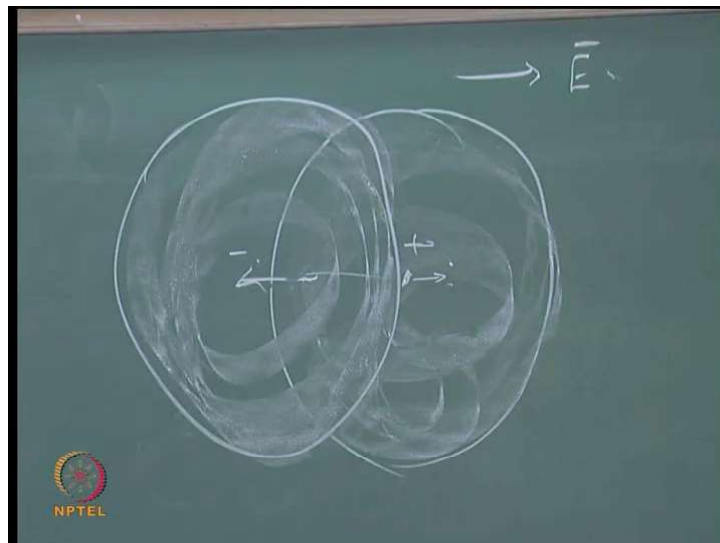
Electronic polarization:

The electrons, which are bound to the atoms and molecules, are displaced by the electric field. In the static case the atomic polarizability may be calculated using Gauss' theorem to determine the electric field at a displaced position with respect to the equilibrium configuration in which the centers of positive and negative charges coincide in an atom with a spherically symmetric electron distribution.



So, let us consider electronic polarization. So, we have a medium in which there are bound electrons, the electrons are not free in a dielectric like unlike in a metal. So, they are bound electron. So, when the electric field is acts upon them the electrons are only slightly displaced by the electric field. So, first let us see how this polarisability may be calculated in the static case.

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So, this can be done because we have already seen how in the presence of an applied electric field in the case of spherical atom the electronic cloud with the nucleus, the nucleus in the presence of an applied electric field how is the nucleus gets displaced slightly while the electronic cloud is displaced much more. And so there is the rigid shift of this electronic cloud. So, the centers of positive and negative charges are displaced relative to each other say by an amount x . So, now, we can use Gauss theorem to calculate the polarisability.

(Refer Slide Time: 09:22)

The image shows a chalkboard with the following handwritten equations:

$$E(x) \cdot 4\pi x^2 = -\frac{e \cdot x^3}{\epsilon_0 a^3}$$

$$\rightarrow p = -ex = \underline{4\pi\epsilon_0 a^3 E}$$

$$\alpha = \underline{4\pi a^3}$$

The NPTEL logo is visible in the bottom left corner of the chalkboard image.

Because Gauss theorem says that the flux due to an electric field is equal to the charge, which is minus e is the electronic charge times x cube by a cube. Because in both cases will be four-third pi x cube and four-third pi a cube I am writing four-third pi cancels out and this is all we are left with. So there we have $e \cdot x$ equal to $4\pi\epsilon_0 a^3 E$, and this is what we call the induced dipole moment. And therefore, we can see from the definitions of the polarisability that the polarisability α is $4\pi a^3$, so it is proportional to the atomic volume, this is of course, in the static situation.


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From the definition of the polarizability, α , we readily obtain

$$\alpha = 4\pi a^3 \quad (17.8)$$

We thus see that the polarizability of an atom is proportional to the atomic volume.

When the applied electric field varies sinusoidally with time, we can write down the equation of motion of a bound electron as:

$$m \frac{d^2x}{dt^2} + b \frac{dx}{dt} + \omega_0^2 x = -eE \exp(i\omega t) \quad (17.9)$$


But when there is a time dependent or an oscillating electric field the response of the electron a given electron can be written using the equation of motion which is $m \frac{d^2x}{dt^2} + b \frac{dx}{dt} + \omega_0^2 x = -eE \exp(i\omega t)$. This is because the electronic motion always experiences a resistance for its motion. And this resist the force by unit mass is the taken to be proportions to this speed or velocity then the bound electron has it is bound with a kind of elastically or to the atom with a kind of force which can be represented in the simple approximation.

The simplest model by harmonic oscillators of natural frequency ω_0 , so that I can write $\omega_0^2 x = -eE \exp(i\omega t)$, so that is the equation of motion which is to be solved and be assume, since I am writing only the time-dependent here. So, I has so assume that the displacement also has a form $e^{i\omega t}$, so that there might be a full shift, but we will just consider this ω_0^2 here is just the k/m where k is the time force constant.

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$X = x_0 e^{i\omega t}$ $\downarrow \frac{k \rightarrow \text{force}}{m \text{ Constant}}$
 $(-m\omega^2 + i b \omega + \omega_0^2) X_0 = -e E_0$
 $X_0 = \frac{-e E_0 / m}{(\omega_0^2 - \omega^2 + i b \omega)} = \frac{e^2 E_0 / m}{(\omega_0^2 - \omega^2 + i b \omega)}$
 $p_0 = -e x_0$

So, using the, we can immediately write $m\omega^2 + i b \omega + \omega_0^2$ times x_0 equal to minus $e E_0$. So, that x_0 can be written it is not in written as well we will write a b by m as b you will just define this by the damping per unit mass, so that the simpler left with this. So, immediately we can calculate the dipole moment because the dipole moment in this case is minus $e x_0$ at the amplitude of the oscillating dipole moment and therefore, this will be and since we have the dipole moment the protocol polarization is just n times p .


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$E(\omega)$
 $\epsilon(\omega) \rightarrow$ electronic.
 ionic.
 dipolar ω
 orientational.
 $P_0 = N p_0$
 $= \frac{N e^2}{m} E_0$
 $\frac{N e^2 E_0}{(\omega_0^2 - \omega^2 + i b \omega)} = (\epsilon - 1) \epsilon_0 E$

So, this is the naught and therefore, this will simply be n^2 by $m^2 \epsilon_0$ by ω^2 minus ω_0^2 plus $i b \omega$ and therefore, since the relation between this is what gives you the epsilon. So this can be written as epsilon minus one, so that we get the dielectric constant and because of this factor, i here this becomes a complex quantity and therefore, the epsilon is in general complex.

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A change of the real part of the dielectric constant with frequency also implies a corresponding change of the refractive index with frequency. This is known as dispersion. The imaginary term which is peaked at ω_0 and has a Lorentzian line shape with a width which is proportional to the damping coefficient, b , gives rise to energy dissipation and hence known as absorption. This may be readily seen from the fact that the power dissipated, W , per unit volume in an electrical circuit is:

$$W = JE \quad (17.10)$$


So, let us write it as epsilon prime plus i epsilon double prime. So, we have the complex dielectric constant. So, the real and imaginary parts are there. So, it can be separated into real and imaginary part and we have these are plotted here.

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where J is the current density and E is the electric field. In a dielectric medium the only type of current flowing is the displacement current,

$$\frac{dD}{dt} = \frac{d}{dt} \left[\epsilon_0 (\epsilon_r' + i\epsilon_r'') E_0 \exp(i\omega t) \right] \quad (17.11)$$

On taking the product of the real part of this expression with that of E and averaging over one cycle we get:

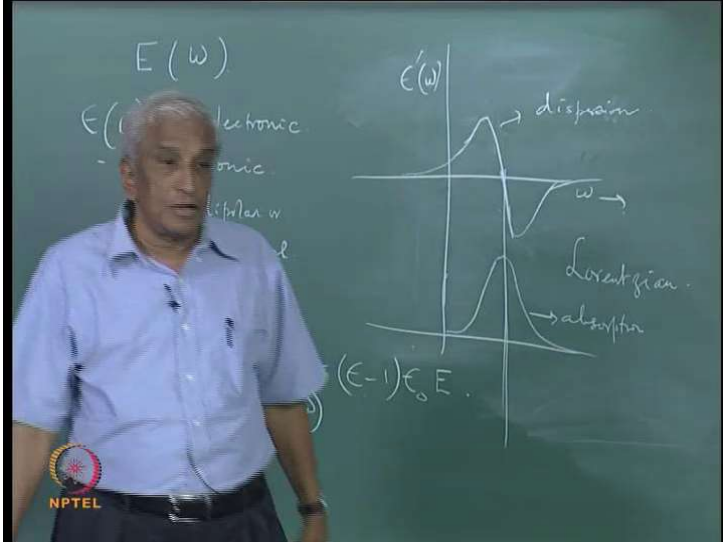
$$W_{av} = \frac{1}{2} \epsilon_0 \epsilon_r'' \omega E^2 \quad (17.12)$$

The dielectric loss is therefore proportional to the imaginary part of the relative dielectric constant.



They are given the real and imaginary parts are given in the equation here and therefore, you can see that they are plotted here the real and imaginary parts of the dielectric constant as a function of frequency.

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So, the real and imaginary parts look like this. So, I take epsilon prime omega as a function of frequency in the neighborhood a resonance then I have something like. So, that is the kind of response. So, this is real part of the dielectric constant while imaginary part below. So, these two so the imaginary part is the maximum. So, this is what is

known as a Lorentzian, because these the dispersion part and this is the absorption. So, dispersion and absorption the real part in the dielectric constant is associated with dispersion while the imaginary part of the dielectric constant is relative to the absorption. Now we saw that there are several other mechanism will not consider the ionic in detail. Now we will instead go to the other case where there are permanent dipoles in the system.

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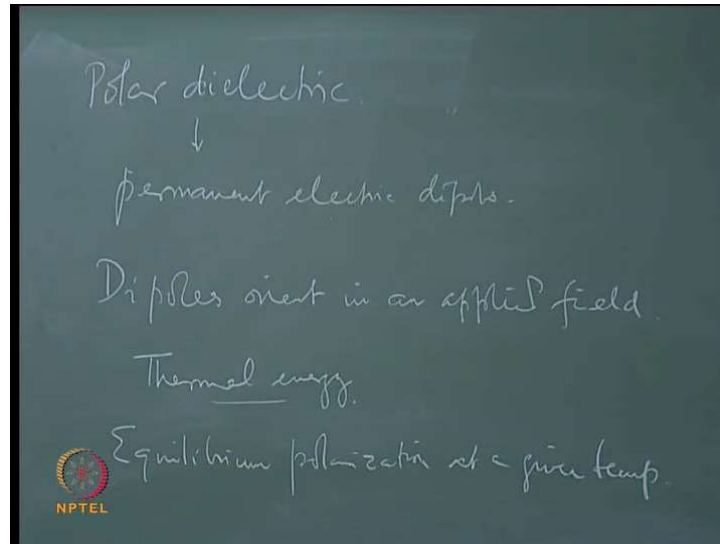
Orientation polarization in polar dielectrics

A polar dielectric is one in which there are permanent electric dipoles because the centers of positive and negative charges do not coincide. Typical examples are: water, CO₂, HCl, chloroform etc. The dipoles tend to orient themselves in an applied electric field while thermal energy tends to disorient them. The equilibrium polarization at a given temperature may be calculated by considering the assembly of non-interacting dipoles as a classical gas.



So, the medium in the dielectric medium is what is called the polar dielectric for example, water water has a large dipole moment.

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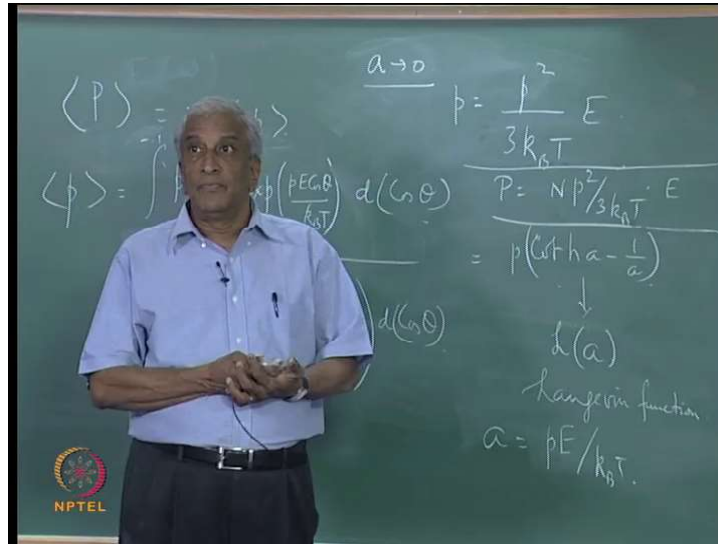


So, if you have a polar electric this means that there are permanent electric dipole moment as the distinct from induce electric dipoles which persist only as long as there is an applied electric field, for example, some typical is abstractions are water carbon dioxide. So, a hydrogen chloride chloroform etcetera they are all highly polar dielectric. So, what happens is that these dipole tend to orient themselves orient in an applied field. So, it is this orientation which gives you the polarization. In this cases because if do orient more as a orient more and more like get aligned along the electric field there is the the polarization increases. Now this orientation tendency is opposed by the thermal energy this is some as thermal energy which tends to restoral the disorder, which tends to thermal energy is always lens leads to disorder the dipoles.

So, there is a ordering influence due to the applied field and which completes with these this ordering influence the equilibrium polarization at any given temperature at a given temperature that is what determine the dielectric constant. So, this can be easily calculated and this is the temperature dependent process unlike the induce dipole mechanism or the electronic ionic mechanism. So, this is the temperature dependent because the thermal energies more. So, there is a temperature dependence for the orientational polarized ability. So, this is now the orientational contribution to the polarized ability can be separated from the electronic for ionic contribution because you measure the dielectric constant as a function of temperature a different temperature and

then the temperature dependent part comes from the orientation of mechanism. So, let us consider this mechanism, we have let us write down what is the polarization.

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The polarization is P - it is a thermal average statistical average which is n times the individual average of the individual dipole moment. Where this average p is integral p cos theta, this is the projection of the dipole moment of the permanent dipole in the direction of the applied field times. There is the usual Maxwell Boltzmann factor statistical factor times b f cos theta zero to pi zero to one minus one point to minus one exponential p e cos theta by k b t and d of cos theta.

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We thus have:

$$\langle P \rangle = N \langle p \rangle \quad (17.13)$$

where

$$\langle p \rangle = \frac{\int p \cos \theta \exp(pE \cos \theta / k_B T) d(\cos \theta)}{\int \exp(pE \cos \theta / k_B T) d(\cos \theta)} \quad (17.14)$$

Performing the integrations we get :

$$\langle p \rangle = p \left[\coth a - \frac{1}{a} \right] = pL(a) \quad (17.15)$$



Now this can be evaluated you get this as this is the functional dependent this function is usually known as the Langevin function L of a .

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where $a = pE / k_B T$ and $L(a)$ is Langevin function.
For $a \rightarrow 0$ this leads to :

$$\langle p \rangle = \left(\frac{p^2}{3k_B T} \right) E \quad (17.16)$$

so that

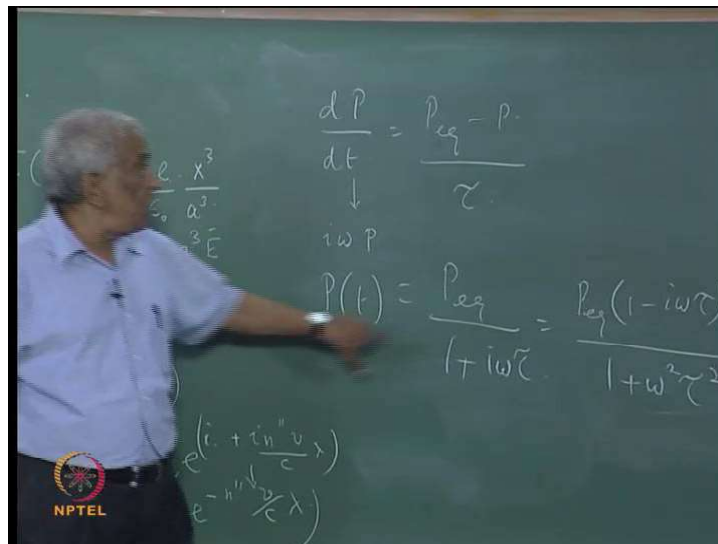
$$P = N \langle p \rangle = \left(\frac{Np^2}{3k_B T} \right) E \quad (17.17)$$


Now, a is pE by $k_B T$ for a tend into zero that is pE by $k_B T$ tends to zero that is in small applied fields at very high temperatures P goes to p^2 by $3k_B T$ times E . So, the orientation of all receptivity is just is square by $3k_B T$. And therefore, the total polarization is p equals. So, that is why orientational polarization as of and it has an inverse temperature of one temperature dependence for the absolute temperature T . So,

you have a dielectric susceptibility which varies inversely with the temperature and like the electronic ionic contribution.

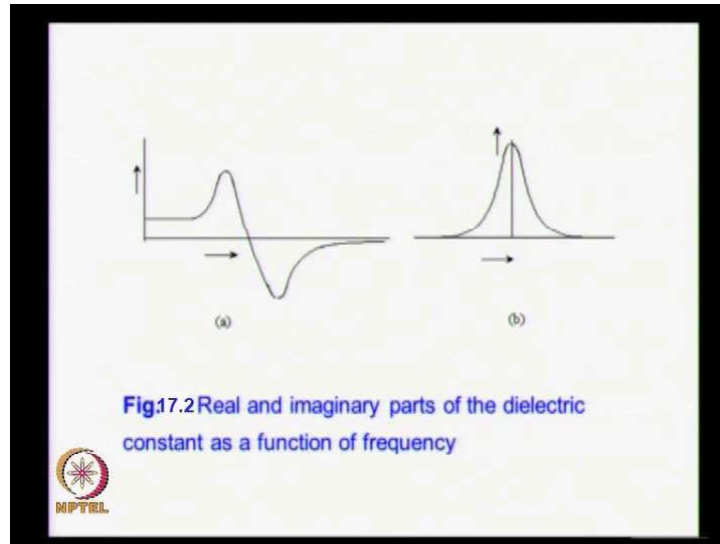
So, if you measure the dielectric constant as a function of temperature and plot it as of versus $1/T$, you will get a straight line for the orientational contribution which may therefore, be separate. Now this alignment, which we talk about these the equilibrium alignment of the dipoles is not of course, an instantaneous process and is govern by a relaxation time. So, there is a time dependent polarization which is due to the alignment of these dipoles this time dependence can be written.

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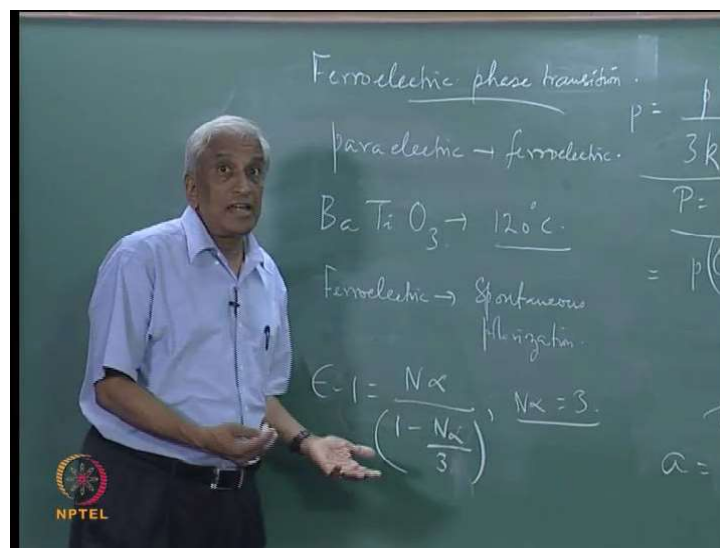
In terms of the time dependence of the polarization can be written as p equilibrium minus p by τ where τ is a characteristic relaxation time for the dipoles to get align and p equilibrium the equilibrium polarization. So, if you have this we can write this as $i\omega p$ therefore, this give you the rate equation which is p equal to p equilibrium by one minus $i\tau$. And so one plus and this is p equilibrium one minus $i\omega\tau$ by one plus $\omega^2\tau^2$. So, again you can see that the equilibrium polarization is complex and therefore, the relative dielectric constant due to this mechanism is also a complex quantity again the real part of which is the dispersive part and the imaginary part is the absorption. So, this is the contribution which we showed in the figures.

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We now come to a very important related concept namely that of ferroelectric phase transition. We talk at the beginning of this lecture course about thermodynamic phase transition such as the gas to liquid transition and then the liquid to solid phase transitions.

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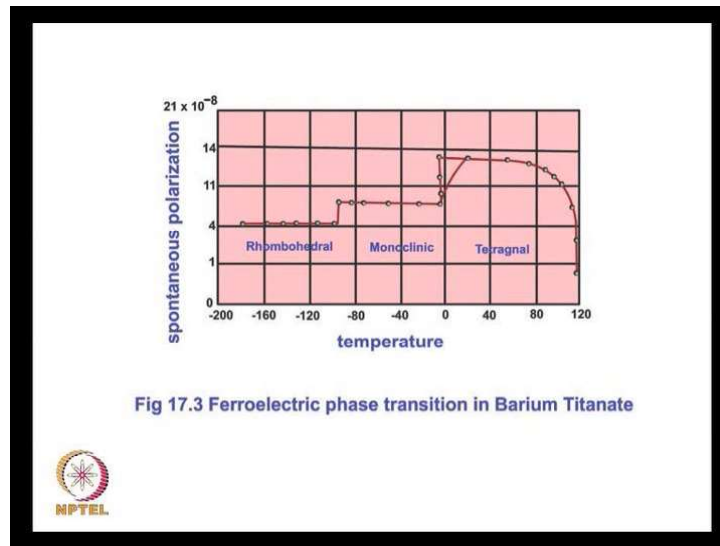
We are going to talk about another kind of phase transition, which takes place in the dielectric material which is known as the ferroelectric phase transition. So, an important this is an important class of phase transitions which go which take the material from a para electric to a ferroelectric this at low-temperature. For example, variant barium

titanate is a very well-known ferroelectric material which has a ferroelectric phase transition temperature in the neighborhood of 120 degrees Celsius. So, below hundred and twenty degrees Celsius it is ferroelectric about this it is a paraelectric. What do you mean by a ferroelectric material a ferroelectric material is characterized by existence of what is known as a spontaneous polarization there is a spontaneous polarization normally till now we have been talking about situation where an applied electric field.

Hence to create a polarization induce a polarization or orient the dipoles to produce a polarization net polarization. So, here these are entirely different situations where even in the absence of an applied electric field there is a polarization a polarization is present even when there is no applied electric field. So, it is called spontaneous polarization ferroelectrics are materials which are characterized with the existence of a spontaneous polarization whereas, a paraelectric is one in which there is no spontaneous polarization and you need an applied electric field in order to create a polarization now this ferroelectric behavior may be qualitatively understood from the Clausius Mossotti relationship.

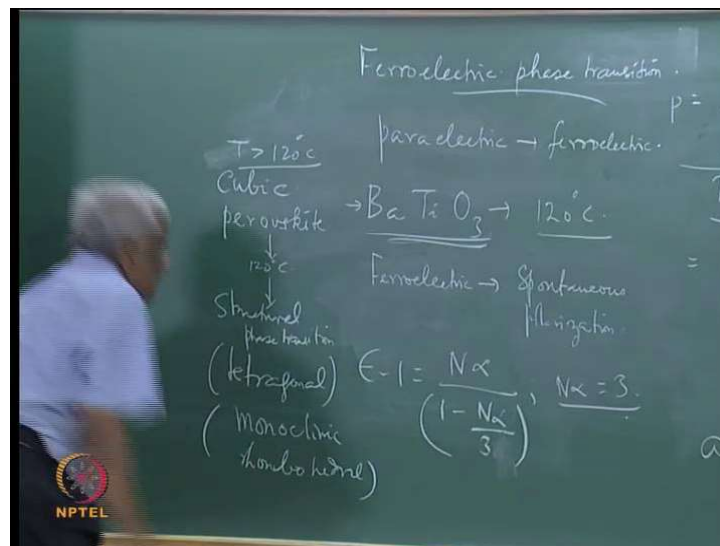
Now if you take this we have something like so this let you recall this this is the cloud of Clausius Mossotti relation and look at if you look at the denominator this denominator will become zero than n alpha equal to three then there's become zero and therefore, the dielectric constant singular it blows up. So, this means there would be a non-zero polarization even than the field is zero this is known as the polarization catastrophe where they are dielectric constant the polarization blows up and goes to infinity because of this behavior. So, when this condition is made.

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So, this behavior is illustrated in the next picture where the ferroelectric phase transition is shown in barium titanate at 120 degree Celsius. So, there is a polarization catastrophe.

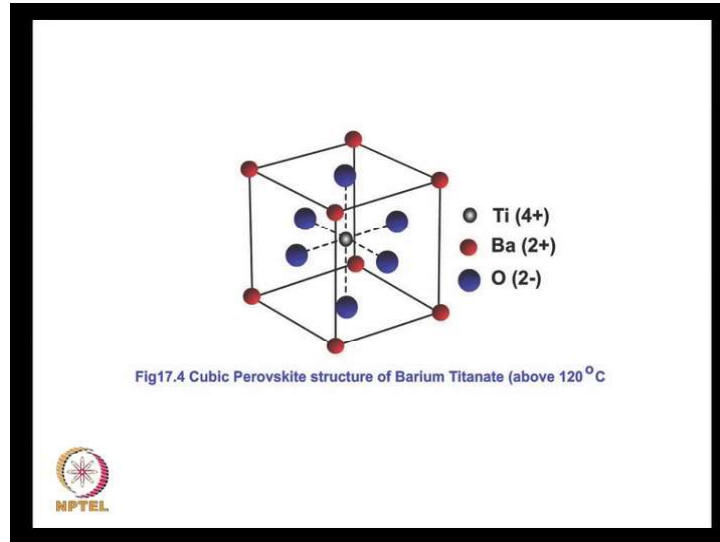
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So, above the transition temperature of a 120 degrees Celsius barium titanate exist in the cubic phase. This structure is a cubic structure which is known as the perovskite structure above where a conceive cool it below 120 degrees Celsius. There is a structural phase transition the structure the crystal structure changes along with the ferroelectric phase

transition this becomes a tetragonal structure below 120 Celsius. So, and then subsequently becomes some monoclinic rhombohedral.

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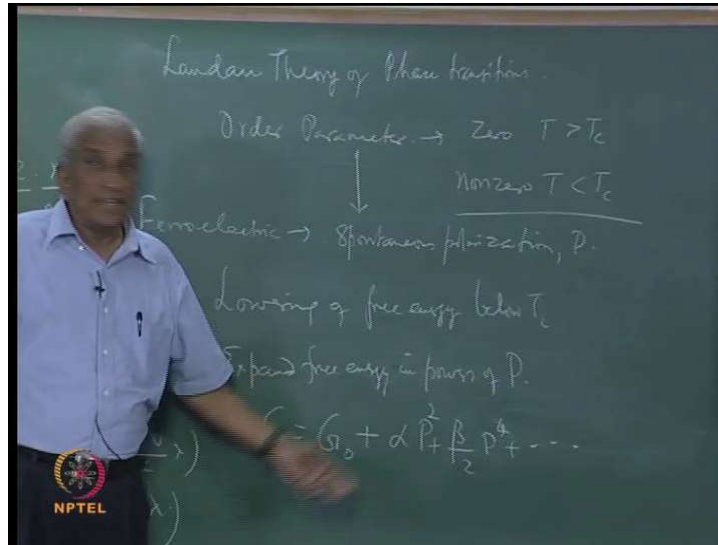
So, this is shown in a this picture the cubic ferrite structure a barium titanate is shown in the figure. This is the structure of the barium titanate the titanium ions or at the centre of this cubic cell and surrounded by an oxygen atoms and then the barium atom occupy the corners of this cube.

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Above the transition temperature of 120°C, it has the cubic perovskite structure shown in Fig. 17.4 while below the transition temperature, it undergoes a structural phase transitions to a tetragonal, monoclinic and rhombohedral structures as shown in Fig.17.3 This happens because the Ti ion which is at the body center of the cubic unit cell gets displaced, giving rise to a spontaneous polarization, P.

So, this is the structure at high temperatures, but once you cold the low 120 Celsius. This goes interior tetragonal structure because the titanium ion which is at the body centre of the cubic unit cell get displace and because of these displacement there is a spontaneous polarization this is the mechanism of the buildup of the spontaneous polarization in the ferroelectric.

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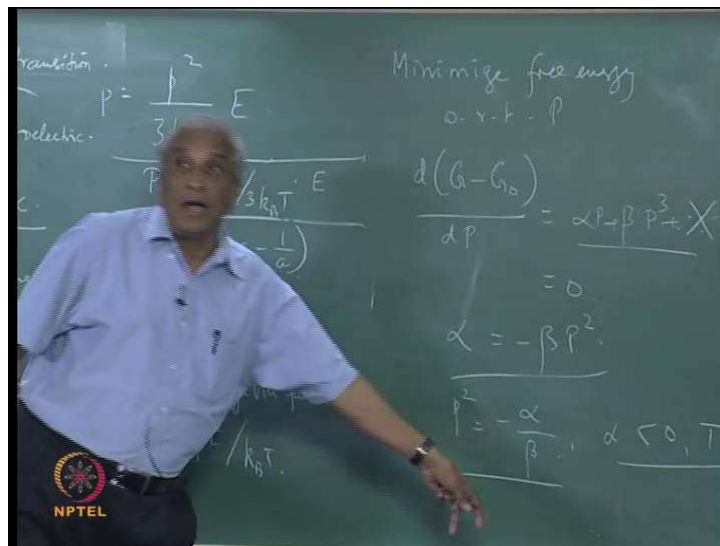
Now, the general features of a ferroelectric phase transition understood in terms of landau theory of phase transition the general approach of landau theory is to define an order parameter. So, this is the crucial feature of the landau theory of phase transition defined an order parameter the order parameter can be any physical quantity which is zero or about the transition temperature and non-zero below. So, that the general definition of the order parameter in this presence case of a ferroelectric material the order parameter is the spontaneous polarization; obviously, the there is a no spontaneous polarization above t c the transition temperature and heat the spontaneous polarization existent therefore, is nonzero below t c. So, that is the order paramagnetic in this case the next step in the landau theory which is a thermodynamic theory.

This thermodynamic theory always says why should there be a phase transition, the phase transition takes place and the change there is a change in phase in this case the structural phase. And therefore, the ferroelectric phase because of a lowering of the free energy below T c. So, the free energy becomes lower in the order phase and therefore,

this lowering as the free energy favors the existence of the order phase over the disorder phase. So, that is the basic explanation thermodynamic information given by the Landau theory. So, how do you find this. So, you expand the free energy in powers of the order parameter in this case these spontaneous polarization.

So, we write this is the free energy g which is written as $G_0 + \alpha P + \beta P^2 + \gamma P^3 + \dots$ etcetera. P square meter the power four etcetera terms in P cube etcetera do not exist because this as such as favorite state and central symmetry. And therefore, order powers will be vanished under the operation of the centre inversion therefore, only even powers P square P to the power four etcetera are there; all for β are constants which have to be determined by the minimization of the ferroelectric.

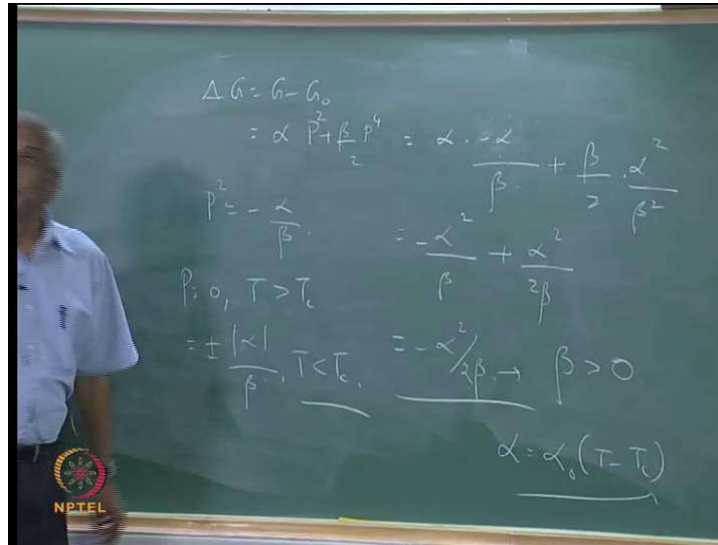
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So, the entire exercise is to minimize this free energy with respect to variations in the order parameter changes in P . So, if I do that this is the free energy difference and this is minimized by taking the differential coefficient with respect to P and that will be $\alpha + \beta P^2$ neglecting the higher order terms which are neglected. So, this is the derivative of the free energy difference with respect to P and we set this equal to zero for a minimum this gives me $\alpha = -\beta P^2$ or the square is $-\alpha/\beta$. So, the minimum is characterized by a spontaneous polarization, which is $-\alpha/\beta$. So, this is the order parameter. So, we expect to be zero above T_c and nonzero below T_c . So, in order that this should happen

and p will be real. So, p square should be a positive definite quantity therefore, we take α to be less than zero for $T < T_c$ α is negative, so that $-\alpha/\beta$ is positive when β is also positive.

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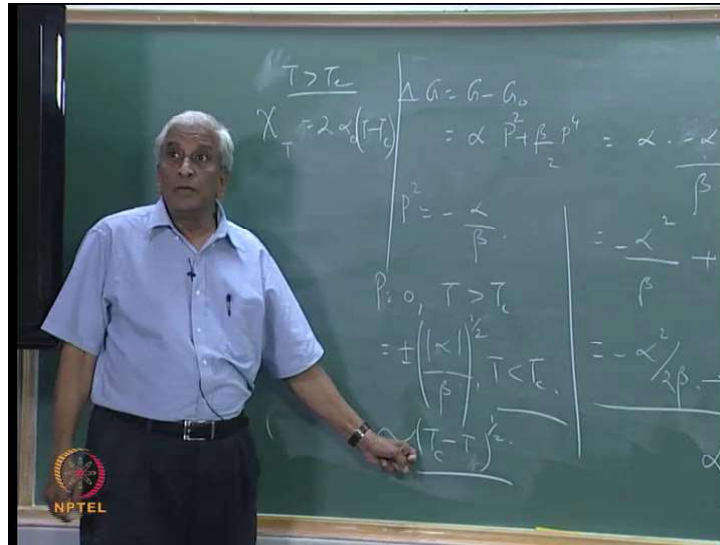


And the corresponding free energy difference when we substitute this ΔG equal to G minus G naught equal to αP plus β by 2 αP square plus β by 2 P to the power 4. And substituting p square equal to $-\alpha/\beta$ for the minimization condition, we get this to be α times $-\alpha/\beta$ plus β by two into α square by β square. So, this gives me $-\alpha^2/\beta$ plus α^2/β . So, this will be I can write this as $-\alpha^2/\beta$. So, this becomes $-\alpha^2/\beta$ plus α^2/β which gives me $-\alpha^2/\beta$. So, one can see that there is a reduction in the free energy below T_c . So, the free energy is lower we expect provided β is positive and α now can be taken to be a function of T .

So, α can be written as $\alpha_0 (T - T_c)$, so linear function of the temperature. So, we take these to be a α changes sign at T_c and become positive making the para electric very energetically more stable at higher temperatures. So, the simplest analytical form is this and therefore, we have equal to zero for T equal to T_c and p equal to zero for $T > T_c$ and equal to $\pm \sqrt{-\alpha/\beta}$ for $T < T_c$ to the power half taking square root for p square. So, the Landau theory thus

predicts a spontaneous polarization which goes as alpha to the power half or p goes as T minus T c or T c minus T, so half so the temperature dependence predicted by the Landau theory for the spontaneous polarization.

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And above T_c the para dielectric susceptibility goes as T greater than T_c , the susceptibility goes as two alpha naught into T_c , T minus T_c as we conjunct readily. So, this is the temperature dependence for the susceptibility above the phase transition and this is the temperature dependence predicted for this spontaneous temperature dependence as the spontaneous polarization below T_c . So, these are features which have been verified. Ferroelectric compounds in general fall into three main classes. One is the perovskite such as the barium titanate, which you have discussed. Then there is another family, which is called the rosette salt type compound. And then a third class for which the prototype is the potassium dihydrogen phosphate type known as hydrogen bonded ferroelectrics. Dielectric in which adjacent dipoles are lined up not parallel to each other as in this case, but anti parallel to each other also exhibit a sharp discontinuity in the relative dielectric constant at the transition temperature, these are known as anti ferroelectrics. In the next lecture, we will consider piezoelectric another important class of dielectric material.